



Regular Meeting
of the
Board of Trustees

March 21, 2024

**Regular Meeting of the
BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY
8:30 a.m. – Thursday – March 21, 2024
Board of Trustees Room
Altgeld 315**

AGENDA

1. Call to Order and Roll Call
2. Verification of Quorum and Appropriate Notification of Public Meeting
3. Meeting Agenda Approval..... *Action*..... i
4. [Review and Approval of Minutes from February 15, 2024](#)..... *Action*..... 1
5. Chair's Comments/Announcements
6. Public Comment*
7. [Reports of Board Committees and Board Liaisons](#)..... *Information*..... 5
 - a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)
 - b. Finance, Audit, Compliance, Facilities and Operations Committee (FACFO)
 - c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)
 - d. Illinois Board of Higher Education
 - e. Universities Civil Service Merit Board
 - f. Northern Illinois Research Foundation
 - g. Northern Illinois University Foundation and Alumni Association
8. President’s Report No. 175
 - a. University Recommendations Forwarded by the Board Committees
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 - 2) [Request for the Deletion of the Minor in Social Change Leadership](#) . *Action*..... 10
 - 3) [Request for New Minor in Health Administration](#) *Action*..... 11
 - 4) [Recommendations for Faculty and Supportive Professional Staff Sabbatical Leaves for the 2024-2025 Academic Year](#) *Action*..... 12
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10. Next Meeting Date

11. Closed Session

12. Adjournment

*Individuals wishing to make an appearance before the Board should consult the [Bylaws of the Board of Trustees of Northern Illinois University](#), Article II, Section 4 – *Appearances before the Board*. Appearance request forms can be completed [online](#) in advance of the meeting or will be available in the Board Room the day of the meeting. For more information contact Crystal Doyle, ccoppel@niu.edu, Altgeld Hall 300, DeKalb, IL 60115, 815-753-1273.

Anyone needing special accommodations to participate in the NIU Board of Trustees meetings should contact Crystal Doyle, ccoppel@niu.edu or (815) 753-1273, as soon as possible.

Minutes of the
Board of Trustees of Northern Illinois University
Special Meeting
February 15, 2024

CALL TO ORDER AND ROLL CALL

The meeting was called to order at 1:03 p.m. by Board Chair Montel Gayles in the Board of Trustees Room, 315 Altgeld Hall.

Recording Secretary Crystal Doyle conducted a roll call.

Trustee Rita Athas: Present

Trustee Dennis Barsema: Present

Vice Chair John Butler: Present

Trustee Veronica Herrero: Present

Trustee Tim Moore: Absent

Trustee Leland Strom: Absent

Trustee Eric Wasowicz: Present

Board Chair Montel Gayles: Present

Also present: President Lisa Freeman; Vice President and General Counsel and Board Parliamentarian Bryan Perry; Interim Executive Vice President and Provost Laurie Elish-Piper; Vice President for Administration and Finance and Chief Financial Officer George Middlemist (virtual); Senior Associate Vice President and Chief Human Resource Office John Acardo; and University Advisory Council (UAC) Representatives Benjamin Creed and Natasha Johnson.

MEETING AGENDA APPROVAL

Chair Gayles asked for a motion to approve the meeting agenda. Trustee Strom so moved, and Trustee Barsema seconded. The motion was approved.

Chair Gayles asked for a motion to amend that motion, in order to approve a consent agenda for action items 7.b. through 7.c. and 7.g. Trustee Athas so moved, and Trustee Wasowicz seconded. The motion was approved.

REVIEW AND APPROVAL OF MINUTES OF DECEMBER 7, 2023

Chair Gayles asked for a motion to approve the minutes of December 7, 2023. Trustee Herrero so moved, and Trustee Wasowicz seconded.

Trustee Moore joined the meeting at 1:05 p.m.

CHAIR'S COMMENTS/ANNOUNCEMENTS

Chair Gayles welcomed the members of the University Advisory Council who were present.

Chair Gayles thanked everyone for welcoming him as the new Board Chair. He mentioned that he looks forward to working with everyone in this new role.

PUBLIC COMMENT

Chair Gayles introduced the public comment portion of the meeting. General Counsel Perry stated that there were no registered public comments.

PRESIDENT'S REPORT NO. 174

Chair Gayles asked President Freeman to present the President's Report No. 174.

President Freeman presented the items that were moved to the consent agenda including:

Agenda Item 7.b. – Fiscal Year 2025 Room and Board Pricing Recommendation

Agenda Item 7.c. – Fiscal Year 2025 Undergraduate Tuition Pricing Recommendation

Agenda Item 7.g. – Lease for Resident Hall Appliance Units

Chair Gayles asked for a motion to approve the consent agenda items. Trustee Strom so moved, and Trustee Athas seconded. The motion was approved.

Agenda Item 7.a. Recommendation for Faculty Tenure and/or Promotion Effective 2023-2024

President Freeman presented agenda item 7.a. for approval.

Chair Gayles reminded Trustee Moore he needs to abstain from voting on this agenda item.

Chair Gayles asked for a motion to approve item 7.a. Vice Chair Butler so moved, and Trustee Wasowicz seconded.

Chair Gayles asked Ms. Doyle to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Abstain

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

The motion was approved.

Agenda Item 7.d. Fiscal Year 2025 Graduate and Law Tuition Pricing Recommendation

President Freeman presented agenda item 7.d. for approval.

Chair Gayles stated that he will need to abstain from voting on this agenda item.

Chair Gayles asked for a motion to approve item 7.d. Trustee Barsema so moved, and Trustee Herrero seconded.

Chair Gayles asked Ms. Doyle to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Abstain

The motion was approved.

Agenda Item 7.e. Fiscal Year 2025 Undergraduate, Graduate, and Law Student Fee Pricing Recommendation

President Freeman presented agenda item 7.e. for approval.

Chair Gayles stated that he will need to abstain from voting on this agenda item.

Chair Gayles asked for a motion to approve item 7.e. Trustee Wasowicz so moved, and Trustee Barsema seconded.

Chair Gayles asked Ms. Doyle to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Abstain

The motion was approved.

Agenda Item 7.f. Fiscal Year 2025 Student Health Insurance

President Freeman presented agenda item 7.f. for approval.

Chair Gayles stated that he will need to abstain from voting on this agenda item.

Chair Gayles asked for a motion to approve item 7.f. Trustee Barsema so moved, and Trustee Athas seconded.

Chair Gayles asked Ms. Doyle to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Abstain

The motion was approved.

OTHER MATTERS

There were no other matters.

NEXT MEETING DATE

The next regularly scheduled meeting of the Board of Trustees will be held March 21, 2024 at 8:30 a.m.

CLOSED SESSION

Chair Gayles stated that the Board needed to go into closed session and would not take up new business following the closed session. He asked for a motion to close the public meeting to conduct closed session to discuss the following subjects as authorized by the Open Meetings Act: closed session minutes matters as generally described under section 2(c)(21) of the open meetings act; collective bargaining matters as generally described under section 2(c)(2) of the open meetings act; litigation and risk management matters as generally described under sections 2(c)(11) and (12) of the open meetings act; real estate matters as generally described under section 2(c)(5) and (6) of the open meetings act; student disciplinary cases matters as generally described under sections 2(c)(11) and (12) of the open meetings act; and personnel matters as generally described under sections 2(c)(1)(2)(3) and (21) of the open meetings act.

Trustee Wasowicz so moved, and Trustee Moore seconded.

Chair Gayles asked Ms. Doyle to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

The motion was approved.

The Board closed the public meeting at 1:15 p.m.

The meeting reconvened from closed session at 3:07 p.m.

Chair Gayles asked Ms. Doyle to conduct a roll call.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

ADJOURNMENT

Chair Gayles asked for a motion to adjourn. Trustee Athas so moved, and Trustee Moore seconded.

Chair Gayles asked Ms. Doyle to conduct a roll call.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

The motion was approved.

The meeting adjourned at 3:08 p.m.

Respectfully submitted,

Crystal Doyle

Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.

REPORTS OF BOARD COMMITTEES AND BOARD LIAISONS

a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)

The Academic Affairs, Student Affairs and Personnel Committee met on February 15, 2024. The committee received five action items: Request for the Deletion of the Master of Science in Education in Educational Psychology; Request for the Deletion of the Minor in Social Change Leadership; Request for New Minor in Health Administration; Recommendation for Faculty Tenure and/or Promotion Effective 2023-2024; and Recommendations for Faculty and Supportive Professional Staff Sabbatical Leaves for the 2024-2025 Academic Year, all were approved. The committee received three information items: Faculty Presentation on Sabbatical Leave by Professor Natalie Churyk, Department of Accountancy; Annual Reports on the Outcomes of Sabbatical Leaves; and (Emerging) Hispanic Serving Institution Designation: The Path, Process and Potential Benefits for NIU presentation by Carol Sumner, Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer and Clint-Michael Reneau, Vice President for Student Affairs. Under “Other Matters” Board Chair Gayles announced Trustee Butler as the Committee Chair of Academic Affairs, Student Affairs and Personnel Committee and Trustee Wasowicz as Committee Vice Chair of Academic Affairs, Student Affairs and Personnel Committee effective May 9, 2024.

b. Finance, Audit, Compliance, Facilities, and Operations Committee (FACFO)

The Finance, Audit, Compliance, Facilities and Operations Committee met on February 15, 2024. The committee was presented with two Financial Update items: Quarterly Financial Summary Fiscal Year 2024 Second Quarter as of December 31, 2023; and Periodic Report of Cash and Investments for Period Ending December 31, 2023. The committee approved 12 action items: Fiscal Year 2025 Room and Board Pricing Recommendation; Fiscal Year 2025 Undergraduate Tuition Pricing Recommendation; Fiscal Year 2025 Graduate and Law Tuition Pricing Recommendation; Fiscal Year 2025 Undergraduate, Graduate, and Law Student Fee Pricing Recommendation; College of Law Graduation Fee; Fiscal Year 2025 Student Health Insurance; Fiscal Year 2025 Campus Services Consumable Commodities and Charter Bus Services; Fiscal Year 2025 Master Agreement Facility Contract Renewals; Out-Lease with Illinois Association of School Business Officials (NIU as Lessor); Division of Information Technology Renewal of OnBase Software Maintenance; Lease for Residence Hall Appliance Units; and Center for Early Learning Funding Equity. Of the 12 action items, six items were sent in front of the Special Meeting that same day. The committee also received a presentation on Facilities and Infrastructure and Capital Investment as well as two University Information items: Fiscal Year 2023 Report on Facilities and Infrastructure Capital Investment Activities and Quarterly Summary Report of Transactions in Excess of \$100,000 for the Period October 1, 2023 to December 31, 2023.

c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)

The Research and Innovation, Legal and Legislative Affairs Committee met on February 15, 2024. The committee reviewed three information items: the State Legislative Report, the Federal Legislative Report, and the Sponsored Programs Administration Quarterly Report. Dr. Yvonne Harris, Vice President for Research and Innovation Partnerships reported that research funding continues to grow. Compared to the same period last year, research funding increased by 16%, instructional funding increased 31% and due to delays in executing several State of Illinois contracts, funding for other sponsored activities is down 63% this quarter. The

Department of Energy recognized the work of one faculty member and a student. NIU Physics Professor Bela Erdelyi of receiving \$600K over a three-year period to study a new type of particle accelerator to be used in discovery science. The award and research were posted as a University Research News headline on the Office of Science homepage of the U.S. Department of Energy. Graduate student Spencer Kelham received a graduate student research award with a stipend of \$3,600 monthly for one year and will begin work with Argonne National Laboratory full time using electro-optic-based diagnostic tools to analyze particle beams. Professor Jennifer Koop received the Faculty Early Career Development Program grant (CAREER) of \$1.2M over five years from the National Science Foundation (NSF). Her research involves investigating why some species, specifically parasitic trematodes have invaded new habitats. Findings from this research may develop management practices aimed at reducing negative impacts of invasive species on the environment. A large outreach component is attached to this research which involves NIU Graduate Students mentoring 7th grade STEM students from Clinton Rosette Middle School in DeKalb. Professors Zachary Wahl-Alexander and Jenn Jacobs from the College of Education were awarded \$2.2M by the Illinois Department of Juvenile Justice (IDJJ) for work on Project FLEX which leverages the power of sports to change the lives of incarcerated youth. The goal of Project FLEX is to reduce recidivism and set youth up for success post-incarceration.

Ms. Katie Davison, Director of State Relations provided the State Legislative Report. She reported that The General Assembly reconvened for the 2024 spring session on January 16. She also noted that Friday, February 9, was the deadline for bill introduction and work is ongoing to identify bills related to higher education that will come before The General Assembly this spring. Budget negotiations at the state house are underway in preparation for the Governor's Budget address on February 21. We are preparing for senate and house appropriations hearings slated for March, as well as the NIU Advocacy Day set for March 5 in Springfield. The Illinois Primary Elections will take place on Tuesday, March 19. Locally, Representative Lance Yednock will not be seeking a bid for reelection and the primary race for the 76th district has three candidates in the Democrat race (DeKalb Mayor Cohen Barnes, Amy "Murri" Briel, Carolyn "Morris" Zasada) and two candidates in the republican race (Liz Bishop and Crystal Loughran).

Ms. Julia Rowe, Director of Federal Relations provided the Federal Relations Report. She reported that for congressional operations we are still currently operating under the continued resolution at FY23 levels until March. Negotiations for a fully funded measure are still ongoing with The President's Budget Request expected to be released on March 9 for FY25. Congress continues to be focused on boarder security and a foreign aid funding package. The President's Alliance (NIU is a member) encouraged Congress to include DACA protections in any changes to boarder policy. On December 16, the House passed the DETERRENT Act pertaining to foreign gift reporting. The bill has not moved to the Senate floor. Also in December, the House Education and Workforce Committee moved the Bipartisan Workforce Pell Act out of Committee. The House marked up and moved forward the College Cost Reeducation Act introduced by Chairwoman Virginia Foxx on January 11. The bill aims to focus on accountability, transparency, and affordability measures. Prior to the markup on January 31, ranking member Bobby Scott of Virginia introduced a package of seven bills called The Roadmap to College Success which would double the Pell Grant to \$14K, expand the Pell program to graduate schools and waive tuition and fees for two year community college programs. We do not believe these bills will become law this year. On February 4, the Department of Education sent the Title IX rule for review. This could take up to 90 days. The new FAFSA form was released on December 31 and there were widespread delays and issues logging into and completing the form.

Ms. Dara Little, Associate Vice President for Research/Executive Director Sponsored Programs provided the written Quarterly Report. The report shows that NIU received 109 sponsored awards totaling \$8.3M to bring the cumulative FY24 activity to 172 sponsored awards totaling \$21.9M. \$11.2M for research, \$5.5M for instruction, and \$5.2M for other sponsored activities. This indicates research funding increased over the same period last year and that funding is currently down in other sponsored activities. NIU's sponsored funding portfolio continues to show signs of steady growth with federal and state funds comprising most of the funding portfolio.

d. Illinois Board of Higher Education

The IBHE met on August 16, 2023, at National Louis University. The Board Chair opened the meeting with comments regarding the Supreme Court decision regarding affirmative action. The Chairman reiterated that equity is one of the goals of the state's higher education strategic plan. The Board also received an update from Ginger Ostro, Executive Director of IBHE, on work underway that is aligned with *A Thriving Illinois*. She highlighted the Ending Student Housing Insecurity (ESHI) grants and how the universities utilized the funding, the SHEEO Policy Conference that looked at the importance of the One Million Degrees, the task force work on Sexual Misconduct on Campus Climate Surveys, and the launch of the Assessment of Higher Education Needs in the Quad Cities.

The Board heard a presentation by Malinda Aiello, Director of Illinois Articulation Initiative, Illinois State University, Dr. Stephanie Bernoteit, Executive Deputy Director, IBHE, and Dr. Marcus Brown, Deputy Director for Academic Affairs and Student Success, Illinois Community College Board, on *The Illinois Articulation Initiative at 30: A Panel Discussion on the Vision for the Future*. The presentation included an overview of the Articulation Initiative, its alignment to goal 8 in *A Thriving Illinois*, and the legislative updates that would require that all major courses be transferable direct course equivalents toward the requirements of the major and added Elementary and Secondary Education as one of the panels that must be convened when looking at the requirements of the General Education Core Curriculum. The Board also heard a presentation titled *The Illinois Early Childhood Access Consortium for Equity: Annual Report Highlights* from Dr. Marcus Brown, Deputy Director for Academic Affairs and Student Success, Illinois Community College Board, and Christi Chadwick, Project Director, IBHE.

The IBHE granted authority to multiple community colleges to offer a variety of Associate of Applied Science degrees, subject to the institutions' implementation and maintenance of conditions presented in their applications.

- Olive Harvey College to offer the Associate of Applied Science in Aviation Maintenance
- Parkland College to offer the Associate of Applied Science in AGCO Service Technician
- Southwestern Illinois College to offer the Associate of Applied Science in Agronomy
- Triton College to offer the Associate of Applied Science in Software Development
- Wilbur Wright College to offer the Associate of Applied Science in CNC Engineering Technology

The Board also took the following action:

- Provided authority for the University of Illinois Chicago to establish the Department of Latin American and Latino Studies subject to the institutions' implementation and maintenance of conditions presented in their applications.
- Provided authority for the University of Illinois Urbana-Champaign to establish the Department of Comparative and World Literature subject to the institutions' implementation and maintenance of conditions presented in their applications.

- Provided authority for Rush University to grant the Doctorate in Physical Therapy in the Chicago Region subject to the institutions' implementation and maintenance of conditions presented in their applications.
- Approval was provided for a non-instructional capital project for FY24 for the Social Justice Initiative Building at Governors State University Campus.

e. Universities Civil Service Merit Board

Trustee John Butler, Universities Civil Services Merit Board Representative, will provide a verbal report.

f. Northern Illinois Research Foundation

The Intellectual Property Management Committee met on October 30, 2023. The Committee discussed two upcoming filing decisions and new invention disclosures received by the Office of Innovation. The Audit Committee and Board of Directors of the Northern Illinois Research Foundation (NIRF) held their quarterly meetings on December 11, 2023. The Audit Committee discussed the quarterly financial statements, the status of the Illinois and federal tax returns, and FY24 audit firm engagement. The Board of Directors received quarterly updates from the Audit Committee and the NIU Office of Innovation.

g. Northern Illinois University Foundation and Alumni Association

Catherine Squires, Vice President for Advancement and President & CEO of the NIU Foundation, will provide a verbal report.

REQUEST FOR THE DELETION OF THE MASTER OF SCIENCE IN EDUCATION IN EDUCATIONAL PSYCHOLOGY

Summary: Public university governing boards have the final authority to delete major programs. If the board approves this deletion, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2024. These requests come to the Academic Affairs, Student Affairs, and Personnel Committee after receiving approval from the curriculum committees at the department, college, and university levels and the concurrence of the provost.

Description: The University proposes to delete the Master of Science (M.S.) in Education in Educational Psychology offered by the Department of Leadership, Educational Psychology and Foundations in the College of Education.

Rationale: Due to low enrollment for numerous years and the subsequent inability for courses to meet the minimum enrollment criteria, the faculty in the program, along with the chair of the department, have collectively decided to discontinue offering the Master of Science in Education in Educational Psychology. Accommodations will be made for the remaining student in the program. Faculty are committed to ensuring the student can successfully complete their program of study. Focus will be directed at growing enrollment in the Ph.D. in Educational Psychology.

Recommendation: The Academic Affairs, Students Affairs, and Personnel Committee recommends Board of Trustee approval of the request for the Deletion of the Master of Science in Education in Educational Psychology.

REQUEST FOR THE DELETION OF THE MINOR IN SOCIAL CHANGE LEADERSHIP

Summary: Public university governing boards have the final authority to delete major programs. If the board approves this deletion, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2024. These requests come to the Academic Affairs, Student Affairs, and Personnel Committee after receiving approval from the curriculum committees at the department, college, and university levels and the concurrence of the provost.

Description: The University proposes to delete the Minor in Social Change Leadership in the Department of Counseling and Higher Education in the College of Education.

Rationale: We wish to remove the Minor in Social Change Leadership as a listed option within the CAHE department. We have had minimal enrollment and/or interest in the minor for several years. Students currently enrolled in the minor will be able to complete the minor, as we will continue offering the required courses for the minor (SCL 100, SCL 200). These courses have been popular due to fulfilling general education requirements, or requirements for other minors; thus, we plan to continue offering them. Other courses for the minor are offered through various other departments and will continue to exist. We are no longer offering the option for new students to declare the Social Change Leadership Minor.

Recommendation: The Academic Affairs, Students Affairs, and Personnel Committee recommends Board of Trustee approval of the request for the Deletion of the Minor in Social Change Leadership.

REQUEST FOR NEW MINOR IN HEALTH ADMINISTRATION

Summary: New subdivisions of existing undergraduate programs, including minors, require the approval of the Board of Trustees. If the board approves this addition, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2024. These requests come to the Academic Affairs, Student Affairs, and Personnel Committee after receiving approval from the curriculum committees at the department, college, and university levels and the concurrence of the provost.

Description: The University proposes to offer a Minor in Health Administration in the School of Health Studies within the College of Health and Human Sciences.

Rationale: The Minor in Health Administration is directed toward undergraduate students across campus and within the College of Health and Human Sciences, who seek to understand the business side of public health and health care. The B.S. in Public Health with a Health Administration emphasis is our most popular emphasis, and the proposed minor hopes to continue to attract students to this discipline. Additionally, other nearby institutions, such as Loyola, University of Illinois Urbana-Champaign, Carroll University all offer similar minors in Health Administration alongside more advanced degree programs (such as bachelors, masters, etc.).

The field of health administration is growing quickly. Job outlook between 2022-32 is expected to grow 28%, which is much higher than the average of other professions, according to the U.S. Bureau of Labor Statistics. In response, students who pursue educational opportunities in healthcare administration will have abundant opportunities to utilize their education in the workforce and pursue other advanced degrees in this discipline.

Health administration is a dynamic field given the constant changes in healthcare and complements a variety of majors across the university. Particularly, students in the Colleges of Business, Engineering and Engineering Technology, and Liberal Arts and Sciences would be prime candidates for this proposed minor. In the College of Engineering and Engineering Technology, the students in Industrial and Systems Engineering have a Health Systems Engineering emphasis where Public Health Administration courses are already part of the curriculum requirements. Those students could complete this proposed minor with as little as three additional courses.

The requirements for the Minor in Health Administration include three required courses that will provide students with foundational knowledge. In addition, students will need to complete nine credit hours from a list of elective courses. Students can take courses in financial management, economic issues within public health, healthcare quality management, and other areas of interest. This will enable students to acquire greater knowledge in a specific area of interest.

Funding: Additional resources are not required to support the new minor.

Recommendation: The Academic Affairs, Students Affairs, and Personnel Committee recommends Board of Trustee approval of the request for the New Minor in Health Administration.

**RECOMMENDATIONS FOR FACULTY AND SUPPORTIVE PROFESSIONAL
STAFF SABBATICAL LEAVES FOR THE 2024-2025 ACADEMIC YEAR**

<u>Name</u>	<u>Department/School/Unit</u>	<u>Time Period</u>
COLLEGE OF BUSINESS (in alphabetical order)		
Iyengar, Kishen	Operations Management and Information Systems	Fall 2024
Peterson, Robert	Marketing	Spring 2025
COLLEGE OF EDUCATION (in alphabetical order)		
Andzik, Natalie	Special and Early Education	Fall 2024
Hu, Xiaodan	Counseling and Higher Education	Spring 2025
Liberty, Lisa	Special and Early Education	Fall 2024
Puckett-Martin, Tiffany	Leadership, Educational Psychology and Foundations	Spring 2025
Xie, Ying	Educational Technology, Research & Assessment	Fall 2024-Spring 2025
COLLEGE OF ENGINEERING AND ENGINEERING TECHNOLOGY		
Azad, Abul	Engineering Technology	Fall 2024
COLLEGE OF HEALTH AND HUMAN SCIENCES (in alphabetical order)		
Bateni, Hamid	Allied Health & Communicative Disorders	Spring 2025
Dallas, Bryan	Interdisciplinary Health Professions	Fall 2024-Spring 2025
Gladfelter, Allison	Allied Health & Communicative Disorders	Fall 2024-Spring 2025
Gray, Jennifer	School of Health Studies	Spring 2025
Wilson, Matthew	Allied Health & Communicative Disorders	Fall 2024
COLLEGE OF LAW		
Arado, Therese	Law Library	Spring 2025
COLLEGE OF LIBERAL ARTS AND SCIENCES (in alphabetical order)		
Adams-Campbell, Melissa	English	Spring 2025
Bergen-Roller, Heather	Biological Sciences	Spring 2025
Britt, Anne	Psychology	Spring 2025
Clapp, Leonard	Philosophy	Fall 2024
Glas, Aarie	Political Science	Spring 2025
Goodman, Christopher	Public Administration	Spring 2025
Jong, Jaehee	Public Administration	Fall 2024
Li, Tao	Chemistry and Biochemistry	Fall 2024-Spring 2025
Montana, Ismael	History	Fall 2024
Nesterov, Evgueni	Chemistry and Biochemistry	Spring 2025

Nesterova, Irina	Chemistry and Biochemistry	Spring 2025
Scherer, Reed	Earth, Atmosphere & Environment	Fall 2024
Schuller, Mark	Anthropology	Spring 2025
Semati, Mehdi	Communication	Fall 2024
Styck, Kara	Psychology	Spring 2025
Vilardell, Laura	World Languages and Cultures	Spring 2025

COLLEGE OF VISUAL AND PERFORMING ARTS (in alphabetical order)

D'Alexander, Christine	School of Music	Spring 2025
Grund, Richard	School of Theatre and Dance	Spring 2025
Houze, Rebecca	School of Art and Design	Spring 2025
Quinlan, Mary	School of Art and Design	Fall 2024-Spring 2025

ALTERNATES (in alphabetical order)

Bough, Thomas	School of Music	Spring 2025
Bruno, Andy	History	Spring 2025
Crowley, Timothy	English	Fall 2024
Erdelyi, Bela	Physics	Fall 2024
Malecki, Christine	Psychology	Fall 2024
May, Brian	English	Fall 2024
Orcutt, Holly	Psychology	Spring 2025
Rossetti, Jeanette	School of Nursing	Spring 2025
Samonds, Karen	Biological Sciences	Fall 2024
Swedlow, Brendon	Political Science	Fall 2024
Wheeler, Ralph	Chemistry and Biochemistry	Spring 2025
Zhang, Wei	Economics	Spring 2025

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustee approval of the request for Recommendations for Faculty and Supportive Professional Staff Sabbatical Leaves for the 2024-2025 Academic Year.

