



# Board of Trustees

Finance, Audit, Compliance,  
Facilities and Operations  
Committee

*November 9, 2023*

**BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY**  
**Finance, Audit, Compliance, Facilities and Operations Committee**  
**8:30 a.m. – Thursday – November 9, 2023**  
**Board of Trustees Room**  
**Altgeld Hall 315**

**AGENDA**

1. Call to Order and Roll Call
2. Verification of Quorum and Appropriate Notification of Public Meeting
3. Swearing in of Trustee Leland Strom
4. Meeting Agenda Approval..... *Action*..... i
5. Review and Approval of Minutes of August 24, 2023 ..... *Action*..... 1
6. Chair’s Comments/Announcements
7. Public Comment\*
8. Financial Update
  - a. Annual Financial Summary as of June 30, 2023 ..... *Information*.....5
  - b. Quarterly Financial Summary Fiscal Year 2024 First Quarter  
as of September 30, 2023 ..... *Information*.....9
  - c. Periodic Report on Investments for Period Ending September  
30, 2023..... *Information*.....16
9. University Recommendations
  - a. Fiscal Year 2025 Appropriations Request ..... *Action*.....19
  - b. Fiscal Year 2025 IBHE Capital Budget Request ..... *Action*.....22
  - c. Huskie Stadium Brigham Field Artificial Turf Replacement ..... *Action*.....30
  - d. Chick Evans Fieldhouse Tennis Facility Upgrades Phase II ..... *Action*.....31
  - e. DeKalb Campus 2024 Roof Repairs ..... *Action*.....32
  - f. Chilled Water Plant Chiller #4 Overhaul ..... *Action*.....33
  - g. Cell Tower Land Lease (NIU as Lessor) ..... *Action*.....34
  - h. Stevenson Towers Wireless Network Expansion and Upgrade ..... *Action*.....35
  - i. Internet Exchange Point Land Lease (NIU as Lessor) ..... *Action*.....36
  - j. Officer Safety Equipment Refresh ..... *Action*.....37
  - k. Intercollegiate Athletics Air Charter Services for Basketball Teams ..... *Action*.....38
10. University Reports
  - a. Fiscal Year 2023 Annual Report of Transactions Involving  
Real Property ..... *Information*.....39
  - b. Fiscal Year 2023 Report of Tuition and Fee Waivers ..... *Information*.....41
  - c. Quarterly Summary Report of Transactions in Excess of \$100,000

11. Other Matters

12. Next Meeting Date

13. Adjournment

\*Individuals wishing to make an appearance before the Board should consult the [\*Bylaws of the Board of Trustees of Northern Illinois University\*](#), Article II, Section 4 – *Appearances before the Board*. Appearance request forms can be completed [online](#) in advance of the meeting or will be available in the Board Room the day of the meeting. For more information contact Crystal Doyle, ccoppel@niu.edu, Recording Secretary to the Board of Trustees, Altgeld Hall 300, DeKalb, IL 60115, 815-753-1273.

**Anyone needing special accommodations to participate in the NIU Board of Trustees meetings should contact Crystal Doyle, ccoppel@niu.edu or (815) 753-1273, as soon as possible.**

Minutes of the  
**Board of Trustees of Northern Illinois University**  
**Finance, Audit, Compliance, Facilities and Operations**  
**Committee Meeting**  
August 24, 2023

**CALL TO ORDER AND ROLL CALL**

The meeting was called to order at 11:25 a.m. by Committee Chair Dennis Barsema in the Board of Trustees Room, 315 Altgeld Hall.

Recording Secretary Christina Doe conducted a roll call of the Trustees.

Trustee Rita Athas: Absent

Trustee John Butler: Absent

Trustee Montel Gayles: Present

Trustee Veronica Herrero: Present

Committee Chair Dennis Barsema: Present

Trustee Tim Moore: Present

Board Chair Eric Wasowicz: Present

Also present: President Lisa Freeman; Committee Liaison and Vice President for Administration and Finance and Chief Financial Officer George Middlemist; Vice President and General Counsel and Board Parliamentarian Bryan Perry; Interim Executive Vice President and Provost Laurie Elish-Piper; Chief Strategy Officer and Liaison to the Board of Trustees Matthew Streb; Vice President for Enrollment Management, Marketing and Communication Sol Jensen; Associate Vice President for Information Technology and Chief Information Officer Matthew Parks; Vice President for Student Affairs Clint-Michael Reneau; Vice President for Research and Innovative Partnerships Yvonne Harris; Vice President for University Advancement Catherine Squires; Senior Associate Vice President and Chief Human Resource Officer John Acardo; University Advisory Council (UAC) Representatives Brad Cripe and Ben Creed.

**VERIFICATION OF QUORUM AND APPROPRIATE NOTICE OF PUBLIC MEETING**

General Counsel Bryan Perry indicated the appropriate notification of the meeting has been provided pursuant to the Illinois Open Meetings Act. Mr. Perry also advised that a quorum was present.

**MEETING AGENDA APPROVAL**

Committee Chair Barsema asked for a motion to approve the meeting agenda. Board Chair Wasowicz so moved, and Trustee Gayles seconded.

The motion was approved.