FISCAL YEAR 2025 CAMPUS SERVICES CONSUMABLE COMMODITIES AND CHARTER BUS SERVICES

Summary: Master agreements for commodity purchases and charter bus services are required to be renewed for FY25. Consumable items are required to support the operations of various university departments which can commonly include materials for cleaning supplies, postage, and fuel. Charter bus services support activities such as athletic sporting events, academic field trips, and Admissions open house events. The following open order renewals or new agreements will be processed in accordance with applicable Illinois procurement regulations.

Background: The amounts listed are not-to-exceed (NTE) limits established for FY25. These amounts do not reflect expected obligations but serve as an upper limit for the possible expenditures depending on demand and pricing competition between vendors with similar products/services. Materials or services will be ordered on an as-needed basis to meet demands for the FY25 period. Any individual orders under these agreements at or above \$250K will be preceded with a specific approval request to the Board.

While existing contracted charter bus vendors are currently under a 5-year term, finding and engaging additional vendors will enhance bus availability and price competition for our transportation needs.

Funding: Institutional Funds

<i>Home Depot Pro – Rockford, IL</i>	\$ 400,000
<i>Office Depot Max, Inc. - Itasca, IL</i>	\$ 300,000
<i>U.S. Postal Service / Postmaster – DeKalb, IL</i>	\$ 500,000
<i>W.W. Grainger – Rockford, IL</i>	\$ 250,000
<i>Vendor(s) for fuel to be determined (each)</i>	\$ 325,000
<i>Vendor(s) for charter bus services to be determined (5-year term, each)</i>	\$1,500,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Fiscal Year 2025 Campus Services Consumable Commodities and Charter Bus Services.

**OUT-LEASE WITH ILLINOIS ASSOCIATION
OF SCHOOL BUSINESS OFFICIALS
(NIU as LESSOR)**

Summary: The university has been in a 25-year arrangement with the Illinois Association of School Business Officials (IASBO) which supported the construction of the IASBO/NIU Professional Development Center building on the south side of campus along Lincoln Highway. As the term of the agreement comes to an end in May 2024, and terms for a new lease are in discussion, the university would like to continue this long-term relationship with IASBO by supporting a new lease for up to a possible ten years.

Background: Since the center's construction in 1999, the building has supported the similar professional development missions of both IASBO and the College of Liberal Arts and Sciences Department of Public Administration. The IASBO promotes the school business management profession by providing their members and stakeholders with a comprehensive range of professional development activities, services and advocacy. The Department of Public Administration works to strengthen professional and ethical public and nonprofit management through their teaching, scholarship, and community engagement.

Funding: This is a revenue-generating agreement anticipated to generate around \$60,000 annually or approximately \$640,000 over a potential maximum 10-year term

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Out-Lease with Illinois Association of School Business Officials (NIU as Lessor).

**DIVISION OF INFORMATION TECHNOLOGY RENEWAL OF
ONBASE SOFTWARE MAINTENANCE**

Summary: The Division of Information Technology (DoIT) requests permission to renew a contract for Hyland (OnBase) Software Licensing, Support, and Maintenance Services for one year with two additional one-year renewal options. The maintenance services will provide for continued use and future growth of the OnBase workflow and imaging technology at NIU. The approval time period is August 1, 2024 through July 31, 2027.

Background: The OnBase application increases efficiency and reduces costs by helping to eliminate cumbersome and slow paper-based processing, replacing it with electronic documents and workflow that significantly reduces processing times and allows for a better allocation of resources. OnBase serves NIU by providing for enterprise content management, case management and business process management, document capture and enterprise application integration. It is available for use by all NIU students, faculty, and staff, and is used by close to 35 individual departments across the university. DoIT is focused on expanding the use of OnBase for document and workflow processing at NIU. OnBase maintenance and support services from Hyland Software allow the university to continue providing support, enhances our current implementation and allows for expansion of the enterprise document imaging and scanning services offered to the entire university.

Funding: Institutional Funds

Hyland Software – Westlake, OH\$ 570,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Division of Information Technology Renewal of OnBase Software Maintenance.

CENTER FOR EARLY LEARNING FUNDING EQUITY

Summary: The Center for Early Learning Funding Equity (CELFE) at NIU is requesting approval of a \$201K increase to vendor Illinois Network of Resource and Referral Agencies (INCCRRA). This will increase the original approval amount, approved by the Board of Trustees in August 2022 of \$347K, to a new total of \$548K. Funds will be administered by INCCRRA and used by Birth to Five Action Councils housed within INCCRRA to support the continued operations of a Family Advisory Council (FAC) in each of the 39 regional Birth to Five Action Councils launched in February 2022. The funds will also support the start-up of community-level collaborations focused on early childhood education and care. Funding has been secured by CELFE as part of an external, private New Venture Fund grant that began in October 2021 and will continue through September 2025.

Background: CELFE was established in 2022 as an outgrowth of the Early Childhood Transformation Team (ECTT). The ECTT was established at the direction of Governor J.B. Pritzker in spring of 2021 with funding from the Illinois State Board of Education (ISBE) and housed at Education Systems Center at NIU based on the long-standing collaboration between ISBE and NIU and the expertise and leadership provided through Education Systems Center in the state's early childhood education and care system. While CELFE now has a national focus, working with six additional states and several national organizations, the team continues to support Illinois as it implements the Governor's new Smart Start Illinois initiative.

The first charge of the ECTT was to establish an infrastructure for regional and community systems to operationalize equity in the state's early childhood education and care services. Birth to Five Illinois was launched in February 2022 and is housed within INCCRRA, which provides business, financial, technological, and human resources capacity for Birth to Five Illinois. INCCRRA is the state's designated organization to host and incubate the new Birth to Five Action Councils, a network of 39 regional planning bodies focused on improving services to families with children ages 0-5. The effectiveness of these regional councils will rely on local collaborations with parents, providers and stakeholders so real-time input on current and ever-changing needs can be gathered and assessing whether existing resources can meet these needs can be done.

Work to elevate early childhood education and care across the state has been ongoing at the community level for more than 15 years. Significant funding to support the Birth to Five infrastructure and specifically the participation of parents, families and local early childhood collaborations is a large component of the funding awarded to CELFE by the New Venture Fund (NVF).

NIU provided a pass-through grant to INCCRRA to support the Birth to Five Action Council infrastructure in 2022. This amendment will extend the term of support and provide an additional \$201K in accordance with a grant amendment approved by New Venture Fund. The additional funding will be used to:

- Establish and support Family Advisory Councils as a mechanism to engage families in Regional Planning Councils, including stipends and meals.
- Support "mini grants" to support existing local collaborations, as well as establish new ones where none currently exist.

Funding has been secured by CELFE as part of an external, private New Venture Fund to be paid out from October 2021 through September 2024. The total amount of funding from the New

Venture Fund is \$1M. The total amount of funding to INCCRRA is \$548K with \$451K remaining at NIU.

Funding: Institutional Funds

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Center for Early Learning Funding Equity.

COLLEGE OF LAW GRADUATION FEE

Summary: The College of Law is requesting an increase in the graduation fee paid by all third-year law students in the fall semester. The new fee rate will be \$150 effective August 16, 2024.

Background: The College of Law, with approval of the Board of Trustees, implemented a College of Law graduation fee in 1980 at \$35 per student. In 1995 the graduation fee was increased to \$55 per student and a third increase of \$75 per student was approved in 2004. The intent of the increase is to meet the rising operational costs required to hold a quality and memorable commencement ceremony.

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for the College of Law Graduation Fee.

FISCAL YEAR 2025 MASTER AGREEMENT FACILITY CONTRACT RENEWALS

Summary: Master agreements for design/consultation services and construction labor/materials supporting facility repairs/improvements are required to be established or renewed for FY25. These agreements provide an important streamlined contractual mechanism to engage companies effectively and efficiently while still maintaining price competitiveness. The following renewals or new awards will be processed in accordance with applicable Illinois procurement regulations.

Background: As the level of deferred maintenance and repair demands continue to pose a risk for campus operations, the ability and agility to respond quickly when failures occur becomes critical. While navigating the requirements of the state procurement process can be challenging, these master agreements allow interested companies to be pre-screened and validated for their willingness to operate under state procurement rules (e.g., Business Enterprise Program qualifications/subcontract participation plans, adherence to standard state legal certifications, and financial/conflict of interest disclosures).

As facility requirements are identified and approved for execution (e.g., projects at or above \$250K to be separately approved by the Board), quotes will be solicited from various companies to ensure adequate price competition, expertise required, and/or available capacity to perform the work. The procurement process is streamlined when best qualified/priced companies already have an established relationship with NIU under these master agreements.

The amounts listed on the next page are not-to-exceed (NTE) limits established for FY25 or noted 5-year terms. These amounts do not reflect a commitment or obligation but are an upper limit for the amount of work that may be requested under these agreements should companies successfully respond with the lowest quote and/or best qualifications for solicited work. The continual addition of new companies to this listing provides for increased ordering flexibility and price competitiveness.

Funding: Institutional Funds

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Fiscal Year 2025 Master Agreement Facility Contract Renewals.

New awards – depending on criteria met, may be awarded to more than one qualified responder:

New miscellaneous construction services agreements (each) \$ 1,000,000**
New design/consultation services 5-year term agreements (each) \$ 5,000,000**

Renewals:

Absolute Fire Protection, Inc. – Rockford, IL \$ 1,000,000
AGAE Contractors, Inc. – Chicago, IL..... \$ 600,000
Anderson Lock Ltd. – Des Plaines, IL \$ 600,000 *
Campos Construction, Inc. – Rockford, IL \$ 600,000 *
Cooling Land Concepts, LLC – Cherry Valley, IL \$ 700,000
EHC Industries, Inc. – Wauconda, IL..... \$ 1,000,000
Elliott & Wood, Inc. – DeKalb, IL..... \$ 1,000,000
F.H. Paschen, S.N. Neilsen & Assoc., LLC – Chicago, IL \$ 1,000,000
Freeport Industrial Roofing, Inc. – Freeport, IL..... \$ 400,000
G.D. Barri & Assoc., Inc. – Peoria, AZ..... \$ 1,000,000 *
Gehrke Construction, Inc. – DeKalb, IL..... \$ 1,000,000
Helm Service – Freeport, IL \$1,000,000
Hygieneering, Inc. – Willowbrook, IL \$ 600,000 *
Independent Mechanical Ind., Inc. – Chicago, IL \$ 1,000,000
Kelso Burnett Co. – Rockford, IL..... \$ 800,000
KWCC, Inc. – Montgomery, IL..... \$ 1,000,000
Lake & Pond Solutions Co. – Greenville, WI..... \$ 300,000
Level Services Corp. – Sycamore, IL..... \$ 1,000,000
MRRW Construction, LLC. – Montgomery, IL..... \$ 1,000,000 *
Nelson Fire Protection – Rockford, IL \$ 1,000,000
Pacific Construction Services, LLC. – Chicago, IL..... \$ 1,000,000
Ringland Johnson, Inc. – Cherry Valley, IL \$ 550,000
R.J. O’Neil, Inc. – Montgomery, IL \$ 1,000,000 *
Rush Power Systems, LLC – Kirkland, IL..... \$ 475,000
Schoening Painting & Decorating, Inc. – Rockford, IL \$ 550,000 *
Sprinkmann Sons Corp. – Milwaukee, WI..... \$ 700,000
Sterling Commercial Roofing, Inc. – Sterling, IL..... \$ 1,000,000
Stingray Electric, LLC. – Chicago, IL..... \$ 1,000,000
Stone Group, Inc. – Chicago, IL..... \$ 600,000
Swedberg Electric, Inc. – Sycamore, IL..... \$ 1,000,000 *
Tecnica Environmental Services, Inc. – Lemont, IL..... \$ 775,000 *
True North Consultants, Inc. – Naperville, IL..... \$ 1,000,000
Veya, Inc. – Champaign, IL..... \$ 600,000 *
Weaver Construction, Inc. – Sycamore, IL..... \$ 600,000

Design/consultation firms for 5-year renewal term:

Cordogan, Clark & Assoc, Inc. – Aurora, IL \$ 5,000,000
Dewberry Architects, Inc. – Elmhurst, IL \$ 5,000,000
FGM Architects, Inc. – Oak Brook, IL \$ 5,000,000
Larson & Darby, Inc. – Rockford, IL \$ 5,000,000
LCM Architects, LLC – Park Forest, IL \$ 5,000,000
Legat Architects, Inc. – Gurnee, IL..... \$ 5,000,000
Solomon, Cordwell, Buenz & Assoc. – Chicago, IL \$ 5,000,000
WSP (formerly Knight Engineers & Architects) – Chicago, IL \$ 5,000,000

*BEP vendors currently meeting CMS qualifications. Other vendors are required to demonstrate BEP participation with subcontracting plans.

**Invitation for bid will include 30% BEP goals, base year plus seven renewal term (or 5-year term as noted) and may result in multiple awards.

FACULTY PRESENTATION ON SABBATICAL LEAVE

Professor Natalie Churyk, Department of Accountancy, recently completed a sabbatical leave and will present a brief report on the scholarly work she completed during her leave.

The university awards sabbatical leaves for the purpose of supporting and encouraging research, scholarship, creativity and artistry that strengthen its academic programs. Proposals for sabbatical leaves prepared by tenured faculty and members of the supportive professional staff undergo a rigorous set of reviews at the department, college and university levels to determine if they meet the criteria that address the quality of the proposed sabbatical work, the capacity of the applicant to conduct the work and the likelihood of completion of the proposed project. In the sabbatical leave proposals, applicants include information about their program of research, scholarship, creativity and artistry, how that program would be advanced by the leave and the proposed outcomes of the sabbatical.

ANNUAL REPORT ON THE OUTCOMES OF SABBATICAL LEAVES

Executive Summary of Sabbatical Report for 2020-2021 Academic Year

Sabbatical leaves provide Northern Illinois University faculty and staff the opportunity to pursue research and artistry endeavors that support their professional growth and enhance the university's academic programs. Each year, the university awards faculty and staff sabbaticals based on the following criteria: the quality of the proposed scholarship, the capacity of the applicant to conduct the proposed work, and the likelihood that the proposed project will be completed (Constitution and Bylaws of Northern Illinois University, Art. 8). At the request of the Northern Illinois University Board of Trustees, the university conducts an annual process to enumerate the products that result from sabbatical leaves, and faculty and staff are asked to report on awards and honors; curricular developments; outreach; grants and projects; performances, exhibitions, and recordings; presentations; publications; other outcomes; and works in progress. They are also asked to offer their reflections on the sabbatical experience, its outcomes, and its significance on teaching, research/artistry, and service.

This report provides a summary of the outcomes for the 2020-2021 faculty and staff sabbatical recipients. For the 2020-2021 academic year, 37 sabbaticals were awarded. Sabbaticals were accepted by faculty and staff from seven colleges and university libraries. Of these, 20 (54%) were from the College of Liberal Arts and Sciences, five (14%) were from the College of Visual and Performing Arts, four (11%) were from the College of Business, two (5%) were from the College of Education, two (5%) was from the College of Health and Human Sciences, one (3%) was from the College of Engineering and Engineering Technology, one (3%) was from College of Law, one (3%) was from Supportive Professional Staff, and one (3%) was from University Libraries. 13 of these recipients did not use the sabbatical due to retirement, resignation or declination of the award. This report contains the outcomes of 23 faculty sabbatical recipients and one professional support staff recipient.

Sabbatical recipients' outcomes were organized into one of nine major categories: publications, presentations, grants, artistic works, curriculum development, other significant contributions, awards and honors, outreach, and works in progress. The table below summarizes the number of outcomes for each category.

Sabbatical Outcomes by Category		
Category	N	%
Publications	121	34%
Presentations	93	26%
Grants	39	11%
Artistic Works	7	1%
Curriculum Development	13	4%
Awards and Honors	15	4%
Outreach	27	8%
Other Significant Contributions	13	4%
Works in Progress	30	8%
Total	358	100%

Sabbatical recipients submitted 39 grant applications, 2 internal and 37 external. Of the 37 external grants applications submitted, 22 were funded, and a total of nearly \$9.437M was awarded.

Sabbaticals provide faculty with the time to develop research, artistic works, curricular redesign, outreach and engagement that support NIU's mission, vision and values. Each of our faculty reflected on their experience and its contribution to NIU. All recipients expressed their deep appreciation for the time they received as part of these competitive awards and discussed how the resulting opportunities and experiences renewed their connections to their research, teaching, and service missions.

Many of the recipients said that the time allowed them to develop new areas of research or to expand current areas of activity. Exemplar comments from faculty scholars:

Both in spite of and because of the COVID pandemic, I enjoyed a productive sabbatical in Fall 2020. Sabbatical supported work in my research program that should sustain me through promotion to full professor, which I expect to apply for in approximately two years. Because of sabbatical, I was able to complete three research articles, deliver two professional research presentations (one of which has become a research article submitted for publication at this point), begin work on still in-progress research projects (two articles and a book), and revise courses I regularly teach. Because of this sabbatical, I am a more accomplished researcher and a better teacher.

My year-long sabbatical occurred during the Fall of 2020 and Spring of 2021, was partially supported by existing grant funding, and was a time of significant productivity. My greatest achievement during this time period was developing and submitting a National Science Foundation grant proposal to the Robert Noyce Teacher Scholarship Program. This program funds projects that support STEM majors completing professional licensure programs who commit to teaching in high needs schools upon graduation. I assembled a collaboration between NIU and Waubensee Community College to add the \$250,000 collaboration incentive to the typical \$1.2M maximum allowed. This enabled NIU to create a formal partnership with WCC, an Hispanic serving institution and to strengthen our existing partnership with West Aurora High School. This scholarship will fund at least 20 scholars during their junior and senior year to pursue secondary science educator licensure.

This sabbatical was enormously beneficial to me in developing two new streams of research related to team effectiveness. The primary aim of the sabbatical project was to build a new stream of research on conflict management in teams. Related to this goal, I gave five presentations at primary academic conferences and developed two manuscripts which are currently in progress. Also during this sabbatical, I had the opportunity to develop a second stream of research on team composition. Related to this opportunity, my coauthors and I published four papers in top-ranked Management journals. We also gave three presentations at academic conferences, with one submission selected as a finalist for the best paper award. Overall, this sabbatical enabled me to increase the depth, breadth, and impact of my scholarly expertise. It directly resulted in eight presentations at international academic conferences and four publications in top-ranked Management journals

This sabbatical leave allows me to upgrade my research in line with the most frontier in the relevant field through focused collaboration with the world top scientists in photovoltaics and electrochemistry at National Renewable Energy Laboratory and Argonne National Laboratory. Although COVID-19 disrupted the visiting plan, I was still able to conduct the collaboration through online meetings with these scientists. We published work in top journals including Nature and Nature Energy, and our joint proposals were get funded for \$2.2M in addition to ~\$300K of my single PI funding received during the sabbatical leave. The sabbatical leave provides me the

opportunity to streamline my research effort on gateway technologies so that even based in a non-R1 university, my research is still being evaluated among the topmost as evidenced by multiple international and national awards, news reports by independent resources and governmental agencies, as well as multiple licensed patents.

Finally, the sabbatical leave that forced me to stay mostly home allowed me to reflect upon curriculum development, graduate supervision and mentoring of students, especially women of color like myself, from a fresh perspective, while recharging my energy to cultivate new interdisciplinary research collaboration in and beyond the university after the end of the leave and global pandemic. In 2022, I developed a new undergraduate course, “Ethnicity, Religion and Global Politics” based on my new scholarly programs, and won the University’s Honors Faculty Fellowship to teach the course to contribute to the Honor’s program in the subsequent academic year. I intend to continue focusing on the completion of the book project, while tapping into the new collaborative opportunities I have cultivated during and after the sabbatical leave.

The investment that NIU makes in its faculty and staff yield dividends in cutting edge research and artistic activity, more research opportunities for NIU’s students, exciting new pedagogical approaches and courses, and allows NIU to bring its expertise to the region, state, nation, and world.

HISPANIC SERVING INSTITUTION PRESENTATION

(Emerging) Hispanic Serving Institution Designation; The Path, Process and Potential Benefits for NIU presentation by Carol Sumner, Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer and Clint-Michael Reneau, Vice President for Student Affairs. This presentation is relevant to the 2024 University Goals approved by the Board of Trustees, specifically to Goals 1A, 2A and 4A which articulate NIU's commitment to be an institution that serves Latinx students and accelerates Latinx success in higher education.

STATE LEGISLATIVE REPORT

IBHE Budget Recommendations

On January 17, IBHE released their FY25 budget recommendations. In them, IBHE recommended an overall increase of 5 percent in operating funding for public universities which included a 7.7 percent increase for Northern Illinois University or an FY25 appropriation of \$106,236,400. Additionally, IBHE recommended a \$50 million increase in MAP, increases in grant funded programs to support dual credit; early childhood education; non-credit workforce grants; and the expansion of the Common App.

2024 Legislative Priorities

NIU, in partnership with our sister universities, has developed the following legislative priorities for the spring 2024 legislative session which began on Tuesday, January 16.

1. Predictable, adequate, equitable, and stable funding.

For FY25, Northern Illinois University (NIU) requests base operating funding of \$113.4M. A base State funding level of \$113.4M will offset increases in expected and known costs to NIU's education and general operations. An additional \$3M is requested to support new initiatives specified below. The total operating and initiative funding requested is \$116.4M.

\$3M in new appropriations is requested for academic priority requests aligned the IBHE's strategic plan, A Thriving Illinois: Higher Education Paths to Equity, Sustainability, and Growth. The proposed initiatives will not be possible without this additional State support:

- Enhanced Student Success Services, Adult Learner Initiatives, and Program Expansion (\$1M)
- Hispanic Serving Institution (HSI) Preparation (\$600K)
- Cluster Hiring to Support Transdisciplinary Teaching and Research (\$800K)
- Health Professions Education (\$600K)

2. Regulatory modernization and relief

NIU continues to explore relief from regulations and requirements that place undue administrative and fiscal burden on the universities. Several regulatory relief items highlighted below are being addressed to improve operational effectiveness.

Capital Project Execution

In FY20, NIU was authorized for one \$77M project from the state Capital program and a lump sum of \$52.9M for Capital Renewal program projects. As of FY24, the \$77M capital project is projected to possibly be executed by FY26. This 6-year period caused a \$20M loss of value due to inflation, which can only be mitigated by adding to the \$77M authorization or reducing the resulting building scope. This latter option translates into an approximately 25% smaller facility than originally intended.

Similarly with the Capital Renewal program, delays in executing this \$52.9M program value is estimated to have caused a loss of approximately \$10M to address critical deferred maintenance needs. Unlike the loss value from the Capital program, this Capital Renewal

program loss stems from inflation and added deterioration impacts caused by delayed execution of critical repair projects.

While NIU understands the execution challenges faced by the Illinois Capital Development Board (CDB), these delay impacts illustrate the need to consider alternatives for executing state-funded capital projects. Since universities are very experienced and capable of executing capital projects, there would be a readily available opportunity to execute the state capital programs through a combination of CDB and public university project execution. A hybrid approach would allow CDB to potentially focus their expertise on the larger and more complicated projects while universities execute the smaller projects in the programs. An approach like this would avoid and mitigate the cost and challenge for CDB staffing to meet increased state capital funding.

Time reporting requirements

Universities track all employee time through internal benefits usage reports. The additional time reporting mandated by the State Officials and Employees Ethics Act (5 ICLS 430) is redundant and time consuming given the duplicative nature of the Act's requirement to submit records documenting the total amount of time spent each day on official State business to the nearest quarter hour. This time reporting through the office of ethics is not as thorough and leaves room for misinterpretation of benefits. We would like this duplicative requirement removed.

Updated internal controls procedures for contracts

This requirement is no longer relevant for university operations. The University's state appropriation has been approved as a lump sum, which covers only a portion of its payroll and none of its contract obligations. Additionally, this procedure is redundant to the procurement code which already establishes the necessary components to be included in all state contracts. Contracts that meet certain dollar thresholds and other criteria are published on the Illinois Bulletin and filed with the Chief Procurement Officer.

3. Improve student support for persistence and degree completion

In addition to our request for investment in student support programs, there are many improvements to be made that would help ensure student success, provide more seamless transition between two-year and four-year institutions, and offer flexibility to students.

Flexibility within the AIM HIGH and MAP programs

Building upon the efforts to strengthen state aid programs, we are advocating for greater flexibility in the utilization of funds to support student financial needs outside of tuition and fees.

Illinois Articulation Initiative fixes

The State Director of IAI interprets the law that all public universities must attempt to participate in every IAI major, however there is no guarantee that every university offers parity for every major. The law also demands participation in majors even when there is no alignment. We recommend if an institution does not have an equivalent major and lower-division courses which align with the major panels' descriptors and course approval criteria, the public institution shall be compliant with the Act, as determined by the State Director of IAI.

Expanded baccalaureate program partnerships (HB3758/SB2986)

House Bill 3758 (Rep. Stuart) / Senate Bill 2986 (Sen. Halpin) states that the Board of Higher Education shall, in consultation with the Illinois Community College Board, create a standardized electronic form through which a community college district may document student demand or workforce needs for a specific baccalaureate degree completion program and may demonstrate that the demand or needs are currently unmet or that there is insufficient access to such a program for students in the district. Provides that the form must be completed by the requesting community college district and must be submitted to the Illinois Community College Board for initial review. Provides that if the Illinois Community College Board concurs with the community college district that unmet demand or needs exist in the district, the Illinois Community College Board must forward the form to the Board and if the Illinois Community College Board does not concur with the community college district, the district may appeal the decision directly to the Board. Provides that if the Board determines that unmet student demand or welfare need exist, the Board shall communicate a request for partnership notification to all universities, and allows a university to respond to the request for a partnership; defines "university" and specifies the procedures for a request for partnership. Requires the Board and the Illinois Community College Board to jointly adopt rules.

4. Competitive benefits for the recruitment and retention of a quality workforce

Parity for Tier 2 University Police

To help fill the policing shortages at our Illinois public universities, we are seeking two changes that will give our police forces parity with other police forces throughout the state.

- In 2022, PA 102-0719 reduced the age of retirement for ISP, DNR, SOOS and all other state law enforcement to age 55 to align with the Tier 2 downstate police pension systems. University police were excluded from the bill and remain the only state law enforcement with a Tier 2 retirement age of 62. This inequity places us at a competitive disadvantage and impairs our ability to recruit and retain police.
- Also in 2022, PA 102-1061 allowed officers who had prior Article 15 (SURS police) credit to buy service time in the other police plans. However, it was not reciprocal for university police officers, who remained the only police officers who could not buy back their prior police service credit. These service credit opportunities further incentivize other police departments to recruit our experienced officers but does not provide the same benefit to us in return.

Civil Service Reforms

Various State University Civil Service System (SUCSS) regulations make it difficult to be competitive in hiring qualified, eligible Civil Service employees. A number of modernization efforts have been underway for the past several years and NIU will continue to work to support the updating of SUCSS regulations to help universities attract and retain high-quality employees. Specifically, NIU will continue to work with SUCSS to update the residency requirement found in State Universities Civil Service Act 110 ILCS 70/36b et seq. to be more reflective of modern employment practices used by our competitors and allow us to hire out of state candidates.

State Relations Engagement

Advocacy day planning is well underway with NIU Advocacy Day set for March 5, 2024. For the third year, students, faculty, and staff are convening in Springfield to advocate on behalf of the

university and higher education in Illinois. We hope to engage even more of the NIU community this year and are targeting a delegation of 70 participants.

NIU State Relations continues to bring legislators and policy makers to campus. In January, we will be hosting the House Republican Leader, Tony McCombie and a small group of area lawmakers to highlight achievements at NIU and discuss legislative priorities.

FEDERAL RELATIONS REPORT

This report covers the period from September to October 2023. Congress is currently focused on funding the government for FY24, which started in October 2023. Appropriators will soon turn their attention to FY25 funding. The President’s Budget Request (PBR) is expected to be released early this spring.

Legislative Update

Education: On December 16, 2023, the House passed the DETERRENT Act, modifying Section 117 of the Higher Education Act, which regulates foreign gift reporting. The bill has not been taken up by the Senate Health Education Labor and Pensions (HELP) Committee or moved to the floor.

On December 22, 2023, the House Education and Workforce Committee moved the Bipartisan Workforce Pell Act out of Committee, which would allow students to use a new Pell Grant expansion for short-term workforce development training programs and at all types of institutions including online and for-profit schools. ACE sent a letter expressing significant concerns about the offset that was used to fund the program which would end new federal student loans for students who attend schools subject to the Net Investment Income Tax also known as the “endowment tax.”

On January 11, 2024, Chairwoman Virginia Foxx (R-NC) introduced the College Cost Reeducation Act, focused on accountability, transparency and affordability measures. The bill includes changes to accreditation; prohibits the executive and Department of Education from making new regulations around student debt; up front guaranteed price measures; enhances data collection and reporting on college outcomes; increases PROMISE grants; sunsets the PLUS loan program and limits borrowing up to the median cost of college, among other provisions.

NIL: On January 11, 2024, Reps. Bilirakis (R-FL) and Dingell (D-MI) and Sen. Lujan (D-NM) released a discussion draft of their FAIR College Sports Act (Fairness, Accountability, and Integrity in Representation of College Sports Act).

Biden Administration and Agency Update

FASFA: On December 31, 2023, the Department of Education released the new updated FASFA form. There were initial reports of widespread delays and issues logging into and completion of the form. The Department will transmit results in late January, giving financial aid officers less time than normal to finalize aid offers to students. As part of the FAFSA Simplification Act of 2021 Congress directed the Department to protect more of a student’s or family’s income from being considered in determining awards and subject those income protections to keep up with inflation. However, the Department did not make the inflation adjustments, resulting in the appearance of more income for students and families. This formula is used to determine federal work study, loans, and scholarships offered by states and institutions. The Department has not determined how or when they will fix the formula issue.

2024 Election Outlook

With the 2024 election cycle in full momentum after the Iowa caucuses on January 15, congressional action is expected to slow as members focus on reelection. All House members of the Illinois congressional delegation are up for reelection in November. Current polling shows a likely Biden/Trump match up in the presidential race.

NIU in DC Update

On December 12, 2023 NIU federal relations hosted a delegation of 30 individuals from the Indonesian House of Representatives on Capitol Hill as part of the Office of Global Initiatives Legislative Management Training Program. The delegation met with Senator Tammy Duckworth (D-IL), the Clerk of the House Kevin McCumber and, the House Chief Administrative Officer Catherine Szpindor, to learn best practices for supporting elected officials and government operations.

SPONSORED PROGRAMS ADMINISTRATION QUARTERLY REPORT

For the second quarter of Fiscal Year (FY) 2024 NIU received 109 sponsored awards totaling \$8.3 million. This brings cumulative FY24 activity to 172 sponsored awards totaling \$21.9M. The distribution of these awards is as follows: \$11.2M for research, \$5.5M for instruction, and \$5.2M for other sponsored activities (OSA) (Figure 1). Research funding increased by 16% over the same period last year and instructional funding increased 31%. OSA funding is down 63% mainly due to continuing delays in executing several State of Illinois contracts. The total value of these contracts is approximately \$12M, and SPA expects to award them this fiscal year. Taking this funding into account and other awards in process, NIU's sponsored funding portfolio continues to show signs of steady growth with federal and state funds continuing to account for most of the funding portfolio (Figure 2).

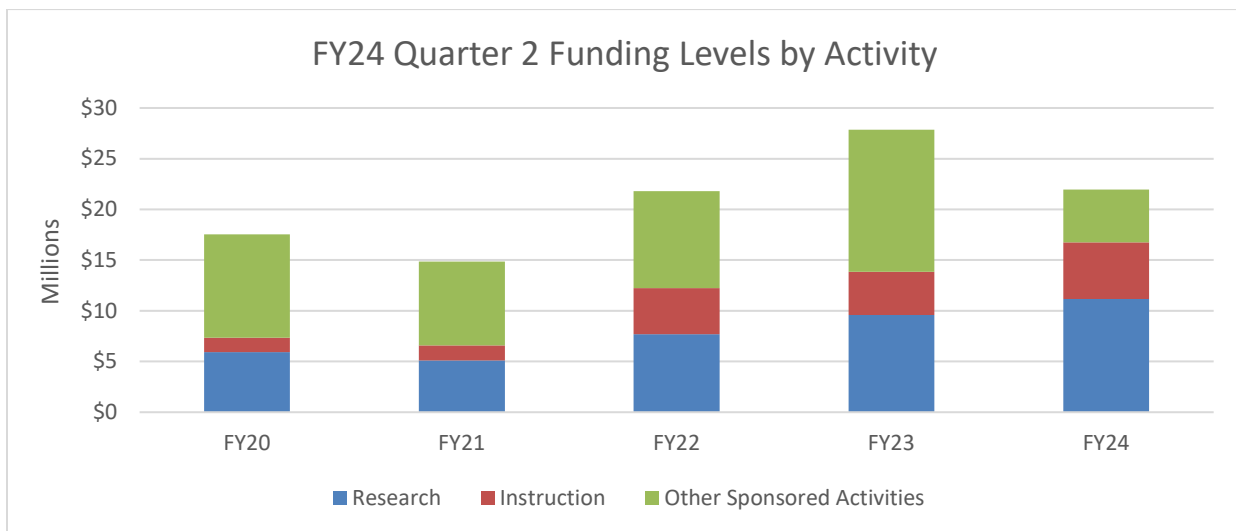


Figure 1

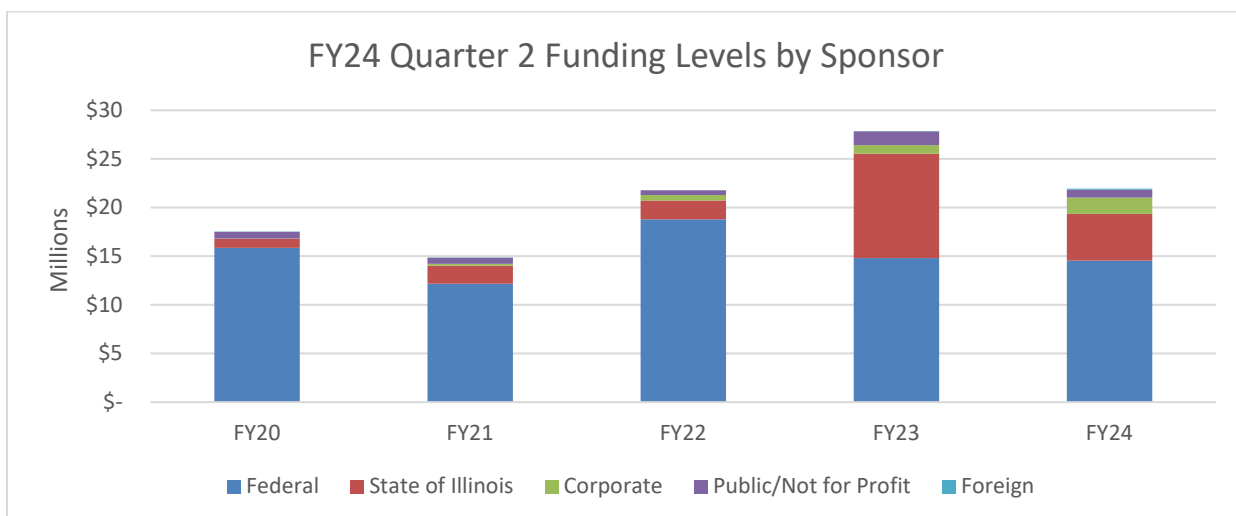


Figure 2

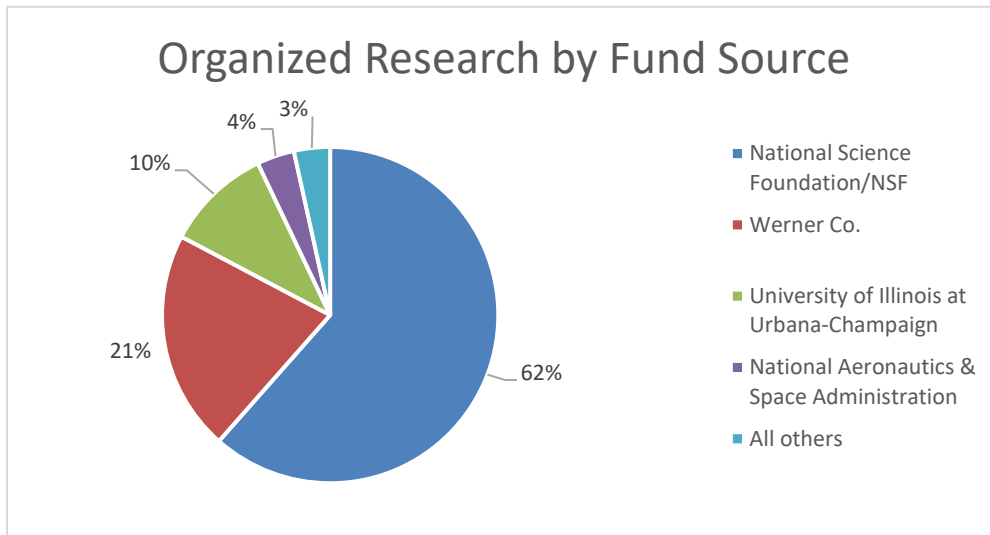


Figure 3
 Note: Other category includes agencies contributing 1%-2% of the total.
 Amounts based on originating fund source.

Figure 3 illustrates research funding received this quarter from a broad range of sponsor types with most of the funding (\$1.9M) coming from the National Science Foundation (NSF). Most of the NSF funding was secured by faculty in the Departments of Chemistry and Biochemistry and

Physics. NSF funding this quarter also includes support won by staff in RIPS' new Office of Research Development from the NSF Growing Research Access for Nationally Transformative Equity and Diversity (GRANTED) program to convene a conference of regional institutions to discuss how to collaborate and share expertise to attract and retain talented research administrators and strengthen the research administration enterprise.

Industry funding from Werner Corporation accounted for the second highest level of research funding this quarter with \$673K to faculty in the Department of Industrial and Systems Engineering to enhance manufacturing operations and develop automation solutions. Funding totaling \$323K from the University of Illinois at Urbana-Champaign included support for a mix of projects under the Illinois Innovation Network, SHIELD Illinois, and other State of Illinois funded collaborative research. These projects span activities in the Departments of Biological Sciences, Industrial and Systems Engineering, Mechanical Engineering, and the School of Health Studies. The SHIELD Illinois projects are focusing specifically on 1) utilizing knowledge obtained from the pandemic to improve supply chains for disease testing, and 2) understanding institutional decision-making and the wastewater surveillance pathogen testing laboratory to train the next generation of lab technicians in Illinois.

Other departments securing research funding this quarter include 1) Earth, Atmosphere, and Environment (\$259K) to delve into the motivations behind participation in STEM Disability Communities of Transformation; 2) Mechanical Engineering (\$197K) to investigate the impact of posture and stress on human crowd dynamics during emergency situations; and 3) Physics (\$636K) with four grants targeting diverse areas including US ATLAS Operations, EF Tracking - ACTS Interface Toolset, Monte Carlo Modeling of Photoemission from Semiconductors, and Optical Transport and Beam Manipulation for Optical Stochastic Cooling.

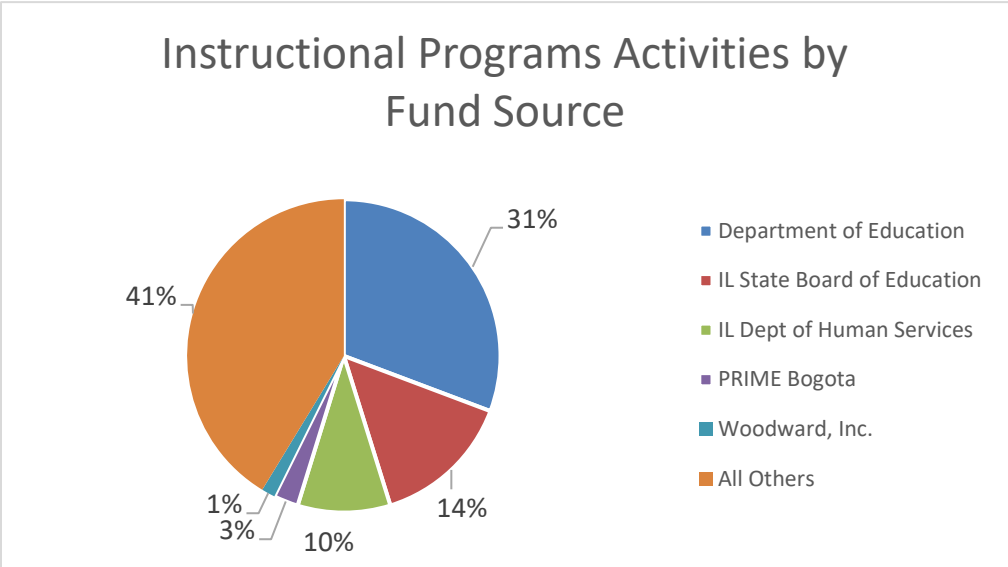


Figure 4
 Note: Other category includes agencies contributing 1% of the total.
 Amounts based on originating fund source.

Figure 4 shows the distribution of Instructional funding received this quarter. Most of the funding this quarter is continuing support for existing NIU instructional and training programs. The U.S. Department of Education accounted for 31% of all support allocated

across two projects in the Departments of Psychology (\$500K) and Special Education (\$250K). The project in the Department of Psychology aims to recruit, train, and graduate five scholars that will gain faculty positions to train, produce and fund research, to mentor, and to demonstrate leadership to support mental and behaviors services for all students. The project in the Department of Special and Early Education aims to increase the number and improve the quality of personnel who are fully credentialed as teachers of students with visual impairments (TVIs) or orientation and mobility specialists (OMSs) to serve diverse children.

The Illinois State Board of Education provided 14% of the support amounting to \$353K, specifically designated for the NIU Preschool for All Expansion program. The Illinois Department of Human Services provided 10% of the support, totaling \$233K, directed towards Peer Recovery Training through the School of Interdisciplinary Health Professions.

In addition to these awards, the College of Business, and the Department of Industrial and Systems Engineering reported \$1M and \$78K in locally managed instructional awards.

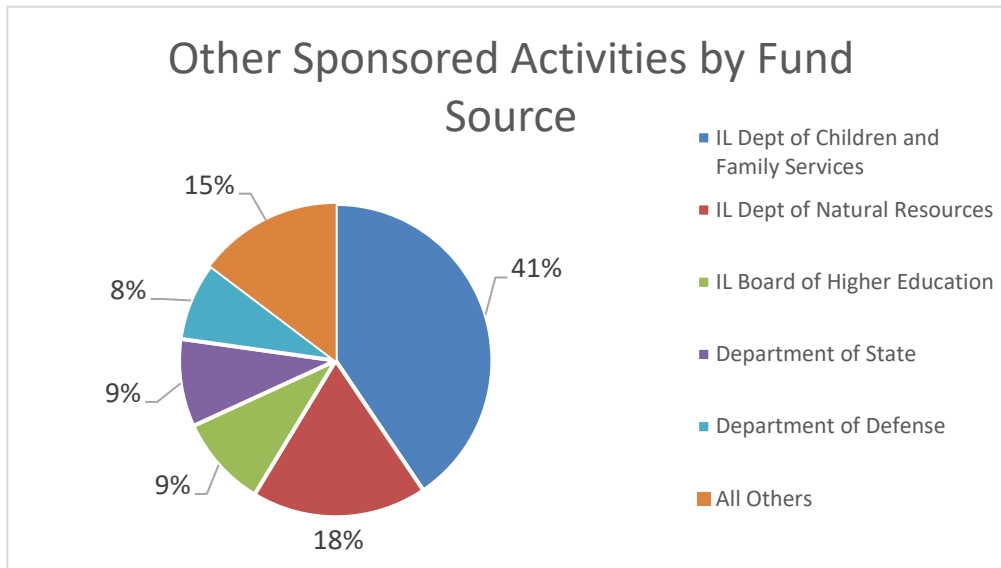


Figure 5

Note: Other category includes agencies contributing 1%-6% of the total.

Amounts based on originating funding source.

Most of the funding for Other Sponsored Activities (Figure 5) came from the Illinois Department of Children and Family Services (41%) and the Illinois Department of Natural Resources (18%). These agencies allocated funds for various ongoing programs. The

Center of Child Welfare and Education received \$1.1M from the Illinois Department of Children and Family Services to enhance academic success and graduation opportunities for youths under the care of the department. The Institute for the Study of the Environment, Sustainability and Energy received \$500K from the Illinois Department of Natural Resources to manage planning and data requirements of state and local parks, as well as open space lands in Illinois.

Faculty in several other departments secured awards such as the Center for Southeast Asian Studies receiving an award of \$250M from the Bureau of Educational and Cultural Affairs/Department of State to engage young advocates in environmental preservation and peacebuilding. The Center for the Study of Family Violence and Sexual Assault was awarded \$223K from Cherokee Nation Businesses to continue working on Air Force Family Advocacy Programs. The Occupational Safety and Health Administration/Department of Labor awarded \$158K for the Continuing Professional Education unit; the National Park Service/Department of the Interior supported two projects in the Anthropology department totaling \$121K; Columbia University provided \$71K in National Science Foundation funding to faculty in the Department of Earth, Atmosphere and Environment; the Illinois Network of Child Care Resource and Referral Agencies to support the School of Family and Consumer Sciences (\$29K); and Argonne National Laboratory supporting the Department of Statistics and Actuarial Science (\$24K).

Across various colleges, significant cumulative increases were observed (Table 1). The increase of 193% for the College of Engineering and Engineering Technology is due to the previously mentioned funding from the Werner Company (\$673K) as well as funding from the National Science Foundation (\$197K), and the University of Illinois at Urbana-Champaign/SHIELD program (\$118K). The College of Health and Human Sciences increase of 40% is due to funding from the Illinois State Board of Education for the School of Family and Consumer Sciences (\$353K) and the Illinois Department of Human Services for the School of Interdisciplinary Health Professions (\$233K). The Division of Student Affairs reported below under Other University Units experienced an increase of 489% due to funding from the Illinois Board of Higher Education (\$171K) as part of the Mental Health Early Action on Campus Act. The College of Business

showed an increase of 54% due to awarded instructional contracts reported, but not managed, through SPA.

Table 1

College	Organized Research	Instructional Programs	Other Sponsored Activities	FY24 - 2nd Qtr. Totals	FY23 - 2nd Qtr. Totals	% Change
Business	-	\$ 1,025,441	-	\$ 1,025,441	\$ 665,104	54%
Education	\$ 273,863	\$ 1,950,000	\$ 329,725	\$ 2,553,588	\$ 2,951,278	-13%
Engineering & Engineering Technology	\$2,093,820	\$ 78,300	\$ 15,304	\$ 2,187,424	\$ 746,007	193%
Health and Human Sciences	\$ 300,202	\$ 1,633,200	\$ 786,753	\$ 2,720,155	\$ 1,937,982	40%
Law	-	-	-	-	\$ 181,969	-
Liberal Arts and Sciences	\$5,862,840	\$ 750,000	\$2,066,870	\$ 8,679,710	\$ 8,662,075	0%
Visual and Performing Arts	-	-	\$ 10,600	\$ 10,600	\$ 20,111	-47%
Outreach, Engagement and Regional Development	\$ 157,500	-	\$1,795,323	\$ 1,952,823	\$ 9,779,983	-80%
Other Academic Units	\$2,467,472	\$ 141,046	-	\$ 2,608,518	\$ 2,885,775	-10%
Other University Units	\$ 1,000	-	\$ 210,946	\$ 211,946	\$ 36,000	489%
Total	\$11,156,697	\$5,577,987	\$5,215,521	\$21,950,205	\$27,866,286	-21%

A full listing of all sponsored awards received for the second quarter follows.

ANTHROPOLOGY

National Park Service/Department of the Interior for "NAGPRA: Facilitating Visits by Tribal Representatives for Consultation at the Pick Museum of Anthropology, NIU Pick Museum." Christy A DeLair.

Award: \$21,224.00.

October 1, 2023 - September 30, 2024. (Other Sponsored Activities) Other.

This project will support successful consultations leading to repatriations of sacred objects and objects of cultural patrimony for the Navajo Nation and the Hopi Tribe of Arizona; and to develop internal practices to facilitate future consultations.

National Park Service/Department of the Interior for "Consultation on Human Remains and Archaeological Materials in the Department of Anthropology Collections at NIU." Leila M Porter/Christy A DeLair.

Award: \$100,000.00.

October 1, 2023 - September 30, 2024. (Other Sponsored Activities) Other.

The project will support the rehire of a technician who will coordinate and manage efforts to bring the department and museum osteological and archaeological collections in compliance with NAGPRA regulations.

BIOLOGICAL SCIENCES

University of Illinois at Urbana-Champaign for "SHIELD Legacy at NIU: Leveraging COVID-19 Lessons Learned in Institutional Decision-Making and the Wastewater Surveillance Pathogen Testing Laboratory as a Forum for Training the Next Generation of Laboratory Technicians in State Workforce Development." Barrie P Bode.

Award: \$145,573.03.

June 30, 2023 - June 30, 2025. (Organized Research) Applied Research.

This project investigates the impact of SHIELD testing on institutional decision-making and policies during the pandemic, as well as the use of the wastewater surveillance testing lab as a forum for student training and workforce development.

University of Illinois at Urbana-Champaign for "A Pilot Study on the Prevalence and Rise of Antibiotic-Resistant Genes in Urban and Rural Illinois." Pallavi Singh.

Award: \$30,000.00.

September 1, 2023 - August 31, 2024. (Organized Research) Applied Research.

The purpose of the proposed research is to build capacity at Illinois' institute of higher education for the detection of these antibiotics gene markers in soil, water, and sewage system.

CENTER FOR SOUTHEAST ASIAN STUDIES

Bureau of Educational and Cultural Affairs/Department of State for "PYLP 2021: Engaging Young Advocates in Environmental Preservation and Peacebuilding." Rhodalyne Q Gallo-Crail/Eric A Jones.

Award: \$249,999.00. Cumulative \$749,998.00.

September 17, 2021 - December 31, 2024. (Other Sponsored Activities) Public Service.

Exchange program bringing teenagers and adult educators from the Philippines to the U.S. for exposure to/training in civic education, leadership, diversity, and community engagement, and preparation to conduct projects at home that serve a community need.

CENTER FOR THE STUDY OF FAMILY VIOLENCE AND SEXUAL ASSAULT

Cherokee Nation Businesses for "Continuation: Subcontract Relating to Air Force Family Advocacy Programs." Joel S Milner/Joe A Ammar/Julie L Crouch.

Award: \$223,000.00. Cumulative \$646,000.00.

September 30, 2023 - September 29, 2024. (Other Sponsored Activities) Public Service.

NIU will assist with the evaluation and enhancement of the Air Force Family Advocacy Program and New Parent Support Program.

CHEMISTRY AND BIOCHEMISTRY

National Science Foundation/NSF for "Collaborative Research: DMREF: High-Throughput Screening of Electrolytes for the Next-Generation of Rechargeable Batteries." Tao Li.

Award: \$760,000.00.

October 1, 2023 - September 30, 2027. (Organized Research) Applied Research.

The research aims to uncover the structure-property relationships of battery liquid electrolytes to provide a scientific basis for the development of high-performance, safe, and sustainable energy storage solutions.

COLLEGE OF BUSINESS

Lakeview Precision Machining, Inc. for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

National Express, LLC for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

84.51 Stratum for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

University of Illinois Extension for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Wolters Kluwer for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Apollo Program II, Inc. for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Zurn Elkay Water Solutions for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Danfoss LLC for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Chase Bank for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Conexiom for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Motorola Solutions for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

AT&T for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Kenco Group for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Krex, Inc. for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

U.S. Army Reserve/Department of the Army/DoD for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Abbott Laboratories for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Schmid Tool & Engineering Corporation for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Huntington National Bank for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Insurance Auto Auctions, Inc. for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Lakeshore Recycling Systems for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

State Farm Insurance Companies for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Rubicon for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

AbbVie Inc. for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Metra Commuter Rail for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Access Community Health Network for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Youth Guidance Chicago for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Deloitte for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Inner-City Muslim Action Network for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Structure Re-Right Inc. for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Abbott Laboratories for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

City of Chicago for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Misericordia Heart of Mercy for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Brookfield Properties for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Amazon.com, Inc. for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$3,300.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

CDK Global, Inc. for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Hi-Tech Polymers, Inc. for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

NDTR Center Inc. for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Greater Chicago Food Depository for "MBA Chicago." Anthony W Preston/Burim Ramadan.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Walgreens Company for "MBA Chicago." Anthony W Preston/Burim Ramadan.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Amazon.com, Inc. for "MBA Chicago." Anthony W Preston/Burim Ramadan.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Amada Senior Care South Shore for "MBA Chicago." Anthony W Preston/Burim Ramadan.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Department of the Air Force/DoD for "MBA Chicago." Anthony W Preston/Burim Ramadan.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Plant Moran for "MBA Chicago." Anthony W Preston/Burim Ramadan.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Tata Consultancy Services for "MBA Executive." Anthony W Preston/Burim Ramadan.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Northwestern Medicine for "MBA Chicago." Anthony W Preston/Burim Ramadan.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Danfoss LLC for "MBA Schaumburg." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Daniel Management Group for "MBA Chicago." Anthony W Preston/Burim Ramadani.

Award: \$14,400.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Housing Finance Specialist for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

PRIME Bogota for "Workshop." Anthony W Preston/Burim Ramadani.

Award: \$37,100.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

PRIME Bogota for "Workshop." Anthony W Preston/Burim Ramadani.

Award: \$25,000.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

CVS Health for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Siemens for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Onbe for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Harting, Inc. for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Lakeshore Recycling Systems for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Allstate Insurance Corp. for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Flinn Scientific Inc. for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Rush-Copley Medical Center for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Fortune Brands Innovations for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Rush University Medical Center for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,659.09.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Ring Container Technologies for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Nestle Brands Company for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Enlighten & Fulfilled LLC for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$9,750.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

The Good Fruit Company for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Global Contract Manufacturing for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

QuidelOrtho for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

METRIE for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

New Plan Learning Inc. for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Elite Dental Partners for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Boyarski Development Co. for "MBA Executive." Anthony W Preston/Burim Ramadani.

Award: \$14,250.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

CONTINUING PROFESSIONAL EDUCATION

Occupational Safety and Health Administration/Department of Labor for "Susan Harwood Training Program 2023-2024: Targeted Training Program." Pettee Borissova.

Award: \$158,531.00.

September 30, 2023 - September 30, 2024. (Other Sponsored Activities) Public Service.

This project will fund the development and delivery of machine guarding training to prevent fatalities and amputations.

CENTER FOR CHILD WELFARE AND EDUCATION

Illinois Department of Children and Family Services for "FY24 Education Access Project." Yasmina Sefiane.

Award: \$1,117,892.00.

July 1, 2023 - June 30, 2024. (Other Sponsored Activities) Public Service.

The overall purpose of the Educational Access Project (EAP) is to promote academic success and improve opportunities for graduation for youth who are in the care in the Illinois Department of Children and Family Services.

EARTH, ATMOSPHERE AND ENVIRONMENT

Columbia University for "US Advisory Committee (USAC) Chair, Justin Dodd." Justin P Dodd.

Award: \$71,354.00.

October 1, 2023 - September 30, 2024. (Other Sponsored Activities) Public Service.

The project includes 4 months of salary support for the PI (Dodd) to serve as the chair of the US Advisory Committee for Scientific Ocean Drilling for a 1-yr period (10/1/2023 - to be administered as a subaward from Columbia University (USSSP-IODP).

National Science Foundation/NSF for "Investigating Motivation to Participate in STEM Disability Communities of Transformation." Megan R Brown.

Award: \$259,580.00.

October 1, 2023 - September 30, 2024. (Organized Research) Applied Research.

The proposed pilot research investigates the motivation of individuals to participate in a special type of Community of Practice, Communities of Transformation (CoT), which work to increase inclusion and accessibility for disabled people in STEM.

National Aeronautics & Space Administration/NASA for "Testing Early Mars Climate from Global Spatial Distribution of Valley Network Geomorphology." Wei Luo.

Award: \$114,427.00. Cumulative \$335,067.00.

July 1, 2023 - June 30, 2024. (Organized Research) Basic Research.

The goal of this project is to test which climate scenario on early Mars is most consistent with the spatial distribution of valley network geomorphology (e.g., Hack's law exponent, basin hypsometry, circularity, and valley depth) on a global scale.

INSTITUTE FOR THE STUDY OF THE ENVIRONMENT, SUSTAINABILITY, AND ENERGY

Illinois Department of Natural Resources for "IDNR Planning and Data Support." Thomas S Skuzinski.

Award: \$500,000.00.

October 3, 2023 - October 2, 2024. (Other Sponsored Activities) Public Service.

This project engages ESE in activities supporting the Illinois Department of Natural Resources (IDNR) in the ongoing planning and data needs of the state and local parks and open space lands in Illinois.

SCHOOL OF FAMILY AND CONSUMER SCIENCES

Illinois Network of Child Care Resource & Referral Agencies for "Smart Start Transition Grant." Kristin E Schulz.

Award: \$29,900.00.

October 1, 2023 - December 31, 2023. (Other Sponsored Activities) Public Service.

This grant will provide funding to support the Child Development and Family Center.

Illinois State Board of Education for "NIU Preschool for All Expansion." Thomas W Pavkov/Amy S Lofthouse.

Award: \$352,949.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

This project will enhance cohesive and comprehensive early learning opportunities for preschoolers in the DeKalb community.

INDUSTRIAL AND SYSTEMS ENGINEERING

Woodward, Inc. for "Lean Six Sigma Workshops." Gary Chen.

Award: \$34,200.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Werner Co. for "Lean Six Sigma Workshops." Gary Chen.

Award: \$21,600.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Motorola Solutions for "Senior Design." Gary Chen.

Award: \$7,500.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

SPX Flow for "Senior Design." Gary Chen.

Award: \$7,500.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Suncast Corporation for "Senior Design." Gary Chen.

Award: \$7,500.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

University of Illinois at Urbana-Champaign for "Integrated Manufacturing and In-situ Computer Vision-based Inspection for Robotically Automated Production." Niechen Chen/Christine V Nguyen/Purushothaman Damodaran.

Award: \$25,000.00.

December 1, 2023 - November 30, 2024. (Organized Research) Applied Research.

The purpose of the project is to develop an integration of manufacturing and inspection processes using collaborative robots (cobots).

Werner Co. for "Improving Manufacturing Operations and Developing Automation Solutions." Purushothaman Damodaran/Niechen Chen.

Award: \$673,528.61. Cumulative \$832,598.08.

January 1, 2024 - December 31, 2025. (Organized Research) Applied Research.

The project aims to analyze an assembly line to identify and mitigate bottlenecks. The project also will aim at implementing automation solutions for some of the operations.

University of Illinois at Urbana-Champaign for "Forging the Shield: Creating and Optimizing a Supply Chain for Disease Testing in A Pandemic." Ziteng Wang.

Award: \$118,398.00.

June 30, 2023 - June 29, 2025. (Organized Research) Applied Research.

The purpose of the project is creating and optimizing a supply chain for disease testing in a pandemic.

INTERCOLLEGIATE ATHLETICS

Great Lakes Athletic Trainers' Association (GLATA) for "To Disclose or Not to Disclose: Insight Into the Professional Interactions of LGBTQ Plus Athletic Training Students." Ashley C Bosch/Tyler A Wood.

Award: \$1,000.00.

September 1, 2023 - August 31, 2024. (Organized Research) Applied Research.

The purpose of this study is to determine what factors impact the rate of disclosure of LGBTQ+ identities and what the implications of disclosure are for athletic training students.

MECHANICAL ENGINEERING

University of Illinois at Urbana-Champaign for "Engineering Sustainable Composite Membranes for Water Filtration and Remediation." Bobby Sinko/Sabrina Nilufar.

Award: \$30,000.00.

November 1, 2023 - October 31, 2024. (Organized Research) Applied Research.

To ensure equitable access to clean water, filtration solutions that are affordable, customizable, and rapidly deployable are needed. This work will develop new composites that are low-cost, sustainable, and easily manufacturable for this purpose.

National Science Foundation/NSF for "The Role of Posture and Stress in Human Crowd Dynamics During Emergency Situations." Sachit Butail.

Award: \$197,535.00.

October 1, 2023 - September 30, 2024. (Organized Research) Applied Research.

The goal is to develop data-driven modeling of contagion in evacuating crowds.

NURSING

University of Iowa for "Smart Tablet Education for Healthy Living for Community Dwelling Older Adults." Anitha Saravanan.

Award: \$10,000.00.

August 1, 2023 - July 31, 2024. (Organized Research) Applied Research.

The STEHL program is aimed to develop an intervention and evaluate the effectiveness of the STEHL intervention to older adults dwelling in the Dekalb community to improve their knowledge on healthy living and improve their quality of life.

PHYSICS

State University of New York at Stony Brook for "US ATLAS Operations." Jahred A Adelman.

Award: \$77,058.00. Cumulative \$461,117.00.

February 1, 2022 - January 31, 2027. (Organized Research) Applied Research.

Funds are requested to develop and maintain the ATLAS calibration database and related software.

Columbia University for "EF Tracking - ACTS Interface Toolset." Jahred A Adelman.

Award: \$271,468.00.

May 1, 2023 - April 30, 2024. (Organized Research) Basic Research.

The award will fund a Software Engineer to work on the ATLAS experiment with a focus on charged particle tracking in the trigger system. It also includes issuance of NSF's portion of funds to CERN for hardware purchases.

Cornell University for "Monte Carlo Modeling of Photoemission from Semiconductors." Oksana Chubenko.

Award: \$130,639.00. Cumulative \$252,729.00.

October 1, 2023 - September 30, 2024. (Organized Research) Applied Research.

This project will improve emission capabilities of conventional and novel electron sources through detailed modeling of electron emission from bulk semiconductors and layered structures, identifying and reducing performance limiting mechanisms.

Cornell University for "Optical Transport and Beam Manipulation for Optical Stochastic Cooling." Philippe Piot.

Award: \$157,080.00. Cumulative \$581,447.00.

October 1, 2023 - September 30, 2024. (Organized Research) Basic Research.

Funds are requested to develop subsystems (laser amplifier and electron-beam bypass beamlines) associated with the Optical Stochastic Cooling technique.

PSYCHOLOGY

Department of Education for "Projects SMARTS." Julia A Ogg/Michelle Demaray.

Award: \$500,000.00.

October 1, 2023 - September 30, 2024. (Instructional Programs) Instructional Programs.

The program will recruit, train, and graduate five scholars that will gain faculty positions to train, produce and fund research, mentor, and demonstrate leadership to support mental and behaviors services for all students.

SCHOOL OF HEALTH STUDIES

University of Illinois at Urbana-Champaign for "A Comprehensive Public Health Approach to Asthma Control Through Evidence-Based Interventions: Asthma Program Evaluation." Arlene M Keddie.

Award: \$74,130.00. Cumulative \$93,290.00.

July 1, 2023 - June 30, 2024. (Organized Research) Applied Research.

The project involves implementing the data collection, analysis and manuscript writing of qualitative evaluation, and creating the plan for at least one other one.

SCHOOL OF INTERDISCIPLINARY HEALTH PROFESSIONS

Illinois Department of Human Services for "Peer Recovery Training." Paul E Priester.

Award: \$233,585.00. Cumulative \$1,280,251.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

This program will train individuals with a lived experience of mental illness and/or substance use disorders to become certified mental health professionals.

SPECIAL AND EARLY EDUCATION

Department of Education for "Project Justice, Equity, Diversity, and Inclusion (JEDI); Preparing Diverse Scholars in Teacher of the Visually Impaired and Orientation and Mobility Programs to Serve Diverse Children with Visual Impairments and High-Intensity Needs." Stacy M Kelly/Molly A Pasley.

Award: \$250,000.00.

October 1, 2023 - September 30, 2024. (Instructional Programs) Instructional Programs.

The purpose of this project is to increase the number and improve the quality of personnel who are fully credentialed as teachers of students with visual impairments (TVIs) or orientation and mobility specialists (OMSs) to serve diverse children.

STATISTICS

Argonne National Laboratory for "Design, Conduct, and Analyze the Annual User Survey for the Argonne National Lab." Lei Hua.

Award: \$23,952.57.

October 23, 2023 - April 30, 2024. (Other Sponsored Activities) Testing/Evaluation.

Design, conduct, and analyze the annual user survey for the Argonne National Lab.

STUDENT AFFAIRS

Illinois Board of Higher Education for "FY24 MHEAC Mental Health Early Action on Campus Act." Clint-Michael Reneau/Kelly L Olson.

Award: \$171,513.00.

July 1, 2023 - June 30, 2024. (Other Sponsored Activities) Student Service.

The goal of this project is to address gaps in mental health services on college campuses across Illinois through training, peer support and community-campus activities.

VP MARKETING AND COMMUNICATIONS

Illinois Board of Higher Education for "Common Application FY24." Sol D Jensen.

Award: \$89,800.00.

July 1, 2023 - June 30, 2024. (Other Sponsored Activities) Other.

Funds will be used to support NIU's implementation and membership in the Common App.

VP RESEARCH AND INNOVATION PARTNERSHIPS

National Science Foundation/NSF for "Conference: INTEGRaL: Innovation Network To Enhance and Grow Research Infrastructure and Links." Kellie M Dyslin.

Award: \$99,631.00.

October 1, 2023 - March 31, 2025. (Organized Research) Conference.

This project will convene regional institutions to discuss how they can collaborate and share expertise to attract and retain talented research administrators and scale the research administration infrastructure across the research enterprise.

Agenda Item 8.b.7.
March 21, 2024

Information

**NORTHERN ILLINOIS UNIVERSITY EDIBLE CAMPUS PROGRAM AN
EXAMPLE OF FOOD SYSTEMS INNOVATION COLLABORATIONS**

Assistant Director for Food Systems Innovation, Bryan Flower will give a presentation on food systems innovation and collaboration with NIU, ComEd and EPRI. This presentation supports University Goal 5B by expanding opportunities to create knowledge and community engagement related to food systems and educate the next generation of environmental scientists and stewards.

QUARTERLY FINANCIAL SUMMARY FISCAL YEAR 2024 SECOND QUARTER AS OF DECEMBER 31, 2023

Background: With the December 31st conclusion of the second quarter of FY24, the University is now able to update the Board of Trustees on NIU’s financial performance relative to the current year budget and prior year actuals.

The following update provides a disaggregated view of NIU’s budget and its financial performance, consistent with the format used when the Board of Trustees adopted the FY24 budget in June 2023. Specifically, this revised format displays the following tables:

- **All Funds Financial Summary:** Similar to the traditional table presented in past quarterly financial summary reports. It is a “roll-up” of the “recurring” and “non-recurring” budgets and activities. Consistent with the FY24 budget, grant-supported research is intentionally removed from all FY24 reports, a change in practice from FY23 and prior fiscal years.
- **Recurring Financial Summary:** Focuses on regular, ongoing revenues and expenses of the University. The Recurring Financial Summary includes grant-supported financial aid programs, like Pell grants.
- **Non-Recurring Financial Summary:** Focuses on short-term or temporary revenues and expenses.

Reference information about each table of this FY24 Second Quarter Financial Summary is explained in more detail at the end of this report. In addition to presenting multiple views of the FY24 budget, this financial summary also provides an update on the FY24 budget itself. This revised budget includes \$401.4M in expected revenues and \$435.1M in planned spending resulting in a \$33.7M deficit. The increase in budgeted deficit is responsive to strategic investment opportunities that emerged during the first half of the fiscal year.

Specific revenue and expense categories in the tables below are consistent with the following descriptions, which precede the presentation of the FY24 financial summary.

Revenues

1. **Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
2. **Gifts, Grants, and Contracts:** Includes grant revenue to support financial aid programs, like Pell Grants and AIM High awards.
3. **Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.
4. **Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
5. **Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.

6. **Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.
7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
8. **State of Illinois:** Represents the annual state appropriation to public universities.

Expenses

1. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
2. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
3. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.
4. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
5. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
6. **Automotive:** Includes the cost to rent cars, vans, and busses from the University transportation department.
7. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's net revenue).
8. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
9. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.
10. **Capital Repairs:** Includes the cost of centralized capital repair activities.
11. **Debt Service:** Includes debt service payment on bonds, certificates of participation, and performance contracts.
12. **Miscellaneous Expense:** Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

Northern Illinois University
as of Dec 31, 2023
All Funds Financial Summary
(\$ in thousands)

	<u>Current Year FY24</u>			<u>Prior Year FY23</u>		<u>Current Year FY24</u>		
	<u>YTD Actuals</u>	<u>YTD Budget</u>	<u>Variance</u>	<u>YTD Actuals</u>	<u>Variance</u>	<u>Forecast</u>	<u>Annual Budget</u>	<u>Variance</u>
Revenue								
Tuition & Student Fees	\$ 159,524	\$ 158,597	\$ 926	\$ 156,959	\$ 2,565	\$ 165,968	\$ 164,323	\$ 1,645
Gifts, Grants, & Contracts - Financial Aid	15,724	15,058	666	14,134	1,591	28,850	28,850	-
Gifts, Grants, & Contracts - Other	3,204	3,781	(577)	2,991	213	7,206	7,243	(37)
Other Fees and Fines	3,499	2,821	678	3,010	489	7,895	5,544	2,351
Sales	25,860	25,874	(13)	22,675	3,185	39,629	39,958	(330)
Rental & Room Income	36,103	37,162	(1,060)	34,859	1,244	37,866	37,360	506
Gate Receipts & Commissions	1,131	3,064	(1,933)	2,699	(1,568)	1,800	4,636	(2,837)
Miscellaneous & Investment Income	6,750	6,153	597	8,315	(1,565)	19,089	14,876	4,213
State of Illinois	92,848	85,733	7,115	80,143	12,704	98,648	98,648	-
Total Revenue	\$ 344,643	\$ 338,244	\$ 6,399	\$ 325,784	\$ 18,859	\$ 406,950	\$ 401,438	\$ 5,512
Expenses								
Cost of Sales	\$ 2,972	3,277	\$ (305)	\$ 2,650	\$ 322	\$ 6,160	\$ 6,801	\$ (642)
Personnel Services	117,583	111,579	6,004	102,617	14,967	233,005	233,596	(591)
Contractual Services	39,333	37,161	2,172	36,073	3,260	81,652	80,237	1,415
Commodities	2,958	3,201	(243)	2,572	386	6,593	7,128	(535)
Travel	2,737	2,122	616	1,728	1,009	5,253	4,926	326
Automotive	361	519	(158)	401	(41)	669	842	(173)
Scholarships - Financial Aid	16,838	14,956	1,882	15,594	1,244	28,850	28,850	-
Scholarships - Other	19,010	19,613	(603)	18,343	667	37,833	37,833	(0)
Telecommunications	179	196	(18)	91	88	511	590	(78)
Equipment & Library Materials	4,567	3,018	1,549	3,522	1,045	8,765	8,765	-
Capital Repairs	981	782	199	725	256	3,503	3,503	-
Debt Service	12,939	12,939	-	6,283	6,656	22,058	22,058	-
Miscellaneous Expense	221	2	219	227	(7)	-	4	(4)
Total Expenses	\$ 220,677	\$ 209,363	\$ 11,314	\$ 190,827	\$ 29,851	\$ 434,850	\$ 435,132	\$ (282)
Net Revenue	\$ 123,966	\$ 128,880	\$ (4,914)	\$ 134,958	\$ (10,992)	\$ (27,900)	\$ (33,694)	\$ 5,794

Northern Illinois University
as of Dec 31, 2023
Recurring Financial Summary
(\$ in thousands)

	<u>Current Year FY24</u>			<u>Full Year FY24</u>		
	<u>YTD Actuals</u>	<u>YTD Budget</u>	<u>Variance</u>	<u>Forecast</u>	<u>Annual Budget</u>	<u>Variance</u>
Revenue						
Tuition & Student Fees	\$ 159,524	\$ 158,597	\$ 926	\$ 165,968	\$ 164,323	\$ 1,645
Gifts, Grants, & Contracts - Financial Aid	15,724	15,058	666	28,850	28,850	-
Gifts, Grants, & Contracts - Other	2,836	3,063	(227)	7,025	5,868	1,156
Other Fees and Fines	3,438	2,775	663	7,773	5,454	2,320
Sales	19,312	21,469	(2,156)	32,348	33,156	(807)
Rental & Room Income	36,103	37,162	(1,060)	37,866	37,360	506
Gate Receipts & Commissions	1,015	2,924	(1,909)	1,583	4,426	(2,843)
Miscellaneous & Investment Income	6,713	5,245	1,469	19,007	12,680	6,327
State of Illinois	92,848	85,733	7,115	98,648	98,648	-
Total Revenue	\$ 337,515	\$ 332,028	\$ 5,487	\$ 399,068	\$ 390,764	\$ 8,304
Expenses						
Cost of Sales	\$ 2,930	\$ 3,277	\$ (347)	\$ 6,160	\$ 6,801	\$ (642)
Personnel Services	115,115	110,173	4,942	230,097	230,651	(554)
Contractual Services	38,278	36,334	1,944	79,983	78,453	1,530
Commodities	2,838	3,112	(274)	6,402	6,931	(528)
Travel	2,737	2,020	718	4,992	4,690	303
Automotive	358	519	(161)	669	842	(173)
Scholarships - Financial Aid	16,838	14,956	1,882	28,850	28,850	-
Scholarships - Other	19,010	19,613	(603)	37,833	37,833	(0)
Telecommunications	149	195	(46)	511	587	(76)
Equipment & Library Materials	4,567	2,498	2,068	7,257	7,257	-
Capital Repairs	981	782	199	3,503	3,503	-
Debt Service	12,939	12,939	-	22,058	22,058	-
Miscellaneous Expense	106	2	104	-	4	(4)
Total Expenses	\$ 216,846	\$ 206,420	\$ 10,426	\$ 428,314	\$ 428,458	\$ (144)
Net Revenue	\$ 120,669	\$ 125,608	\$ (4,940)	\$ (29,246)	\$ (37,694)	\$ 8,448

Northern Illinois University
as of Dec 31, 2023
Non-Recurring Financial Summary
(\$ in thousands)

	<u>Current Year FY24</u>			<u>Full Year FY24</u>		
	<u>YTD Actuals</u>	<u>YTD Budget</u>	<u>Variance</u>	<u>Forecast</u>	<u>Annual Budget</u>	<u>Variance</u>
Revenue						
Tuition & Student Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts, Grants, & Contracts - Financial Aid	-	-	-	-	-	-
Gifts, Grants, & Contracts - Other	367	718	(350)	181	1,375	(1,194)
Other Fees and Fines	61	46	15	122	90	32
Sales	6,548	4,405	2,143	7,280	6,803	478
Rental & Room Income	-	-	-	-	-	-
Gate Receipts & Commissions	116	139	(24)	217	211	6
Miscellaneous & Investment Income	37	908	(871)	82	2,195	(2,113)
State of Illinois	-	-	-	-	-	-
Total Revenue	\$ 7,128	\$ 6,216	\$ 913	\$ 7,882	\$ 10,674	\$ (2,792)
Expenses						
Cost of Sales	\$ 42	\$ -	\$ 42	\$ -	\$ -	\$ -
Personnel Services	2,468	1,406	1,062	2,908	2,945	(37)
Contractual Services	1,055	826	228	1,670	1,785	(115)
Commodities	119	89	31	190	197	(7)
Travel	-	102	(102)	260	237	24
Automotive	2	-	2	-	-	-
Scholarships - Financial Aid	-	-	-	-	-	-
Scholarships - Other	-	0	(0)	-	0	(0)
Telecommunications	30	1	28	0	3	(3)
Equipment & Library Materials	-	519	(519)	1,508	1,508	-
Capital Repairs	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-
Miscellaneous Expense	115	-	115	-	-	-
Total Expenses	\$ 3,831	\$ 2,944	\$ 888	\$ 6,537	\$ 6,675	\$ (138)
Net Revenue	\$ 3,297	\$ 3,272	\$ 25	\$ 1,345	\$ 3,999	\$ (2,654)

All Funds Financial Summary

For the second quarter, the All Funds Financial Summary shows revenues and expenditures in excess of budget, resulting in net revenue of \$124M. FY24 net revenue for the second quarter was \$11M less than FY23 despite improved revenues of \$18.9M. Similar to the first quarter, increases in spending on personnel, contracts and debt service in FY24 drove the variance to the prior year. Current trends suggest a full year of activity will produce an improved deficit (\$27.9M) compared to budget (\$33.7M). This projected improvement results from higher investment income driven by favorable interest rate trends.

Recurring Financial Summary

Through the second quarter of the fiscal year, recurring performance is projected to exceed budget in total revenues (\$8.3M improvement) with expenses in-line with budget, netting to a projected reduction of the expected deficit of \$8.4M. Primary drivers of this outlook include:

- Miscellaneous & Investment Income is projected to exceed budget because of the current elevated interest rates. NIU's cash holdings resulted in interest and investment earnings that already meet budget expectations (\$1.5M) and are projected to exceed budget by \$4.5M over the full fiscal year.
- Contractual Services expenses are trending above budget due to higher than expected utility costs in housing and dining.

Non-Recurring Financial Summary

The Non-Recurring Financial Summary reports net revenue consistent with budget. Full-year projections suggest revenues may fall below expectations while expenses should be in line with budget. Early-year non-recurring projections of revenues and expenses depend greatly on early-year activities that may be impacted by timing differences between budget plans and financial activity. Future projections and budget reports will provide improved projections with respect to the Non-Recurring budget.

Consistent with prior fiscal years, quarterly financial summaries will continue throughout FY24. The format and information in this report may evolve throughout the year, consistent with an iterative approach to producing and reporting a recurring budget framework.

For reference, definitions and data associated with each table presented above are described in detail below.

All Funds Financial Summary

This table is a roll-up of the other tables presented in this report and includes the Recurring budget and Non-Recurring budget. Activity not reported in this table or any of its component tables is sponsored research revenue and expenses, agency funds that are not formally revenue or expenses of the University, and internal service-type operations. The table includes a summary of FY24 activity through December 31 compared to a year-to-date budget (pro-rated based on recent-year history), a summary of second quarter activity from the prior fiscal year adjusted to a similar All Funds basis, and a comparison to the FY24 budget, including a full-year projection of performance based on the pace of revenues and spending throughout the second quarter of FY24.

Recurring Financial Summary

This table identifies ongoing and normal revenues and expenses of the University. To identify these revenues and expenses, the Office of the Budget and Financial Planning structured a multi-step process to leverage the expertise of more than one dozen NIU senior business managers possessing detailed knowledge of unit-level revenues and spending. The multi-week analysis

resulted in data reflecting each budgeted fund of the University and each revenue and expense category that is presented in the above tables. In total, 97.6% of non-grants budgeted revenues in FY24 and 98.2% of non-grants budgeted expenses were identified as recurring. Examples of recurring revenues include Tuition & Fees, Rental & Room Income, and the State of Illinois appropriation to support NIU operations. Examples of recurring expenses include 98.8% of Personnel Services spend, Scholarships expense, and Debt Service.

The intent of the Recurring Financial Summary is to create a budget framework capable of anchoring a multi-year planning and budgeting. Through this focus on recurring revenues and expenses, a forward-looking understanding of resources and commitments can be leveraged for multi-year planning purposes.

Non-Recurring Financial Summary

The Non-Recurring Financial Summary identifies unpredictable or temporary revenues and expenses of the University. This non-recurring view of the budget was compiled using a similar approach and data to the recurring budget information. In total, 2.4% of non-grants budgeted revenues in FY24 and 1.8% of non-grants budgeted expenses are designated as non-recurring. Examples of non-recurring revenues include 33% of Gifts, Grants, & Contracts revenue and 23.5% of Sales income. Examples of non-recurring expenses include 25.1% of Equipment & Library Materials and 5.8% of Travel.

The intent of the Non-Recurring Financial Summary is to identify temporary resources that may be available for strategic investment and to identify short-term expenses that may create strain for recurring or non-recurring resources.

PERIODIC REPORT OF CASH AND INVESTMENTS FOR PERIOD ENDING DECEMBER 31, 2023

In accordance with the University's Investment and Cash Management policy, this report on cash and investments is submitted at the end of each calendar quarter to the Board of Trustees. This report is required by *Board of Trustees Regulations (Section V, Subsection D)*. The following schedules are included:

- Cash and Investment Holdings Summary as of December 31, 2023
- Investment Earnings for the quarter ended December 31, 2023

The Investment Advisory Group is responsible for monitoring compliance with the University's Investment and Cash Management Policy. The investment goals, as stated in the policy, are to insure the preservation of principal and maintain compliance with applicable state laws, rules, regulations, debt covenants and *Board of Trustees Regulations* while meeting cash flow needs and earning a yield acceptable to conservative investment managers.

The *Cash and Investment Holdings Summary* at December 31, 2023 shows NIU's total holdings at \$112M. Of the total holdings balance, approximately \$50M is available for daily operations providing approximately 40 days cash on hand. This operating cash balance is sufficient to meet the University's current obligations for approximately one and a half months based on the historical burn rate of \$1.2M per day. The remaining balance is restricted per collateralization requirements, contractual obligations, and funds held for investment. The total holdings balance is down \$29M from the balance at December 31, 2022. The decrease is primarily a result of increases in cash disbursements while cash receipts have held relatively flat. Anticipated cash flows from tuition and aid for the Spring 2024 term are expected to boost the third-quarter holdings balance, bringing it closer to the targeted 60-90 days cash on hand. The timing of cash receipts from state appropriations remains consistent with the prior year.

The *Investment Earnings* report shows income of \$1.8M and an overall annualized rate of return of 5.49% for the second quarter. This represents an increase in interest earned of approximately \$546K when compared to the same quarter of FY23. The increase in interest earned is primarily due to increasing market investment yields. Operating funds are primarily invested in interest-bearing savings accounts, money markets, and fixed-income securities to balance liquidity needs with investment earnings.

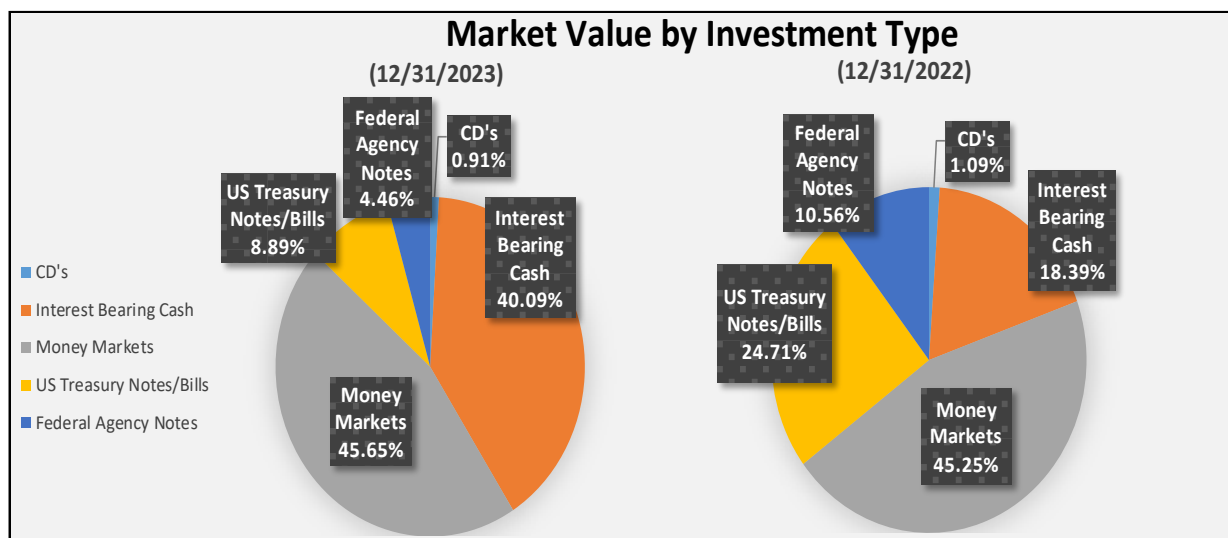
Recent indicators of economic activity suggest that the strong growth from prior quarters has slowed. Job gains have moderated in recent months but continue to remain strong, and the unemployment rate remains low. The Federal Open Market Committee decided to maintain the target range for the fed funds rate at 5.25% to 5.5% at its most recent meeting in December 2023. The Committee meets again on January 30 and January 31, 2024 and economists currently anticipate that rates will be held flat at the next meeting. Management is committed to NIU's fiscal sustainability and actively monitors market changes impacting the university's cash management and investment goals and deploys all available options within the limitations of the Illinois Public Funds Investment Act to strengthen the university's cash position.

NORTHERN ILLINOIS UNIVERSITY
CASH and INVESTMENT HOLDINGS SUMMARY

December 31, 2023

For Fiscal Year 2024

Investment Type	Purchase Price/ Ending Bal *	Book Value **	Market Value ***
CD's:			
Local Funds	\$ 1,012,563	\$ 1,012,563	\$ 1,012,563
Federal Agency Notes:			
Local Funds	\$ 4,934,857	\$ 4,972,186	\$ 4,965,762
Interest Bearing Cash Accounts:			
Local Funds	\$ 44,662,714	\$ 44,662,714	\$ 44,662,714
Money Markets:			
Contributory Trust	\$ 9,839,000	\$ 9,839,000	\$ 9,839,000
Local Funds	41,032,265	41,032,265	41,032,265
	<u>\$ 50,871,265</u>	<u>\$ 50,871,265</u>	<u>\$ 50,871,265</u>
US Treasury Notes/Bills:			
Local Funds	\$ 9,866,817	\$ 9,912,667	\$ 9,905,748
TOTAL INVESTMENT HOLDINGS	\$ 111,348,216	\$ 111,431,395	\$ 111,418,052
Non-interest Bearing Cash	\$ 281,340	\$ 281,340	\$ 281,340
TOTAL CASH & INVESTMENT HOLDINGS FOR 12/31/2023	\$ 111,629,556	\$ 111,712,735	\$ 111,699,392
TOTAL CASH & INVESTMENT HOLDINGS FOR 12/31/2022	\$ 141,046,351	\$ 141,339,962	\$ 141,153,723
INCREASE/(DECREASE) IN TOTAL CASH & INVESTMENT HOLDINGS	\$ (29,416,795)	\$ (29,627,227)	\$ (29,454,331)



* Amounts per Bank and Investment Statements

** Purchase price, net of accumulated amortization of premiums and discounts

*** Estimated price for which an investment would sell in the marketplace

Assets reported in the CASH and INVESTMENT HOLDINGS SUMMARY comply with the Illinois Public Funds Investment Act (30 ILCS 235) and the NIU Investment and Cash Management Policy

NORTHERN ILLINOIS UNIVERSITY
INVESTMENT EARNINGS
For Fiscal Year 2024
October 1, 2023 - December 31, 2023

	December 31 Ending Balance	Qtr 2 Average Daily Balance	Percent of Portfolio	Qtr 2 Income Earned	Annualized Rates of Return			
					FY2024		FY2023	
					2nd Qtr	1st Qtr	4th Qtr	3rd Qtr
Short-Term Investment Accounts								
Illinois Funds	\$ 41,032,265	\$ 52,043,563	40.95%	\$ 722,478	5.508%	5.419%	5.040%	4.485%
Investment Accounts - Financial Institutions	\$ 44,662,714	\$ 44,941,951	35.37%	\$ 630,954	5.570%	5.433%	5.023%	4.715%
Contributory Trust	\$ 9,839,000	\$ 9,838,857	7.74%	\$ 130,086	5.246%	5.163%	4.906%	4.393%
Escrow Account	\$ -	\$ -	0.00%	\$ -	0.000%	4.922%	4.674%	4.177%
CD's	\$ 1,012,563	\$ 1,012,563	0.80%	\$ 12,940	5.070%	4.725%	4.172%	3.857%
ST Investment Accounts Total	\$ 96,546,542	\$ 107,836,934	84.86%	\$ 1,496,458	5.506%	5.385%	5.005%	4.573%
Fixed Income Securities								
Local Funds	\$ 14,801,674	\$ 19,242,900	15.14%	\$ 262,896	5.420%	5.131%	4.819%	4.141%
Fixed Income Securities Total	\$ 14,801,674	\$ 19,242,900	15.14%	\$ 262,896	5.420%	5.131%	4.819%	4.141%
Non-interest Bearing Cash	\$ 281,340	-	-	-				
COMBINED TOTAL	\$ 111,629,556	\$ 127,079,834	100.00%	\$ 1,759,354	5.49%	5.34%	4.96%	4.43%

Assets reported in INVESTMENT EARNINGS comply with the Illinois Public Funds Investment Act (30 ILCS 235)
and the NIU Investment and Cash Management Policy

**PRESENTATION ON FACILITIES AND INFRASTRUCTURE CAPITAL
INVESTMENT**

John Heckmann, Associate Vice President for Facilities Management and Campus Services, Division of Administration & Finance, will give a presentation. Key updates in this report that are related to University Goal 6B and NIU's commitment to advancing facilities planning priorities.

FISCAL YEAR 2023 REPORT ON FACILITIES AND INFRASTRUCTURE CAPITAL INVESTMENT ACTIVITIES

Overview

FY23 was a year where more demand was placed on the campus built-environment with a return to more in-classroom instruction and a larger residential population as the university further adjusted to operating in a post-pandemic environment. While remote working and some online classes continue, most classes were taught in-person and campus operations became more normalized.

The increased utilization of the campus infrastructure placed more strain on building systems. This manifested in the form of unplanned outages requiring urgent repairs for elevators, water service, HVAC systems, etc. While increased capital investments are starting to materialize from state capital programs, the need to sustain these increased investment levels continues to be significant due to decades of under-investing. To help offset this vulnerability, NIU has continued to reduce the maintenance and repair demand by consolidating and right sizing the campus. Strategic property disposal continued in FY23 helping to reduce maintenance, repair, utility, and related support services costs.

Key updates in this report:

- **Capital Investment attainment:**
 - General Revenue areas: The FY23 funding was able to reach 0.77% of Plant Replacement Value (PRV) (where 2% is the desired target) representing the highest level since FY13. This attainment level is projected to double in the coming years to around 1.3% as more state-funded capital projects are executed.
 - Auxiliary areas: The FY23 funding was able to reach 0.65% of PRV (where 2% is the desired target) representing the highest level since FY15.
- **Rebuild Illinois Capital Execution:**
 - Capital Program: Planning efforts for the Health Technology Center advanced throughout FY23 to refine key features and the overall magnitude for the building. Design details will be developed in FY24.
 - Capital Renewal Program: From the collective \$66.7M of state capital renewal authorizations assigned to NIU, about \$5M has been executed, another \$27.7M is in process, and \$34M is awaiting action by the Capital Development Board.
- **Building Inventory changes:** FY23 saw the sale of the Hoffman Estates campus which caused a 0.65% reduction in the overall building space inventory for the campus. A similar disposition for the former Nursing building is under way in FY24 which will effectively reduce the building space inventory by another 0.3%.

Report Structure

As with previous reports, this format reviews and summarizes a holistic capital investment perspective based on the layered investment strategy of maintenance, major repairs, and mission enhancement capital improvement projects. A historical view is presented since FY00 to show both trends and the cumulative view of investments. This view is also categorized by the two major classifications of campus buildings as noted below. This differentiation is critical to understanding

the source of funds required to support each type of building and the supported University operation.

- General Revenue: Consists predominantly of academic and administrative operations, associated buildings and proportional supporting infrastructure. This conservatively represents about \$1.3B of Plant Replacement Value (PRV) which serves as a common denominator for comparing buildings.
- Auxiliary Revenue: Consists predominantly of athletics, housing/dining, recreation, and Holmes Student Center operations, associated buildings, and proportional supporting infrastructure. This also conservatively represents about \$1.3B of PRV.

The format of this report is consistent with previous capital investment reports with the intent to (1) provide a strategic view of all investments made in facility assets, (2) present an indication of the level of investment required, and (3) continue to illustrate the overall health of the campus physical plant. A more specific description of capital projects executed is provided in Appendix A showing highlights of significant actions from the past ten years. Appendix B is the semi-annual accounting of all current active projects >\$100,000. These appendices do not provide strategic perspective with the information provided but help illustrate examples for the types of work supported by the various investment categories.

Information presented represents a best effort at collecting and interpreting historical financial data into a meaningful format and developing an investment strategy/model to guide future focus. The facility sustainment investment model, initially described in the FY17 report, continues to be utilized as a method of comparison to industry standards and a required investment level. The basis of this model is built on the premise that about 2% of PRV is the required maintenance and repair investment to keep up with the expected rate of deterioration. Industry facility management experts have concluded that investment levels of between 2% and 4% are generally required depending on the type of facility, level of use, and current state of deferred deficiencies. If investments levels are too low, the backlog of deferred requirements continues to build as deficiencies accumulate, and overall repairs become more costly to restore to acceptable conditions as deficiencies worsen. Like with any valuation criteria, this PRV calculation will vary with time. New for this year's report, an effort was made to represent the changing value of the PRV and the subsequent investment target.

Maintenance Investments

Maintenance is a fundamental investment required to keep facilities operational and functional. The actions represented in this investment category include (1) preventive maintenance (PM) which helps ensure building systems function for the intended life, and (2) corrective repairs which allow for smaller critical (and sometimes interim) repair actions to keep the building functioning daily. To help maintain a focus on preventive maintenance, the university has a formal PM program where tasks intended and accomplished are tracked.

The results for FY23 are shown in Figure 1 below and reflect how PM completion is very dependent on available maintenance funding and on the magnitude of corrective repairs which may be necessary to keep building systems functioning. The demand for corrective repairs is a direct reflection of the lack of major repair investments. If major repairs continue to be deferred, corrective repairs will increase and become more significant. Consequently, PM efforts often must be deferred/not performed to accommodate unavoidable corrective repairs. For FY23, more PMs were able to be completed than in FY22 which is an indication of focused effort.

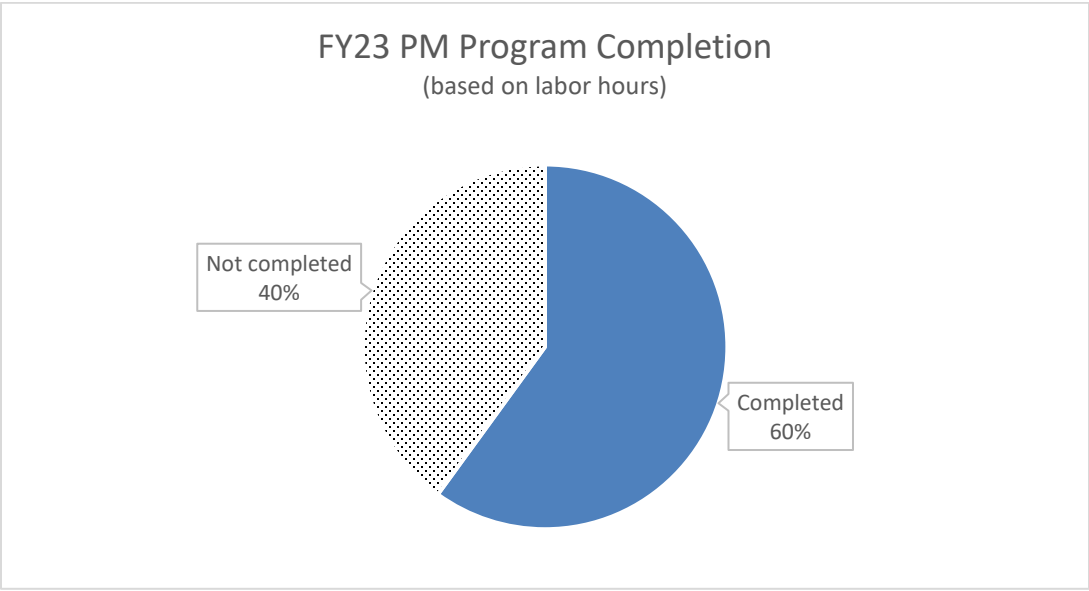


Figure 1

Figure 2 reflects the portions of preventive maintenance and corrective repairs performed over the past few years by in-house maintenance staff. For FY23, while more PM were completed than in past years, the overall demand to address corrective repairs continues to outweigh. In well maintained systems, the ratio between PMs and corrective repairs should be about 80/20 where more preventive maintenance efforts are expended and suppressing the need for unplanned corrective repairs. Unfortunately, NIU's balance is reversed due to decades of under investment in facility sustainment. It will take many years of steady major repair investing (i.e., execution of the current state capital renewal program authorization) to shift this balance to a point where more time can be expended on PM actions. Nonetheless, the attention for executing PMs continues to be a focus.

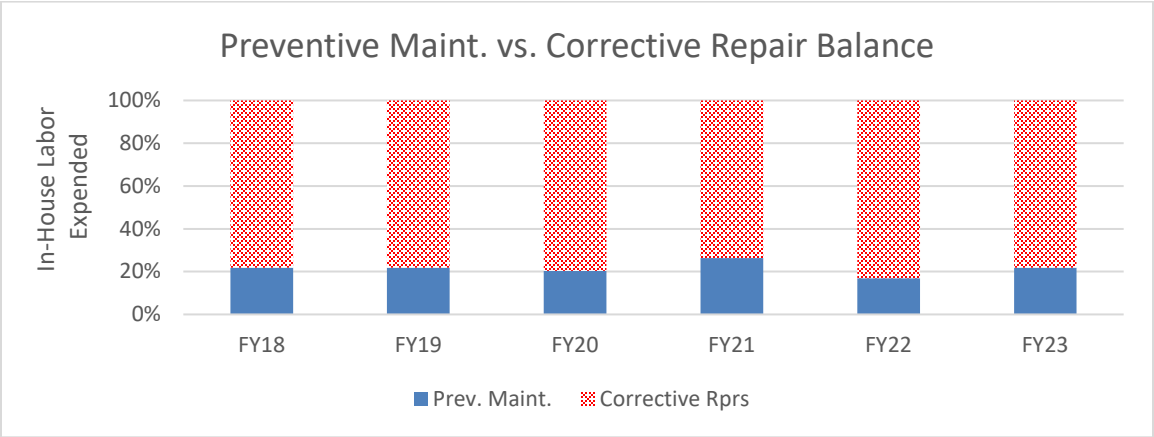


Figure 2

How much should be invested in maintenance depends on the total necessary PM actions required and the demand to address critical corrective repairs. Presented in Figure 3 below is a historical view of maintenance investment made on general revenue facilities/infrastructure since FY00. While the facility sustainment modeling does not have a specific investment target for maintenance alone, 0.5% of PRV (25% of the full 2% target used by the model) is shown as a reasonable baseline for comparison.

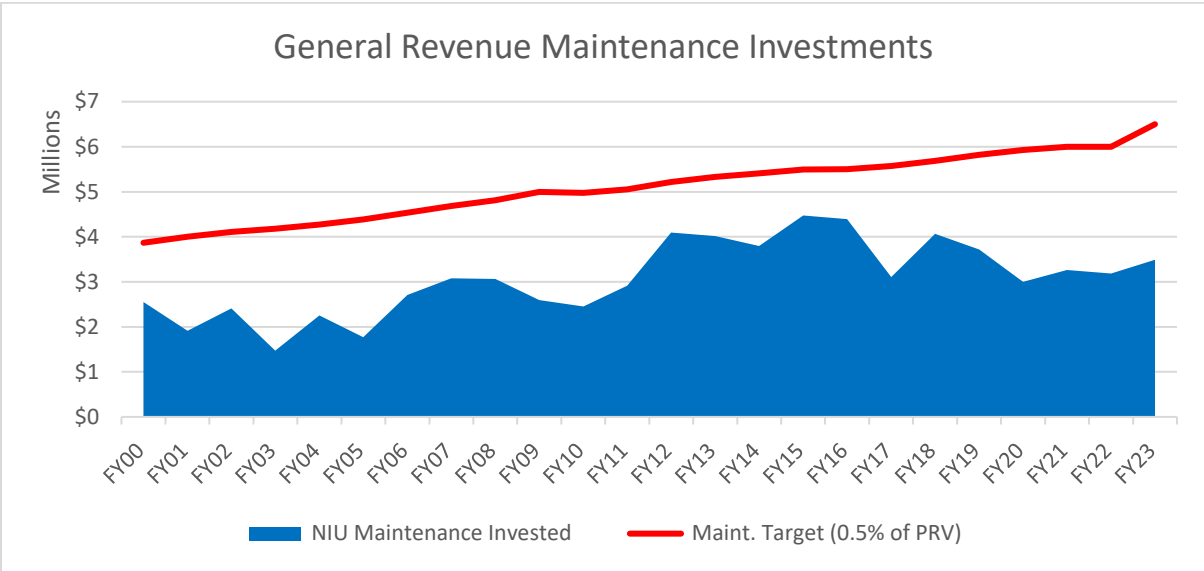


Figure 3

In general, the University has succeeded in slightly increasing General Revenue maintenance investments over the past 22 years. From a low point in FY03 of under \$2M, this investment has more than doubled by FY15, but unfortunately, tapered off in subsequent years to around \$3.5M. Given the prior discussion on preventive maintenance and the amount of PM tasks not being accomplished, the current funding level is not sufficient. While funding could be shifted away from major repairs for improved maintenance funding levels, the continued deferral of major repairs will increase the need for more corrective repairs as more building and utility components fail. This is why the investment model looks at the combined investment of maintenance and repairs to assess the overall ability to keep up with deterioration.

In a similar manner, the facility maintenance investment supporting Auxiliary Operations was plotted in Figure 4. While investment levels in the past appear much better compared to the 0.5% baseline, the financial challenges from the more recent years show a similar funding challenge as seen with the General Revenue depiction. The Auxiliary Operations tend to be more sensitive to enrollment changes since many are directly supported by student fees and services directly supporting students.

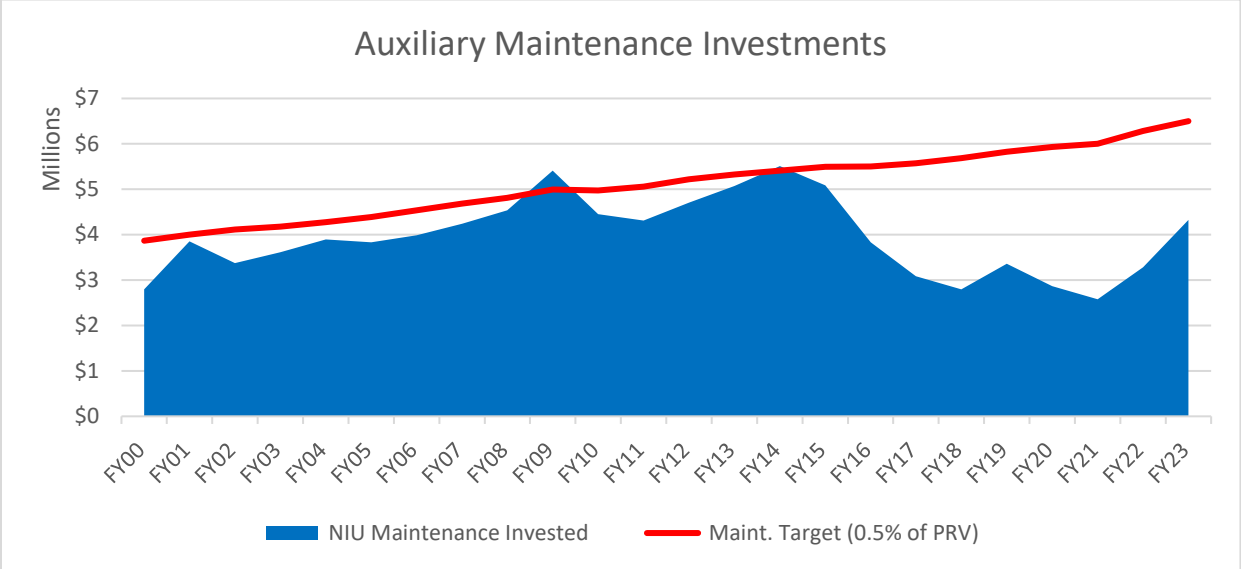


Figure 4

Major Repair Investments

Major repairs are the next critical investment priority after maintenance. Major repairs commonly include significant restoration/replacement of building components to return the system to near its original operating capability. Since the combined investment of both maintenance and repair is key to maximizing a facility's overall service life, this section will show the combined investment compared to the modeled target level.

In Figure 5, the maintenance and repair General Revenue investment history is presented since FY00, and, on average, the investment level has been only 0.5% of PRV despite the inclusion of sporadic support of State repair funding. The FY23 funding level has reached 0.77% of PRV representing the highest level since FY13. The underinvestment void between the actual investment made and the 2% of PRV target represents over \$360M in deferred maintenance due to the under-investment in this 24-year period.

Since deferred maintenance is a very imprecise measurement and has no comparison standard to indicate acceptable levels, the investment level trending shown by this percent of PRV sustainment model is a better indication of the overall campus condition. In this case, NIU's average investment level over the past 24 years only reaches 25% of the model recommendation. This clearly indicates that the campus is not keeping pace with deterioration and is at higher risk of unplanned system failures which could affect the university mission.

General Revenue and Auxiliary investment history since FY00 indicate over \$700M in deferred maintenance accumulation due to investments in both financial areas not adequately keeping pace with deterioration.

Framing the University's facility investment shortfalls in this clear manner has helped to bring recognition to the plight faced by Illinois' public universities and started to generate additional funding support. Fortunately, critical capital authorizations have been made by the state in FY19 and FY20. Since this time, NIU has been working closely with applicable state agencies, notably the Capital Development Board, in executing the approximately \$66.7M of state capital renewal funding. While execution of these authorizations had been delayed over the pandemic, by FY23 about \$5M has been executed, another \$27.7M is in process, and \$34M is awaiting action by the Capital Development Board.

While the execution of these state capital investment authorizations has been slower than desired, there is progress for reaching the critical investment surge which has been projected for many years and illustrated in Figure 5. If this investment level can be maintained, this would allow NIU to reach about 1.5% of PRV or about 75% of the modeled targeted investment. While still short of the desired investment target, this would be a vastly significant increase from the average seen over the past two decades. Sustaining this investment level combined with periodic capital/mission enhancement investments (as noted later in this report) and the demolition/sale of surplus structures, may stop the backlog of deferred deficiencies from growing and possibly start to reduce this backlog.

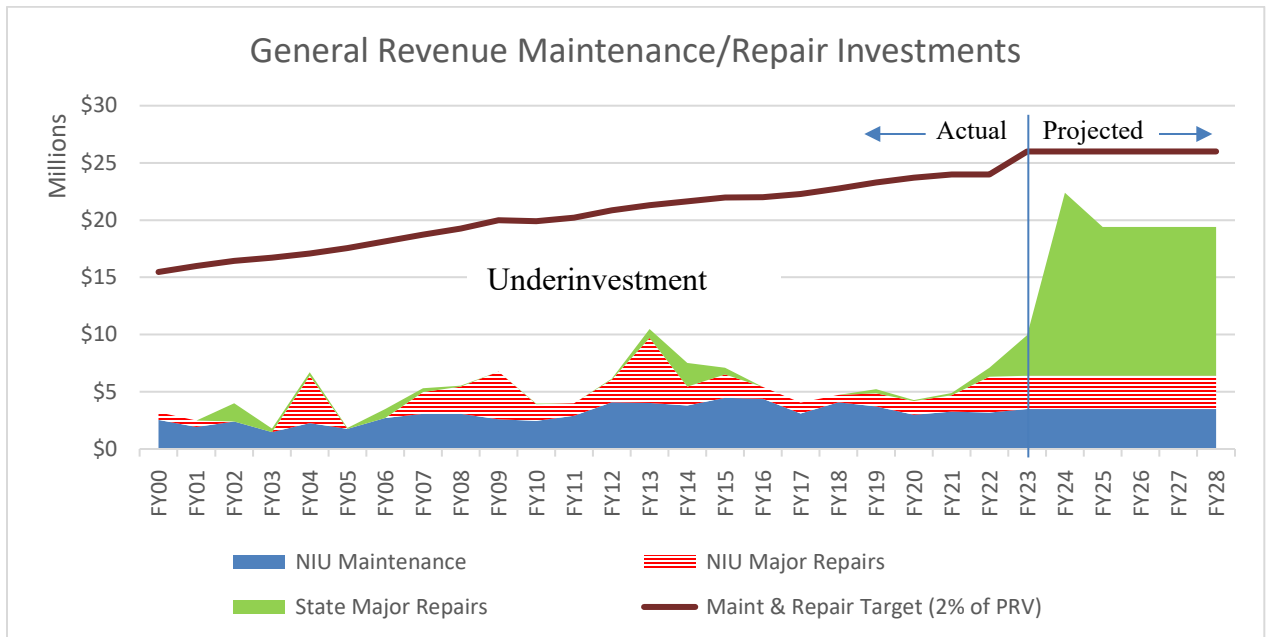


Figure 5

In a similar manner, the total facility maintenance/repair investment supporting Auxiliary buildings was plotted in Figure 6. On average, particularly with an investment spike in FY13-FY14 supported by Build America Bond financing, this investment level averages to 0.6% which is slightly above the average General Revenue investment level of 0.5%. As previously addressed under the construct of the 2% of PRV investment model, FY23 Auxiliary investments fell short of the 2% industry standard by \$17M. When considered cumulatively over this 24-year period, the under-investment from the 2% of PRV objective represents about \$340M of deferred maintenance. As discussed previously, this cumulative deferred maintenance amount is not as informative as understanding the long-term trend of investing where, on average, only ~25% of the recommended level is being invested for maintenance and repair needs. As noted for the General Revenue portion, the Auxiliary portfolio of buildings is also not keeping pace with deterioration rates and will be under increased risk of unplanned building failures.

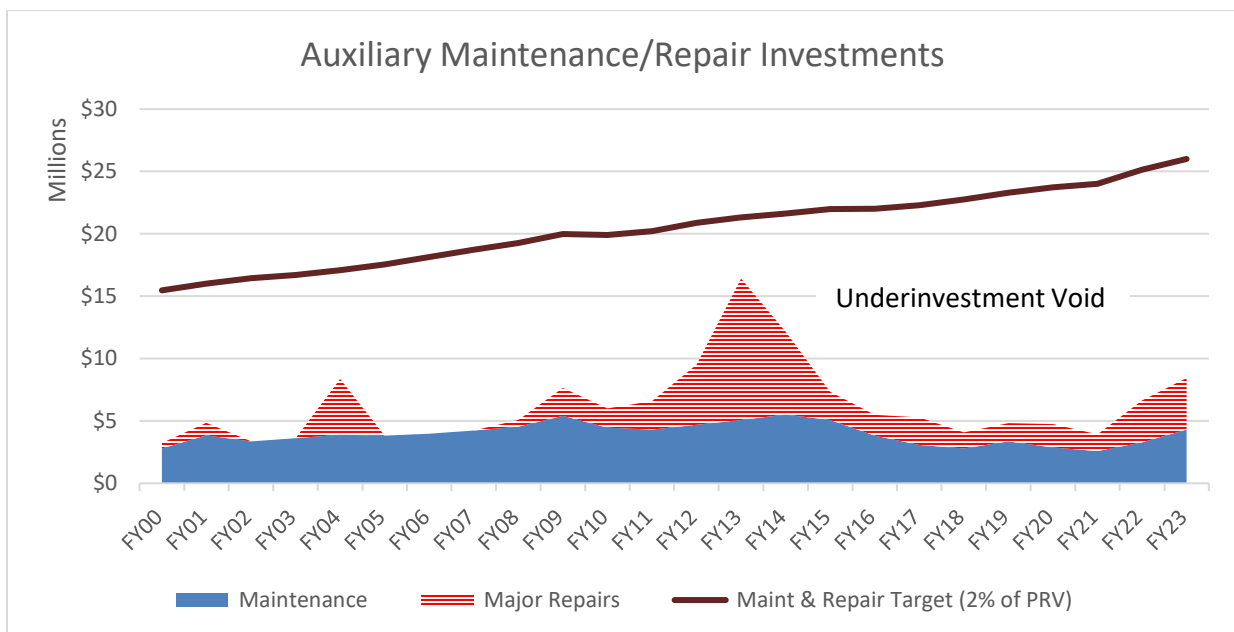


Figure 6

Mission Enhancement Investments

Mission enhancement investments in facilities are defined as improvements that are new or add to an existing capability. As such, these investments may or may not have a direct impact on sustaining a building for its intended life span. This could include modernization updates to a building’s appearance, reconfiguring spaces to better accommodate the supported mission, or adding other capabilities not previously present in the structure. While still important investments for enhancing a building’s appeal and general functionality, this category is differentiated from the maintenance/repair investments which are meant to sustain facilities.

Mission enhancement investments in General Revenue buildings are plotted in Figure 7 below. The 2% of PRV investment model is shown as a reference for the magnitude for this investment. However, the entirety of the mission enhancement investment should not be construed as contributing towards achieving this sustainment investment target. Major renovation projects may address facility deficiencies in a limited portion of the project scope and the remainder of the investment may be towards space reconfigurations and modernization improvements. Similarly, new construction would not address existing facility deficiencies unless accompanied by demolition.

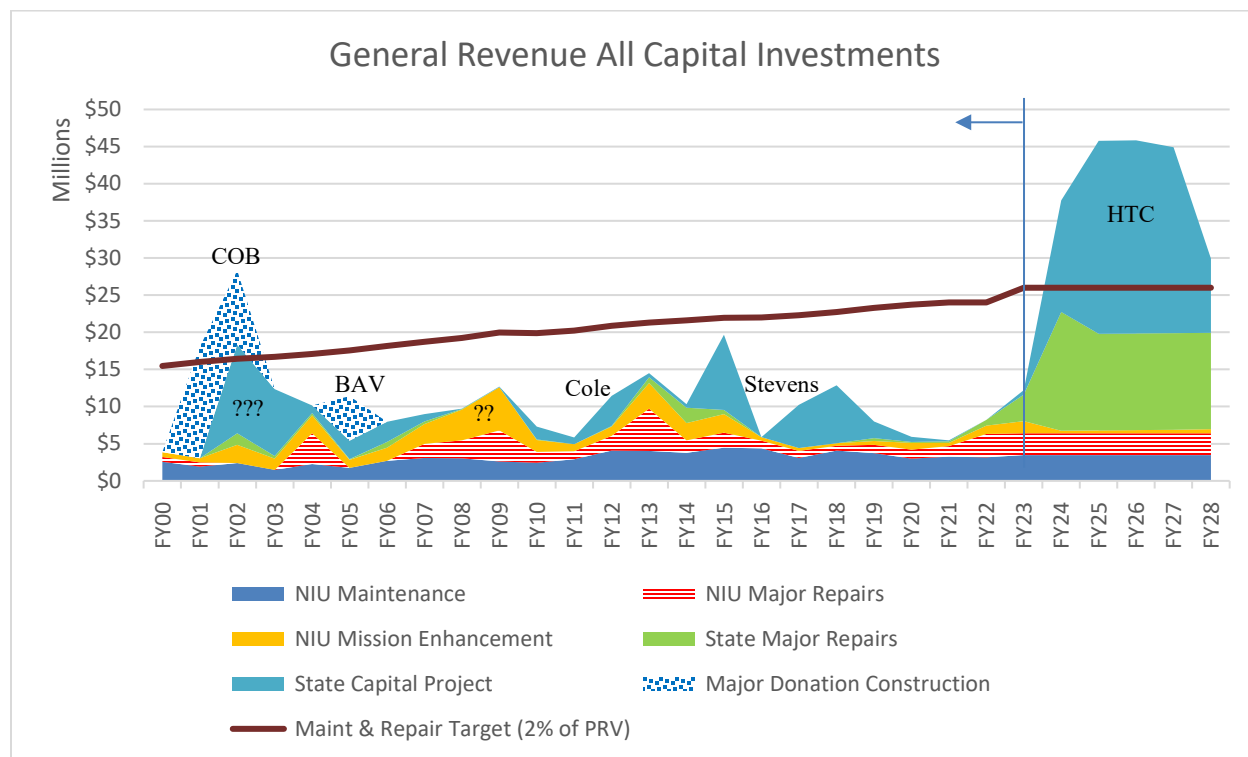


Figure 7

Assuming for a moment that the entirety of mission enhancement investments did contribute towards a building’s sustainment, the combination of maintenance, repairs, and mission enhancement investments over this 23-year period averages to only about 1% of the PRV (50% of target level). This cumulative gap of about \$258M from reaching the 2% investment target further illustrates the magnitude of under-investment for the General Revenue facilities and infrastructure.

Mission enhancement investments in FY23 totaled about \$2.2M consisting of smaller NIU-funded projects and planning/design efforts for two upcoming capital projects. One is NIU’s new Health Technology Center (HTC) authorized for \$77M in FY20. The other is the Northern Illinois Center for Community Sustainability (NICCS) grant funded for \$15M. The Figure 7 chart attempts to forecast the construction of these projects in the coming years. To date, the Capital Development Board has received \$7.7M for the HTC planning/design and \$15M for the NICCS construction.

Mission enhancement investments in Auxiliary/Bond Revenue buildings are plotted in Figure 8 below. Again, the percent of PRV investment model is shown as a reference only to illustrate the magnitude for this investment. Assuming for a moment that the entirety of maintenance, repair, and mission enhancement investments would contribute towards sustaining a building/infrastructure, the total investments over this 24-year period would average to about 1.5% of PRV. While better than the General Revenue side, this still leaves a cumulative gap of over \$211M from reaching the 2% investment target over this period and further illustrates the magnitude of under-investment in Auxiliary buildings/infrastructure.

The largest period of investment in the Auxiliary portion of the campus occurred during the FY10-FY16 period and was spurred by the public-private partnership construction of Patterson Hall and financing from the Build America Bonds (BAB). The BAB funding supported projects such as the Gilbert Hall renovation, Grant North towers renovation, Outdoor Recreation Center construction, fire sprinkler installation for Stevenson Hall, and the Lucinda Ave extension following the demolition of Douglas Hall. The remaining Build America Bond funding was expended mostly in FY19 and FY20 for the Holmes Student Center ground floor renovation.

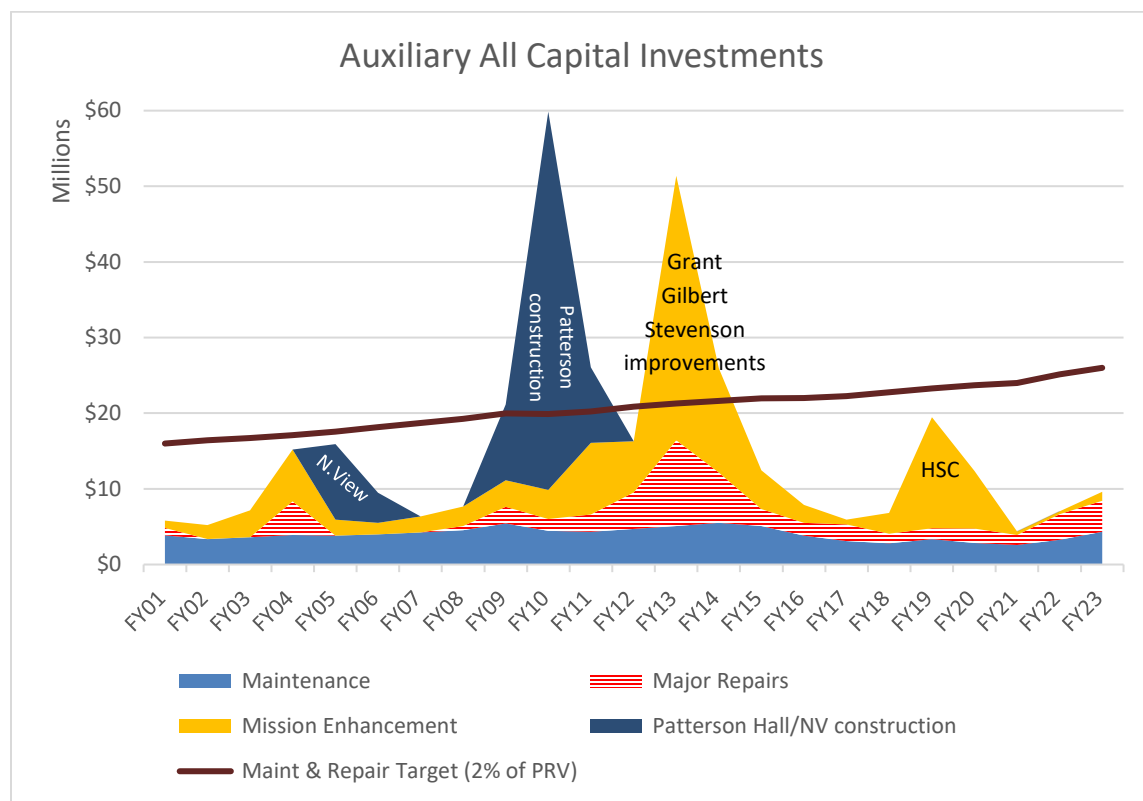


Figure 8

Building Inventory

In FY23, the Hoffman Estates campus location was sold under the *State Property Control Act (30 ILCS 605)*. This caused about a 0.65% reduction in the overall building space inventory for the campus. A similar disposition for the former Nursing building is under way for FY24 which will effectively reduce the building space inventory by another 0.3%. Although these are relatively small incremental changes, the lessening of the costs for maintenance, utilities, cleaning, and other upkeep services can accumulate into meaningful savings which can be redirected to bolster upkeep demands for other buildings.

Future reduction to the building space inventory will continue to be evaluated and planned as appropriate for the university mission. Options for these changes may include further property sales, as noted above, or demolition of buildings no longer necessary for operations. Over the

coming years, the Lincoln Hall residential complex will be demolished as part of the Health Technology Center project providing an overall net reduction in building spaces. As plotted in Figure 9, the combination of surplus building sales and demolition is projected to provide an approximate 6% reduction for the campus as projects play out in future years. In the long term, this action will allow the university to place more resources towards remaining structures and other campus operations enhancing the overall university mission.

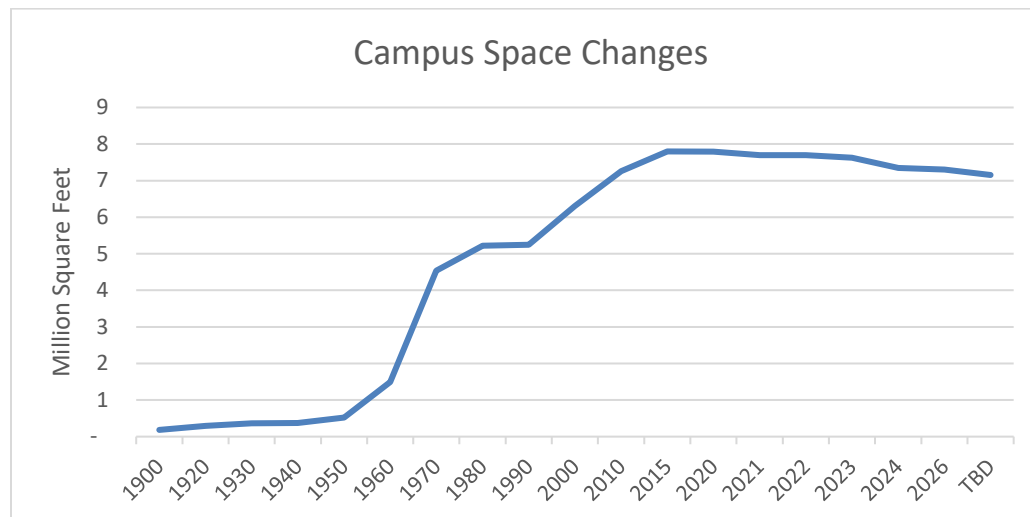


Figure 9

Since changes to the campus building inventory happen slowly the narrative from last year’s report is duplicated for background and context:

The university campus is comprised of approximately 85 major buildings with an average age of 50 years. Sixty-three buildings are considered General Revenue support and 22 buildings are considered Auxiliary support. As shown in Figure 9, the campus has changed significantly over the decades as enrollment has increased and the campus has had to adjust.

Today, while the number of buildings differs between the two financial systems, the total building space is equal with the General Revenue buildings holding about 3.8M square feet and the Auxiliary buildings holding about 3.8M square feet. Of the entire approximately 7.7M square feet of university buildings, 58% (48 buildings) are greater than 50 years of age and at a higher rate of deterioration. A shift to 60% greater than 50 years is projected to happen in 2024.

Of the entire approximately 7.7M square feet of university buildings, 58% (48 buildings) is greater than 50 years of age and at a higher rate of deterioration.

The combination of aging infrastructure and continuing under investment for facility sustainment requirements, as addressed earlier in this report, is creating a growing financial liability. This liability can only be addressed by increasing investments, reducing the facility inventory, or a combination of both actions. Reducing the inventory could be accomplished through consolidation and disposing of excess structures. Disposal actions may be through demolition or sale of buildings and property determined to be surplus to the university needs.

Conclusion

This report continues to illustrate how assessing capital investments is more than just taking a pulse on the amount of deferred maintenance. Since the topic is complex and multi-dimensional, it is best viewed by the types of investments being made, the long-term trends with these investments, the investment levels required to keep pace with deterioration, and the overall inventory of space required to be sustained.

The extended period of past systematic underinvestment has created a dire need for repair and modernization investments. Fortunately, there remain clear and positive indications of increased state investments which will significantly help address critical deficiencies in the coming years. It will be important to continue to increase capital investment efforts to not only address deferred deficiencies, but also address the deterioration yet to come. The current Rebuild Illinois initiative cannot be viewed as a one-time fix and must be viewed as the start of a sustained investment strategy. Buildings and infrastructure have been stressed literally to the breaking point and repeated cycles of underinvestment in the future will likely lead to more failures impacting the university mission to a greater degree than experienced in the past.

In the meantime, the University will need to continue to systematically prioritize facility investments and look for opportunities to reduce the sustainment liability through thoughtful use of space.

Appendix A Historical Highlights of Major Projects

In FY23, there was more significant construction activity across campus as compared to prior years. Some NIU-funded projects of note completed during this year include the relocation of the School of Nursing, artificial turf replacement at the soccer field and new artificial turf installation at the baseball field, remodel in Swen Parson for the law clinic and student organizations, and continued roof, parking lot and elevator upgrades at various locations around campus. For state funded projects, two were able to begin construction activities: the steam tunnel repair project and Visual Arts building exterior repairs. Delays with bidding and contract negotiations continued to hamper the beginning of the west heating plant boiler replacement project. By fiscal year end, the contract was in final stages of completion with work to begin in FY24. Design efforts for the Swen Parson roof replacement were completed by early 2023 with construction bidding delayed until FY24. Design efforts for the Health Technology Center reached a milestone of program analysis completion in spring of 2023 with schematic design to follow in FY24. Major Board-approved projects in FY23 included the following for repair improvements involving campus roof repairs: the Taft Campus wastewater treatment plant replacement; soccer and baseball artificial turf installation; chiller overhauls; exterior repairs for Stevenson, Grant, and HSC; Patterson Hall sprinkler piping replacement; and elevator and washroom plumbing repairs for Stevenson. Enhancement improvement projects approved included the renovation for the College of Business HAVI Center for Analytics and Technology, new flooring for the Yordon Northwestern Medical Performance Center, renovation of the Recreation Center locker rooms, relocation for the School of Nursing to Wellness and Literacy, and a renovation supporting a partnership with the local US Geological Services office.

In FY22, campus continued to emerge from the pandemic, with continued optimism in enrollment numbers and more in person activity; however, construction projects continued to be hampered by supply chain issues and higher costs. Some NIU funded projects of note completed during this year included critical roofing repairs at Faraday Hall, Chick Evans Fieldhouse, Zulauf Hall and Stevenson Towers for \$1.4M of roof replacements. Other projects of note: LED lighting upgrades in the Convocation Center arena and auxiliary gym; parking lot repairs on main campus, Rockford and Naperville; IDOT funded campus roadway improvements; exterior repairs at Northern View residential complex; and campus-wide building access control upgrades. For state funded projects, an emergency roof repair was made at Music Building ahead of anticipated release of funding for a larger capital renewal roof replacement project for that building. Delays associated with Capital Development Board (CDB) bidding process hampered the beginning of the FY19 approved capital renewal west plant boiler replacement and steam tunnel repair projects that were originally anticipated to start in spring of 2022. Design efforts for Visual Arts exterior repairs and Swen Parson roof repairs made good progress and design efforts for the Health Technology Center got underway. In the first half of the year the Board approved the \$1.4M DeKalb campus access control upgrades project. In spring of 2022 the Board approved a \$1.6M summer roof repairs project, \$650K Stevenson and Grant façade repair project, \$1.26M soccer and baseball turf installation project, and \$750K for Neptune North remodel for an HR office relocation which has since been put on hold pending re-evaluation of central campus location options.

In FY21, with campus efforts continuing to be focused around managing the ongoing pandemic, cautious optimism continued with minimal enrollment decreases. Some NIU funded projects of note completed during this year include critical roofing repairs on Chick Evans Fieldhouse, Telephone Security and Wirtz Hall, and Stevenson Towers \$1.4M roof replacement was finally able to get under way with the first of four towers completed in June with the remaining three towers to follow in early and late FY22. Five campus parking lots had repairs made and security camera improvements to the Neptune residential complex were completed. Additionally,

continued flow of state funding for long awaited capital renewal projects was welcomed, and in the first half of FY21 NIU received welcome news from the CDB that funding had been released to begin planning and design efforts for the Health Technology Center which was a long-awaited capital project. Additionally, funds for exterior repair projects at Swen Parson and the Visual Arts Building were released in April and in May \$500K was released to address emergency repairs on a roof replacement project for the Music Building. Previous FY19 approved capital renewal funded projects, Campus Boiler Replacements and Steam Tunnel Repairs, got back on track with the boiler replacement prepared for rebid due to a failed initial effort and the steam tunnel repairs project readying for bidding by the end of the calendar year. In the first half of the year, the Board approved a \$350K largely IDOT grant-funded central campus roadway improvements project. Nearing the end of the year, the Board also approved two more projects: a \$316K Lighting Upgrades project for the Convocation Center arena and gym spaces to increase lighting reliability and the \$500K Music Building partial roof replacement which as noted above will be state funded.

In FY20, the year began with optimism for significantly increased state funding for both capital and capital renewal investments stemming from the Rebuild Illinois capital investment initiative. However, the timing for anticipated tax revenues, which were further impacted by the pandemic hitting mid-fiscal year, delayed this expected investment boost. The previously FY19-approved and in-process capital renewal-funded projects for Campus Boiler Replacements and Steam Tunnel repairs were both delayed a few months but continued progressing with the CDB. In the first half of the year, the Board approved two NIU-funded projects. The first was the \$1.1M Recreation Center changing room remodeling. This project proceeded through the design phase but was put on hold due to budget uncertainties resulting from the pandemic. The second was \$1.5M for the Holmes Student Center tower elevator upgrades. This project was also put on hold due to the same budget uncertainties. In the second half of the fiscal year, the Board approved two projects: a \$350K renovation for a Burma Art Collection storage area in the Founders Memorial Library and a \$400K renovation for the College of Business Creativity and Empathy Lab in Barsema Hall. These projects were mostly grant/donation funded and were not impacted financially by the pandemic.

In FY19, increased attention for state capital renewal funding began to produce additional investments for the University. The first phase of the boiler replacement project had been authorized with about \$6.2M of state funding which was augmented with about \$9.8M of funds from the University Auxiliary Reserves. Additionally, about \$5.5M of state funding authorization was provided for steam line repairs. To compensate for higher-than-expected construction bids responding to the Holmes Student Center renovation project, the Board approved an increase of the budget from \$20M to \$20.9M. The Board also approved moving forward with the design of the Northern Illinois Center for Community Sustainability (NICCS) a \$22.9M partially State/grant funded project of which \$7.9M is to be provided by NIU. There were no other projects approved over \$1M by the board. There were four projects approved over \$250K: Convocation Center Nelson Suite remodel for \$300K; steam isolation valve and expansion joint replacement project for \$501K of which \$476K is funded through CDB; Yordon Performance Center remodel for \$275K; and Stevenson Dining area remodel for \$450K. There were 12 projects between \$100K and \$250K, totaling \$2.1M. There were eleven projects approved between \$50K and \$100K totaling \$734K. In addition, there were 101 other smaller projects approved totaling \$1.2M.

In FY18, continuing enrollment and budget uncertainties played a role in the number and dollar value of capital projects approved in FY18. The Board reconfirmed approval of the budget and use of Build America Bond funds for Holmes Student Center renovation at \$20M. The Board approved only one additional project over \$1M: \$1.27M to replace the video boards and scoreboards at the Convocation Center. There were only two projects approved over \$250K: \$468K for the renovation of Founders Café and \$275K for the renovation of the Nelson Suite at the Convocation

Center. There were eight projects between \$100K and \$250K that totaled \$1.26M and six projects between \$50K and \$100K that totaled \$449K. In addition, there were approximately 75 other projects totaling \$1.7M. There was one major emergency repair to the steam tunnel on Normal Road at LaTourette Hall.

In FY17, the State Budget impasse and the University's budget constraints played a major role in the number and dollar value of capital projects approved for FY17. The Board approved only one project over \$1M: the reallocation of \$5.4M in Build America Bonds to begin remodeling, maintenance, repairs, and updates to Neptune Residential Complex. There were only three projects over \$250K: \$750K for Grant South Steam Vault replacement; \$625K for Stevenson Towers (B & C) Roof Replacement; and \$500K to facilitate and complete the move-in to Stevens Building. The anticipated completion of the Stevens Building by the State of Illinois Capital Development Board is spring 2018. There were six projects between \$100K and \$250K that totaled \$1.1M and six projects between \$50K and \$100K that totaled \$424K. There were 52 projects less than \$50K totaling \$850K. Total investment in FY17 was 67 projects totaling \$9M in approvals. There were nine boiler, heating plant, steam tunnel, steam piping, and valve related repairs. Five other projects also related to mechanical systems and equipment on campus were completed. There was one major emergency repair to the water main at Neptune Residential Complex.

In FY16, the Board approved three projects in excess of \$1M: the reallocation of \$20M in Build America Bonds for the renovation of Holmes Student Center; \$1.9M for renovation of New Hall Dining and Residence; and \$1.25M for a phased roof replacement of Gabel Hall. There were five projects over \$400K: \$750K for Grant Steam Vault; \$700K for the Boiler Assessment and Phase I Design; \$625K for Stevenson Towers (A & D) Roof Replacement; \$440K for Lucinda Avenue Realignment; and \$400K for DuSable Steam Vault Repairs. There were four projects between \$200K and \$400K. Most notable was the repair of the heating system for the Child Development Lab for \$246K. There were 23 project approvals between \$25K and \$200K that totaled \$1.5M, and there were 28 projects under \$25K approved. There were 12 projects approved for General Revenue buildings. Most of these projects were major repairs to critical building systems such as heating, ventilating, air conditioning, leaking roofs, and deteriorated walls.

In FY15, the Board approved one project in excess of \$1M: \$1.5M for planning the renovation of Holmes Student Center and Neptune Residential Complex. There were two projects over \$500K: Grant Central roof replacement at \$812K and renovation of the Animal Research Facilities located in Psychology/Computer Science and Montgomery Hall at \$850K. Maintenance project approvals totaled \$3.03M for general campus improvements with an additional \$373K approved for two emergency repairs. There were five projects between \$250K and \$500K, most notably two projects at Huskie Stadium: \$460K for a new turf field and \$300K for additional LED advertising ribbon boards. There were 21 project approvals between \$100K and \$250K that totaled \$4.76M and there were 49 project approvals under \$100K, 30 were under \$25K. There were 17 repair and remodeling projects approved for academics, research, smart classrooms, and support spaces. Notable projects include the renovation of the Animal Research Facilities for Psychology and Biology, nine smart classrooms and classroom upgrades, additional compact storage for the Anthropology Museum, the first electric car charging station on campus, and this year one new facility was added: a new salt shed for Grounds Department to help manage purchasing and storing of winter de-icing salt.

In FY14, the Board approved two projects in excess of \$1M: \$2.25M for a new Public Safety radio system and \$1.38M for electrical infrastructure. In addition, campus maintenance projects totaled \$1.59M for general campus improvements. There was one project between \$250K and \$500K: \$450K for new "beta version" small bus transit system for central campus. There were ten project approvals between \$100K and \$250K that totaled \$1.7M, and there were 50 projects under \$100K approved, 30 of which were under \$25K. There were 20 repair and remodeling projects approved

for research, learning, smart classrooms, and support spaces. Notable projects include three smart classrooms, the new walk-in cooler for Geology research in Davis Hall, and a new computerized video message board system for the College of Education.

Appendix B
Semi-Annual Progress Report of Active Capital Projects
with a Budget over \$100,000

This report is provided as required by *Board of Trustees Regulations (Section VI, Subsection B.6.a)*. With the exception of some projects in the planning phase, all projects listed herein have received the needed approvals and are currently in process or have been recently completed. The Authorization Date is identified for all NIU-funded projects; the fiscal year is identified for all Capital Development Board (CDB)-funded projects. Status reports are provided on any project, regardless of initiation date, until all work has been completed.

A. CAPITAL DEVELOPMENT BOARD PROJECTS

I. Projects in the Planning Phase

1. Anderson Hall Structural Repairs & Still Hall/Still Gym Masonry Repairs

Total Project Budget: \$7,212,000

Funding Sources: State Capital Renewal Appropriation

Authorization Date: Pending

Status: This is the next priority deferred maintenance project as identified by the annual IBHE submission for the capital renewal program and is expected to be started in an upcoming cycle of funding releases by the state.

II. Projects in the Design Phase

1. Music Building & Gabel Hall Roof Repairs

Total Project Budget: \$4,224,000

Funding Sources: State Capital Renewal Appropriation

Authorization Date: April 2022

Status: In April 2022, CDB was notified of pending release of funding to proceed with this project. Finalizing of contract for the design consultant has been delayed with CDB. Design work is now expected to begin in early 2024, with bidding and construction in 2024/2025.

2. Northern Illinois Center for Community Sustainability (NICCS)

Total Project Budget: \$20,000,000 (\$15,000,000 construction & \$5,000,000 equipment)

Funding Sources: Grant Funds & Institutional Funds

Authorization Date: December 2018

Status: In March 2022, CDB was notified of pending release of funding to proceed with this project. A consultant firm was selected by CDB to prepare bridging documents for a design-build solicitation. Planning work began in the fall of 2023, with design-build solicitation anticipated summer/fall 2024 and construction likely 2025-2026.

3. New Construction Health Technology Center (HTC) Building

Total Project Budget: \$77,000,000

Funding Sources: State Capital Appropriation

Authorization Date: January 2020 (for \$7.7M)

Status: In summer of 2021, a design firm was selected with a contract award in April 2022. Programming of the building began in late spring of 2022 and was completed in spring of 2023. CDB is currently finalizing the design consultants' contract extension to allow schematic design efforts to begin. It is anticipated that design work will restart in spring of 2024 with construction likely 2026/2027.

4. Swen Parson Roof Replacement & Masonry Repairs

Total Project Budget: \$5,685,200

Funding Sources: State Capital Renewal Appropriation

Authorization Date: April 2021

Status: Design began in January 2022 and was originally anticipated to be issued for bidding in early 2023. Due to delays with the CDB bidding process, the project is now anticipated to be bid February 2024 with construction anticipated to take place summer/fall of 2024.

III. Projects in the Construction Phase

1. DeKalb Campus Repair Steam Tunnel Distribution

Total Project Budget: \$5,097,000

Funding Sources: State Capital Renewal Appropriation

Authorization Date: September 2018

Status: The construction contract was awarded February 2023, and work was substantially complete by fall of 2023. Minor change order and punch list work items remain. Final completion is anticipated by spring of 2024.

2. Visual Arts Building Structural Repairs & Exterior Building Improvements

Total Project Budget: \$4,936,600

Funding Sources: State Capital Renewal Appropriation

Authorization Date: April 2021

Status: Construction began spring of 2023 and was scheduled to be completed by fall of 2023. Due to unforeseen conditions, additional work was determined to be required and is being addressed over winter and early summer 2024 to minimize disruptions to academic activities. Final completion is anticipated by late summer 2024.

3. DeKalb Campus West Heating Plant & Boiler Replacement Phase I

Total Project Budget: \$20,581,130

Funding Sources: State Capital Renewal Appropriation & Institutional Funds

Authorization Date: August 2017

Status: Following a failed initial solicitation effort, a design-build (DB) team was selected in December 2021 and contract awarded July 2023. Initial asbestos abatement work has been completed and site construction fence is in place. DB team is preparing finalized construction documents over the winter months with construction anticipated to begin spring of 2024 and final completion spring/summer of 2025.

4. Stevens Building Addition & Renovation

Total Project Budget: \$37,300,135

Funding Source: State Capital Appropriation & Institutional Funds

Authorization Date: February 2010

Status: Construction work was completed and building reoccupied in May 2018. Building HVAC refinements were completed in 2020. The remaining item is a delayed State of Illinois commissioned artwork. Once artwork is installed, the project will be closed.

B. NORTHERN ILLINOIS UNIVERSITY PROJECTS

I. Projects in the Planning Phase

1. DeKalb Campus 2024/2025 Elevator/Escalator upgrades

Total Project Budget: \$200,000

Funding Source: Institutional Funds

Authorization Date: Pending

Status: Scope of work currently being developed.

2. DeKalb Campus Energy Conservation Measure Repairs/Modifications

Total Project Budget: TBD

Funding Source: Institutional Funds/IRA Tax Credit Offsets

Authorization Date: Pending

Status: Scope of work currently being developed.

3. Standalone Boiler Replacement Rockford Campus

Total Project Budget: TBD
Funding Source: Institutional Funds
Authorization Date: Pending

Status: Finalizing scope and estimate for replacement of existing boiler at Rockford campus. Project approval anticipated to be submitted in early 2024 with intent for work to be completed before next heating season.

4. McMurry Hall Exterior Envelope Repairs

Total Project Budget: TBD
Funding Source: Institutional Funds
Authorization Date: Pending

Status: Scope will include exterior masonry and drainage system repairs and some interior repairs to arrest water infiltration and associated interior repairs.

5. Huskie Stadium West Stands Exterior Maintenance Repairs Phase II

Total Project Budget: TBD
Funding Source: Institutional Funds
Authorization Date: Pending

Status: Scope of work is currently being finalized for a continuation of prioritized repairs. Schedule for work has been postponed until FY25 due to funding limitations.

6. DeKalb Campus Master Planning Framework

Total Project Budget: \$155,000
Funding Source: Institutional Funds
Authorization Date: March 2023

Status: Consultant team was hired in April 2023. Meetings with campus stakeholders were held over the remainder of 2023. Final deliverables are in process and anticipated to be completed by early 2024.

7. Neptune, Stevenson & Grant Space Assessment & Design Options

Total Project Budget: \$160,000
Funding Source: Institutional Funds
Authorization Date: January 2023

Status: Design consultant began work in March 2023. After meetings with Housing staff, design options and cost estimates were developed and are currently under review for future prioritization of possible projects. This will be the last report for this project.

8. DeKalb Campus Ponds/Lagoons Restoration Assessment

Total Project Budget: \$150,000
Funding Source: Institutional Funds
Authorization Date: November 2022

Status: Final draft of the assessment was received in December 2023. This information will be used for future capital planning efforts. Final payments are in process. This will be the last report for this project.

9. Relocation of Human Resource Services Offices

Total Project Budget: \$750,000
Funding Source: Institutional Funds
Authorization Date: February 2022

Status: Originally planned relocation to Neptune North until surge in demand for campus housing drove reconsideration. Evaluation of other central campus locations continues.

10. Standalone Boilers Assessment

Total Project Budget: \$195,200

Funding Source: Institutional Funds

Authorization Date: December 2021

Status: Finalized assessment is complete, this information will support future repair/replacement planning starting to be developed. This will be the last report for this project.

11. Northern View Exterior Building Repairs

Total Project Budget: \$250,000-\$350,000

Funding Source: Institutional Funds

Authorization date: Pending

Status: Planned to be phased over multiple years to address remaining repairs on buildings 4-6 and community center.

II. Projects in the Design Phase

1. DeKalb Campus 2024/2025 Roof Repairs

Total Project Budget: \$2,000,000

Funding Source: Institutional Funds

Authorization Date: April 2023

Status: Scope will include roof replacement and repairs at various buildings across campus. Prioritization of work currently in process. Work is planned to be completed summer of 2024 through summer 2025 as funding allows.

2. Lorado Taft Campus Wastewater Treatment Plant Replacement

Total Project Budget: \$3,500,000

Funding Source: Institutional Funds (& IEPA Loan)

Authorization Date: December 2022

Status: Following BOT approval, IBHE approval was received in June of 2023. The consultant design team is continuing to work on finalizing scope along with permitting preparations and IEPA loan application. Construction scheduling is yet to be determined.

III. Projects in the Construction Phase

1. Chilled Water Plant Chiller #4 Drive Replacement & Overhaul

Total Project Budget: \$400,000

Funding Source: Institutional Funds

Authorization Date: December 2023

Status: Procurement effort is in process, work to be scheduled when parts and materials are received.

2. West Campus 2023/2024 Winter Repairs Chillers

Total Project Budget: \$210,000

Funding Source: Institutional Funds

Authorization Date: November 2023

Status: Equipment is on order, work to be scheduled as soon as possible before next cooling season.

3. DuSable Hall Elevator Jack & Pump Replacement

Total Project Budget: \$135,000

Funding Source: Institutional Funds

Authorization Date: October 2023

Status: Work is complete and final payments are in process. This will be the final report for this project.

4. Human Resource Services/Printing Remodel for USGS Partnership

Total Project Budget: \$500,000

Funding Source: Federal Grant

Authorization Date: December 2022

Status: Space clearing completed. Work to begin in January and is anticipated to be complete by summer.

5. Lowden Hall Heat Exchanger Replacement

Total Project Budget: \$282,000

Funding Source: Institutional Funds

Authorization Date: September 2023

Status: Equipment is on order and work will be scheduled as soon as possible.

6. Health Services Hot Water Heat Exchanger Replacement

Total Project Budget: \$168,600

Funding Source: Institutional Funds

Authorization Date: August 2023

Status: Equipment is on order and work will be scheduled as soon as possible.

7. DeKalb Campus 2023 Exterior Maintenance

Total Project Budget: \$104,000

Funding Source: Institutional Funds

Authorization Date: August 2023

Status: Work is complete and final payments have been made. This will be the final report for this project.

8. DeKalb Campus Summer 2023 Parking Lot Repairs

Total Project Budget: \$175,000

Funding Source: Institutional Funds

Authorization Date: August 2023

Status: Work is complete and final payments have been made. This is the last report for this project.

9. DeKalb Campus NCAA Ball Field Upgrades

Total Project Budget: \$245,300

Funding Source: Institutional Funds

Authorization Date: August 2023

Status: Work is substantially complete with punch list items and other minor work remaining. Work is anticipated to be completed in January. This is the last report for this project.

10. Stevenson Hall FY24 Rotation Painting

Total Project Budget: \$249,000

Funding Source: Institutional Funds

Authorization Date: June 2023

Status: Work is in process and will continue through the fiscal year.

11. Patterson Hall East Fire Sprinkler System Piping Replacement

Total Project Budget: \$1,650,000

Funding Source: Institutional Funds

Authorization Date: May 2023

Status: Initial scope for replacing piping in a zone on the 4th floor was completed July 2023. Additional piping replacement is planned for summer 2024, extent of further replacement is currently under review.

12. Stevenson Hall Complex Washroom Faucet Replacements

Total Project Budget: \$650,000

Funding Source: Institutional Funds

Authorization Date: May 2023

Status: Work is complete and final payments are in process. This will be the last report for this project.

13. Stevenson Hall Complex Tower Elevator Upgrades

Total Project Budget: \$560,000

Funding Source: Institutional Funds

Authorization Date: May 2023

Status: Work is in process and being scheduled to minimize impact to building occupants. Originally anticipated to be completed by end of 2023, due to equipment delivery delays and labor limitations, work is expected to be substantially complete before the beginning of the spring semester.

14. Barsema Hall Renovate for HAVI Center for Analytics & Technology

Total Project Budget: \$800,000

Funding Source: Foundation/Donation Funds

Authorization Date: May 2023

Status: Construction began in December and is anticipated to be completed by summer 2024.

15. Stevenson & Grant Complexes Building Envelope Repairs Phase II

Total Project Budget: \$3,200,000

Funding Source: Institutional Funds

Authorization Date: April 2023

Status: Contractor mobilized on site in July 2023. Work is currently in process on Stevenson, with one tower being completed at a time (Towers A and D are complete). Work is expected to continue through summer/fall of 2024.

16. Holmes Student Center Building Envelope Repairs Phase 1

Total Project Budget: \$1,700,000

Funding Source: Institutional Funds

Authorization Date: April 2023

Status: On-site destructive investigation was completed in August. An initial phase of repairs is planned to be completed in January with the bulk of the work planned for summer/fall 2024.

17. Neptune East Student Rooms Door Replacements

Total Project Budget: \$233,100

Funding Source: Institutional Funds

Authorization Date: March 2023

Status: Work was complete by the beginning of the fall semester; final payments are in process. This will be the last report for this project.

18. Recreation Center Changing Room Remodel

Total Project Budget: \$1,370,000

Funding Source: Institutional Funds

Authorization Date: December 2022

Status: First phase of renovation is underway and anticipated to be completed by January 2024. The second phase will be scheduled at a later date as funding allows.

19. DeKalb Campus Summer 2023 Roof Repairs

Total Project Budget: \$3,500,000

Funding Source: Institutional Funds

Authorization Date: December 2022

Status: Work has been completed on Faraday Hall, Neptune East and Montgomery greenhouse and one roof section of Labs for Wellness. Work on Williston, and Grant Towers A & B is approximately 95% complete with final completion anticipated in January. This will be the final report for this project.

20. DeKalb Campus 2022 Elevator Repairs

Total Project Budget: \$171,850

Funding Source: Institutional Funds

Authorization Date: December 2022

Status: Work is complete. Final payments are in process. This is the final report for this project.

21. Chilled Water Plant Chiller #2 VFD Replacement & Overhaul

Total Project Budget: \$420,000

Funding Source: Institutional Funds

Authorization Date: November 2022

Status: Work is complete. Final payments are in process. This will be the last report for this project.

22. Patterson Hall East Nexus Valve Replacements

Total Project Budget: \$235,000

Funding Source: Institutional Funds

Authorization Date: May 2022

Status: Work is complete and final payments have been made. This will be the last report for this project.

23. Swen Parson Room 133 Install High Performance Computer

Total Project Budget: \$1,200,000

Funding Source: Institutional Funds

Authorization Date: February 2022

Status: Work was completed in May. Final payments have been made. This is the last report for this project.

24. DeKalb Campus Utility Submetering Pilot Installation

Total Project Budget: \$246,150

Funding Source: Institutional Funds

Authorization Date: December 2021

Status: Design was completed in October 2022 with bidding spring through fall 2023. Scope adjustments were made due to higher pricing received and installation work is anticipated to be completed in spring/summer 2024.

25. Dorland Building Freezer Condenser/Evaporator Replacement Phase II

Total Project Budget: \$164,500

Funding Sources: Institutional Funds

Authorization Date: August 2021

Status: Delivery of the replacement equipment was delayed due to supply chain issues. Installation is anticipated to be completed by the end of January. This will be the last report for this project.

26. Holmes Student Center Tower Elevator Controls Upgrade

Total Project Budget: \$1,500,000

Funding Source: Institutional Funds

Authorization Date: December 2019

Status: Work is in progress on the last of the three elevators with completion anticipated by the end of January. This will be the last report for this project.

**QUARTERLY SUMMARY REPORT OF TRANSACTIONS IN EXCESS OF
\$100,000 FOR THE PERIOD OCTOBER 1, 2023 TO DECEMBER 31, 2023**

In accordance with *Board of Trustees Regulations (Section V, Subsection B.5)*, this report of all obligations of financial resources greater than \$100,000 but less than \$250,000 is submitted at the end of each calendar quarter to the Board of Trustees. Transactions included in this quarterly report reflect the maximum approved amount that orders may not exceed and do not include commercial card obligations. Following is a summary of the number of transactions, fund source, total dollar amount, and transaction details for obligations incurred during the quarter ended December 31, 2023.

Purchase Amount	No. of Transactions	Appropriated*	Non-Appropriated	Total
Over \$100,000	17	\$135,000	\$2,869,643	\$3,004,643

TRANSACTIONS DETAIL:

Purchases:

1. The Division of Research and Innovation Partnerships requested permission to issue an open order for services to be performed by the Northern Illinois Research Foundation for the period through June 30, 2024. (Northern Illinois Research Foundation, DeKalb, IL) 249,900
2. Two police pursuit vehicles required replacement to ensure a high state of reliability/readiness for supporting public safety operations and overall safety for the campus and the surrounding community. Existing vehicles were repurposed for other campus operations. Underutilized or non-functioning vehicles were traded in as part of the transaction to help offset the purchase cost. The annual expenditure for two Ford Explorer Interceptors will be approximately \$22,000 for each year of the 5-year financing term. (Ford Motor Credit) 110,000
3. Athletics requested permission to issue a purchase order for the FY24 annual Mid-American Conference Bowl Assessment fee. (Mid-American Conference, Cleveland, OH) 130,000
4. Athletics requested permission to issue an open order for the annual officiating game fees for FY24 NIU Football for the period through June 30, 2024. (Mid-American Conference, Cleveland, OH) 127,575
5. The Department of Electrical Engineering requested permission to purchase a Reactive Ion Etching (RIE) system to meet the process requirements for fluorine chemistries. (Samco Inc., Santa Clara, CA) 143,220

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| 6. The College of Engineering and Engineering Technology requested permission to purchase a UV LED Mask Aligner for the Microelectronics Research and Development Laboratory. This piece of equipment enables photolithography, which is a microfabrication process used to selectively remove parts of a thin film to create a pattern or design onto a substrate. (Kloe SAS, St. Mathieu de Treviers, France) | 110,000 |
| 7. The College of Engineering and Engineering Technology requested permission to purchase a Hitachi SEM (Scanning Electron Microscope) for the Microelectronics Research and Development Laboratory. This SEM uses electrons instead of light to form an image. (Hitachi High Technologies America, Dallas, TX) | 222,000 |
| 8. Finance and Treasury requested permission to obligate the FY23 Financial Reporting Audit costs for the period ending June 30, 2022. (Office of the Auditor General, Springfield, IL) | 216,351 |
| 9. The Division of Information Technology requested permission to replace the current network switches. Support for the current switches will end on May 31, 2024, and without replacement the University would face an extensive interruption to all its network services for an extended period of time. (Presidio Networked Solutions Group, Chicago, IL) | 180,078 |
| 10. Grounds requested permission to purchase a 2024 John Deere 320 backhoe loader. Grounds traded in a 1997 Caterpillar 416B backhoe loader. (West Side Tractor, Lisle, IL) | 130,772 |
| 11. The College of Engineering and Engineering Technology requested permission to purchase advanced technology equipment to transform an existing microchip lab space into the modern engine for workforce development and innovation in microchip research, design, and small business innovation and prototyping. (Angstrom Engineering, Cambridge, Ontario) | 239,600 |
| 12. The Department of Electrical Engineering requested permission to purchase advanced technology equipment transform an existing microchip lab space into the modern engine for workforce development and innovation in microchip research, design, and small business innovation and prototyping. (Angstrom Engineering, Cambridge, Ontario) | 187,700 |
| 13. The Division of Information Technology requested permission to purchase a CrowdStrike license. CrowdStrike provides 24/7 soc (security operations center) analyst services, vulnerability management, and EDR ransomware protection as required for cyber insurance. (CDWG, Chicago, IL) | 127,769 |
| 14. Housing and Residential Services requested permission to purchase EnviroSpring dorm mattresses. The total cost included installation and removal of old mattresses. (Consolidated Hospitality Supplies, Vernon Hills, IL) | 124,728 |

Capital Improvement Projects:

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| 1. DuSable Elevator Repair: Urgent repairs were necessary for the sole elevator serving all floors and classrooms in this building. The hydraulic jack and pumping unit were found to have failed and required replacement. The elevator was back in operation shortly after the beginning of the semester. | 135,000* |
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| 2. Convocation Basketball Locker Rooms Improvements: The scope of work included replacement of existing lockers with new branded lockers including convenience power outlets. | 240,400 |
| 3. East Campus Boiler Repairs: Annual maintenance and repairs were required to ensure that the aging boilers and associated steam distribution equipment would have the ability to operate during the heating season. Work items identified included refractory repairs, valve replacements and testing, and reverse osmosis system membrane replacement as well as other general maintenance items. | 119,550 |
| 4. West Campus Chiller Repairs: The chillers associated with the West Chiller Plant and the Convocation Center required annual maintenance and repairs to be prepared for the next cooling season. The repairs included annual routine maintenance work, cooling tower maintenance, and leak repairs. | 210,000 |

*Appropriated/Income Funds

APPOINTMENT OF EXECUTIVE VICE PRESIDENT AND PROVOST

Summary: Following a national search, Dr. Laurie Elish-Piper had accepted an offer to become the permanent Executive Vice President and Provost beginning April 1, 2024 after serving since July 1, 2023 in the interim role.

Dr. Elish-Piper's commitment to NIU's mission, vision, and values is unquestionable. She has spent the past eight months enhancing communications; sharpening the focus of the Provost's Office on student success and faculty success; collaborating with deans to implement academic efficiencies; and developing strategies for serving adult learners and students who have stopped out of NIU prior to earning degrees.

In addition to the experience serving as interim, she has significant academic leadership experience including in-depth knowledge of NIU's institutional culture; policies and procedures and shared governance; leadership and management experience including oversight of budgets and personnel in an academic department, interdisciplinary center and college levels; established reputation for fairness and transparency; track record of using data to inform decision making; and ability to communicate effectively with multiple internal and external stakeholders including state and federal legislators.

Dr. Elish-Piper has been at NIU more than 26 years, having served as the dean of the College of Education from 2016-2023, serving previously as the acting dean and is a Distinguished Teaching Professor and Distinguished Engagement Professor in the Department of Literacy and Elementary Education. She received her Ph.D. in curricular and instruction studies with an emphasis in literacy education from the University of Akron.

Recommendation: The University requests Board of Trustees approval of its appointment of Dr. Laurie Elish-Piper as Executive Vice President and Provost effective April 1, 2024.

ROOM FURNISHING PROJECT FOR NEPTUNE COMPLEX

Summary: Housing and Residential Services request permission to fund a project not to exceed \$450,000 for the purpose of purchasing new residence hall room furniture to convert single rooms to double occupancy rooms in the Neptune complex.

Background: Housing and Residential Services has seen an increase in returning student demand for campus housing. To accommodate the increase in returning student demand as well as the first-year freshman demand, Housing and Residential Services wishes to change the capacity of 150 bedrooms in the Neptune complex to double occupancy rooms. Additionally, Housing and Residential Services would like to replace the 30-year-old room furnishings in the remaining rooms in Neptune North third floor with new furnishings to have equity for all rooms in the complex. The project would total 400 sets of beds, mattresses, desks, and an additional 150 desk chairs, as well as the sustainable disposal of old mattresses and bedroom furnishings. IPHEC vendors have been selected for this project that will help expedite the schedule for installation.

Vendor estimates:

Junior loft bed set	\$276.00 @ 400 sets	=	\$110,400
Pedestal desk set	\$398.00 @ 400 sets	=	\$159,200
Desk task chairs	\$150.00 @ 150 chairs	=	\$22,500
XL Mattress	\$150.65 @ 400 units	=	\$60,260
Old Mattress Disposal	(estimated)		\$12,500
Shipping/Installation			\$65,890
Contingency allowance			\$15,000
			<hr/>
			\$445,750

Funding: Institutional funds generated from student room rent – not to exceed \$450,000

Recommendation: The University recommends Board of Trustee approval of the request for funding the purchase of new residence hall room furniture to convert single rooms to double occupancy rooms in the Neptune complex at an amount not to exceed \$450,000.

**PROPOSED AMENDMENTS TO THE BOARD OF TRUSTEES
REGULATIONS REGARDING ACADEMIC PROGRAMS, FACULTY
AND ADMINISTRATIVE EMPLOYEES, FINANCIAL MATTERS, AND
PHYSICAL FACILITIES**

Summary: Article IV Sections 7 of the Board of Trustees Regulations provides in part:

Board Regulations may be adopted at any regular meeting by majority vote of the total current voting membership of the Board, after it has sought the advice and recommendations of the president and, through the president, the advice of the University Advisory Committee and of such faculty and staff as the president deems necessary.

The Regulations may be amended at any regular meeting of the Board by majority vote of the total current voting membership of the Board, provided that notice of the intention to amend the Regulations shall have been presented in writing at least three days preceding that meeting. Such notice shall provide so far as possible the exact wording of the amendment proposed.

Members of the Board of Trustees, the president, and members of the University Advisory Committee may propose amendments to the Board Regulations.

In furtherance of these provisions, Former Chair Eric Wasowicz asked the Office of General Counsel and the Office of the President to conduct an initial, comprehensive review of the Board Regulations, in conjunction with the Board *Bylaws* and other governing documents of the Board and University and submit a proposal for consideration to amend the Board Regulations.

Attached is the proposal of amendments to the Board Regulations for the Board's regular meeting on March 21, 2024.

RECOMMENDATION: Per the Board of Trustees Regulations, it is recommended that the Board approve the proposed amendments to the Regulations by a vote of at least six (6) affirmative votes at the March 21, 2024, Regular Meeting of the Board of Trustees.

PROPOSED AMENDMENTS TO THE NORTHERN ILLINOIS UNIVERSITY BOARD REGULATIONS

Section I: Academic Programs

Subsection B. Degrees

Original Language:

3. Conferring of Degrees

Earned and honorary degrees shall be conferred by the president upon the recommendation of the faculty and under authority of the Board of Trustees. Although degrees normally are conferred at the scheduled commencement exercises, recipients, at the discretion of the president, may receive their degrees and diplomas prior to the date of commencement.

Proposed Amendment:

3. Conferring of Degrees

Earned and honorary degrees shall be conferred by the president upon the recommendation of the faculty and under authority of the Board of Trustees. Although degrees normally are conferred at the scheduled commencement exercises, recipients, at the discretion of the president, may receive their degrees and diplomas prior to the date of commencement. **The Board of Trustees authorizes the president or designee to effectuate name changes (preferred/proper, legal, etc.) to already conferred degrees pursuant to applicable university policies and procedures.**

Subsection C. Program Approval

Programs and Administrative Units Requiring Board Approval

Original Language:

a. Coverage

Board of Trustees approval is required prior to the addition or deletion of the following:

(1) Instructional, Research and Public Service Administrative Units

(a) Departments

(b) Institutes

(c) Centers

(d) Divisions

(e) Schools

(f) Colleges

(g) Branch Campuses

(h) Campuses

(2) Instructional Programs

(a) Minors

(b) Majors

(c) Degrees

(d) Cooperative (joint) degree programs

All instructional, research and public service administrative units and all new majors and degree programs require IBHE approval. A new minor, emphasis, specialization, or concentration must be recognized by the IBHE as a "reasonable and moderate extension of existing degree authority."

Program consolidations, reorientations, title changes and structural modifications of research and public service units and other such administrative changes do not require Board approval but must be reviewed and approved by the president.

Proposed Amendment:

a. Coverage

Board of Trustees approval is required prior to the addition or deletion of the following:

(1) Instructional, Research and Public Service Administrative Units

- (a) Departments
- (b) Institutes
- (c) Centers
- (d) Divisions
- (e) Schools
- (f) Colleges
- (g) Branch Campuses
- (h) Campuses

(2) Instructional Programs

- (a) Minors
- (b) Majors
- (c) Degrees
- (d) Cooperative (joint) degree programs

All instructional, research and public service administrative units and all new majors and degree programs require IBHE approval. A new minor, emphasis, specialization, or concentration must be recognized by the IBHE as a "reasonable and moderate extension of existing degree authority."

Program consolidations, reorientations, title changes and structural modifications of research and public service units and other such administrative changes do not require Board approval but must be reviewed and approved by the president. **When such changes result in tenure locus changes for faculty or renaming of existing degree programs, Board of Trustees approval will not be required to make these changes.**

Section II: Faculty and Administrative Employees

Subsection B. Conditions of Employment

Original Language:

6. President

b. Housing

- (1) The president of Northern Illinois University shall be furnished a residence in which the president is required to live. The president's home should provide a university setting conducive to cultural, social and educational interchanges important to students, faculty, university-related organizations, and dignitaries associated with the university community. It serves as a resource for fulfilling official, ceremonial and social functions of the campus chief executive officer.
- (2) The maintenance and upkeep of the president's residence and grounds, including housekeeping services for the public areas, shall be borne by the university.
- (3) The public areas in the residence shall be furnished by the university consistent with the requirements of 6.b.1. of this Section.
- (4) The university shall prepare and submit an annual operating budget for repairs and maintenance, renovations, and major equipment purchases for the president's residence. The chair of the Board of Trustees, based upon consultation with the president, shall review and approve these operating budgets. Once the budget is approved, the university is authorized to expend funds in the amounts and for the purposes specified in the budget. Amendments to the budget during the course of the fiscal year shall be subject to the same review and approval procedure as the original budget.

Proposed amendment:

- ~~(1) The president of Northern Illinois University shall be furnished a residence in which the president is required to live. The president's home should provide a university setting conducive to cultural, social and educational interchanges important to students, faculty, university-related organizations, and dignitaries associated with the university community. It serves as a resource for fulfilling official, ceremonial and social functions of the campus chief executive officer.~~
- ~~(2) The maintenance and upkeep of the president's residence and grounds, including housekeeping services for the public areas, shall be borne by the university.~~
- ~~(3) The public areas in the residence shall be furnished by the university consistent with the requirements of 6.b.1. of this Section.~~
- ~~(4) The university shall prepare and submit an annual operating budget for repairs and maintenance, renovations, and major equipment purchases for the president's residence. The chair of the Board of Trustees, based upon consultation with the president, shall review and approve these operating budgets. Once the budget is approved, the university is authorized to expend funds in the amounts and for the purposes specified in the budget. Amendments to the budget during the course of the fiscal year shall be subject to the same review and approval procedure as the original budget.~~

c. Automobile

Original Language:

The president shall be furnished with an automobile which shall be for personal use as well as official business.

Proposed Amendment:

~~The president shall be furnished with an automobile which shall be for personal use as well as official business.~~

Section V: Financial Affairs

Subsection A. Appropriations Process

Original Language

2. Preparation of Request for Documents

Original Language:

The university shall prepare appropriation request documents annually in accordance with detailed instructions issued by the IBHE. The president shall submit to the Board of Trustees a summary and analysis of the total university request, accompanied by recommendations for Board action. The university's request, as approved by the Board of Trustees, is then submitted to the staff of the IBHE, which conducts hearings with university representatives to resolve questions and to discuss pending recommendations. The IBHE then acts on the recommendations of its staff and submits its recommendations to the Governor and the General Assembly.

Proposed Amendment:

The university shall prepare appropriation request documents annually in accordance with detailed instructions issued by the ~~IBHE~~ state or other governing body. The president shall submit to the Board of Trustees a summary and analysis of the total university request, accompanied by recommendations for Board action. The university's request, as approved by the Board of Trustees, is then submitted to the ~~IBHE~~ staff of the appropriate agency or governing body, which works with university representatives to resolve questions and to discuss pending recommendations. ~~The IBHE then acts on the recommendations of its staff and submits its recommendations to the Governor and the General Assembly.~~

Subsection B. Obligation of Financial Resources

2. Administrative Approval

Original Language:

A. Purchases

The Board of Trustees shall adopt rules governing procurement and bidding in coordination with the other senior governing boards of higher education in the State of Illinois. The university is authorized to conduct its own purchasing activities in accordance with the following:

(1) The university is authorized to enter into purchase transactions for utility services, library books and periodicals, textbooks, food products, insurance, search firms not otherwise requiring Board of Trustees approval pursuant to statute or regulation and items authorized for resale to individuals and non-university organizations regardless of amount.

- (2) The university is authorized to enter into purchase transactions for equipment and other materials or services associated with capital improvement projects if the bid estimates or the actual bids do not exceed the budgets previously approved by the Board of Trustees. (See Regulation Section VI.B.1.)

- (3) The university is authorized to enter into contracts with visiting performers, regardless of amount, provided that payment is to be made from gate receipts and student activity funds.
- (4) The university is authorized to enter into purchase transactions and subrecipient grant agreements associated with sponsored research and other sponsored activities under grants and contracts regardless of amount.
- (5) Transactions not listed above involving an obligation of \$250,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees.

Proposed Amendment:

~~(1) The university is authorized to enter into purchase transactions for utility services, library books and periodicals, textbooks, food products, insurance, search firms not otherwise requiring Board of Trustees approval pursuant to statute or regulation and items authorized for resale to individuals and non-university organizations regardless of amount.~~

- ~~• (2) The university is authorized to enter into purchase transactions for equipment and other materials or services associated with capital improvement projects if the bid estimates or the actual bids do not exceed the budgets previously approved by the Board of Trustees. (See Regulation Section VI.B.1.)~~
- ~~• (3) The university is authorized to enter into contracts with visiting performers, regardless of amount, provided that payment is to be made from gate receipts and student activity funds.~~
- ~~• (4) The university is authorized to enter into purchase transactions and subrecipient grant agreements associated with sponsored research and other sponsored activities under grants and contracts regardless of amount.~~
- ~~• (5) Transactions not listed above involving an obligation of \$250,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees.~~

~~(1) Authorization by the Board of Trustees for expenditure of funds is not required prior to the commitment of funds in the following instances:~~

~~For requisitions involving expenditures of a routine nature necessary for normal and usual operation of the University, where there is only one source of supply or in actual practice no price selection is possible; such instances include, but are not limited to:~~

- ~~1. postal charges purchased from the Postmaster and locked in the postage meter machine;~~
- ~~2. postage stamps, post cards, and bulk mailing;~~
- ~~3. utilities (electrical energy, city water, and sewage charges, natural gas, and telephone charges);~~
- ~~4. freight, express, and interstate moving expenses;~~
- ~~5. annual renewals for rental of various physical facilities;~~
- ~~6. annual renewal insurance premiums in years subsequent to the year in which the original insurance was contracted;~~

7. subscriptions to journals and periodicals;
8. books and bound periodicals
9. professional and technical services;
10. credit card encumbrances for usual and customary automotive service station charges. Repair work other than minor or emergency type must have previous approval of Transportation Service;
11. annual rental of equipment in years subsequent to the year in which the original requisition was approved for installation, such as data processing equipment, photo-static copiers, accounting machines, and similar items;
12. annual maintenance contracts provided by a manufacturer or its agent for the equipment made by them;
13. payments for items from specific single-item appropriations, such as CDB lease rental payment, retirement contributions, and fire protection, but excluding capital items;
14. in emergencies involving public health, public safety, or where immediate expenditure is necessary for repairs to University property in order to protect against further loss or of damage to University property, to prevent or minimize serious disruption in University services, or to insure the integrity of University records;
15. where the goods or services are procured from another governmental agency
16. purchases of and contracts for office equipment and associated supplies when such contracts provide for prices that are equal to or lower than Federal General Services Administration contracts and when such contracts or pricing result in economical advantage to the University.
17. Expenditures for sponsored research projects where the University acts as pass through of funds.
18. Study abroad programming.
19. compliance mandates issued by governing agencies (e.g. National Collegiate Athletic Assoc., Office of the Auditor General, Office of the Executive Inspector General, Mid-American Conference) for a specific good, service or vendor, franchise-required services and supplies.
20. The university is authorized to enter into pay-for-performance contracts for revenue-generating services under which vendors receive payment for services through the revenue generated for the university.
21. The university is authorized to enter into contracts under which it serves solely as an agent or pass-through for external funding.

(2) Transactions not listed above involving an obligation of \$500,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees.

Original Language:

e. Professional Services and Consulting

(1) Contracts for professional services and consulting involving an obligation of \$250,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees. Contracts for professional services and consulting for the president or directly for the Board involving an obligation of \$100,000 or more, for any transaction or series of related transactions regardless of the source of funds, shall be approved by the Executive Committee of the Board of Trustees. The president is authorized to enter into all other agreements for consulting and professional services.

Proposed Amendment:

e. Professional Services and Consulting:

(1) Contracts for professional services and consulting involving an obligation of \$250,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees. ~~Contracts for professional services and consulting for the president or directly for the Board involving an obligation of \$100,000 or more, for any transaction or series of related transactions regardless of the source of funds, shall be approved by the Executive Committee of the Board of Trustees.~~ The president is authorized to enter into all other agreements for consulting and professional services.

5. Reports on Obligation of Financial Resources

Original Language:

a. Quarterly Progress Reports

Original Language: The president shall provide quarterly reports of all obligations of financial resources greater than \$100,000 but less than \$250,000.

Proposed Language:

~~The president shall provide quarterly reports of all obligations of financial resources greater than \$100,000 but less than \$250,000.~~

Section VI: Physical Facilities

Subsection A. Real Property

2. Approval Requirements

C. LEASES (BOARD AS LESSEE)

Original Language:

(1) Transactions involving an obligation in the aggregate of \$250,000 or more, regardless of the source of funds, shall be approved by the Board. The president is authorized to enter into all other leases of real property.

Proposed Language:

~~(1) Transactions involving an obligation in the aggregate of \$250,000 \$500,000 or more, shall be approved by the Board. The president or designee is authorized to enter into all other leases of real property.~~

D. LEASES (BOARD AS LESSOR)

Original Language:

(1) Transactions involving an obligation in the aggregate of \$250,000 or more and any real property transactions involving multiyear obligations in excess of three years shall be approved by the Board. The president is authorized to enter into all other leases of real property.

Proposed Language:

(1) Transactions involving an obligation in the aggregate of ~~\$250,000~~ \$500,000 or more and any real property transactions involving multiyear obligations in excess of ~~ten~~ years shall be approved by the Board. ~~The president or designee is authorized to enter into all other leases of real property.~~

4. Reports on Obligation of Financial Resources

Original Language:

The president shall provide quarterly reports of all transactions involving real property greater than \$100,000 but less than \$250,000.

Proposed Language:

~~The president shall provide quarterly reports of all transactions involving real property greater than \$100,000 but less than \$250,000.~~

Agenda Item 8.c.4.
March 21, 2024

Information

**COLLEGE OF ENGINEERING AND ENGINEERING TECHNOLOGY
OVERVIEW**

Dave Grewell, Dean of the College of Engineering and Engineering Technology will give an update on the College of Engineering and Engineering Technology.