**REVIEW AND APPROVAL OF MINUTES OF MAY 11, 2023**

Committee Chair Barsema asked for a motion to approve the minutes of May 11, 2023. Board Chair Wasowicz so moved, and Trustee Herrero seconded.

The motion was approved.

**CHAIR'S COMMENTS/ANNOUNCEMENTS**

Committee Chair Barsema welcomed everyone and recognized the University Advisory Committee. He asked if anyone had any comments and there were none.

Committee Chair Barsema shared a few comments by opening with remarks welcoming all students, faculty, and staff back to campus. The Board had a full agenda for the meeting. The University moved forward with three recommendations for approval; including one that went to the Special Meeting due to it being time sensitive.

*Trustee John Butler entered the meeting at 11:28 a.m..*

## **PUBLIC COMMENT**

Committee Chair Barsema introduced the public comment portion of the meeting. General Counsel Perry stated there were no requests for public comment.

## **FINANCIAL UPDATE**

Committee Chair Barsema asked Vice President and Chief Financial Officer (VPCFO) George Middlemist to present the University Financial Update. Committee Chair Barsema noted that Agenda Item 7.a. and Agenda Item 7.b. flow together and, thus, took comments after Agenda Item 7.b. was presented.

### **VPCFO Middlemist presented Agenda Item 7.a. Fiscal Year 2022 Audit and Financial Results and Agenda Item 7.b. Fiscal Year 2022 External Audit Corrective Action Plans Update**

VPCFO Middlemist asked Shyree Sanan, Associate Vice President for Finance and Treasury Operations, to present the items.

Associate Vice President Sanan recognized Antoinette Bridges, Director of Procurement Services and Contract Management, for receiving IPHEC's Commitment to Diversity award for her leadership in helping NIU achieved the highest overall growth in BEP spend among the Illinois public universities, which was a 162% growth. Recognition was also given to the Procurement Services and Contract Management team as well as President Freeman, who has made BEP an institutional priority.

Committee Chair Barsema continued the conversation around the audit's action items and the university's commitment to operational and financial sustainability.

Trustee Herrero thanked the institutional team for keeping the Board updated on these items and her fellow Board members for keeping important topics, like BEP performance, on the list of priorities.

### **VPCFO Middlemist presented Agenda Item 7.c. Annual Report of Cash and Investments for Period Ending June 30, 2023**

VPCFO Middlemist asked Shyree Sanan, Associate Vice President for Finance and Treasury Operations, to present the item.

Brief conversation followed about the reduction in holdings and the Board's appreciation for the transparent reporting and prior conversations that informed the Board that this was anticipated. Committee Chair Barsema thanked the university leadership and the entire finance team for their continued hard work. The Board looks forward to discussing the overall FY23 year-end performance at the November FACFO meeting.

## **UNIVERSITY RECOMMENDATIONS**

Committee Chair Barsema asked VPCFO George Middlemist to present the University Recommendations.

### **VPCFO Middlemist presented Agenda Item 8.a. Miles Education Inc. Contract**

Committee Chair Barsema asked for a motion to approve item 8.a. Trustee Gayles so moved, and Trustee Herrero seconded.

Conversation followed around in-person learning as a requirement for this program and no cap on the number of permitted participants. The discussion included the importance of how this will

assist in raising enrollment in the Accountancy program and how it can generate income for the university as well as how minimal the risks are for this type of collaboration going forward.

The motion was approved.

**VPCFO Middlemist presented Agenda Item 8.b. Bowl Game Participation Expenses**

Committee Chair Barsema asked for a motion to approve item 8.b. Board Chair Wasowicz so moved, and Trustee Moore seconded.

The motion was approved.

**VPCFO Middlemist presented Agenda Item 8.c. Lowden Hall Heat Exchanger Replacement**

Committee Chair Barsema asked for a motion to approve item 8.c. Trustee Gayles so moved, and Trustee Herrero seconded.

The motion was approved.

**UNIVERSITY REPORTS**

Committee Chair Barsema asked VPCFO George Middlemist to present the University Reports.

VPCFO Middlemist stated there is one update with a presentation, and there were individuals present for any questions regarding the other two items. NIU Board regulations stipulate that the university presents regular summaries of designated activities including financial and property transaction, as well as confirmation that state and federal requirements are met. The three reports provided include comprehensive written updates and are submitted in accordance with board regulations. VPCFO Middlemist introduced John Heckmann, Associate Vice President for Facilities Management and Campus Services to give the update and presentation of Item 9.a.

**Information Item 9.a. – Semi-Annual Report of Active Capital Projects Update and Presentation**

Conversation followed, primarily focusing on the Health Technology Center. During the update, it was clarified that the move of the School of Nursing into the new Health Technology Center will lead to the optimization of enrollment in the undergraduate Nursing program and allow interprofessional education across various programs, not just Nursing. Recognition was made regarding the extraordinary reputation of the College of Health and Human Sciences as has been observed across the country. President Freeman recognized the work of the team that has been involved in the Health Technology Center.

**Information Item 9.b. – Annual Summary Report on Obligations of Financial Resources for Year Ended June 30, 2023**

**Information Item 9.c. – Quarterly Summary Report of Transactions in Excess of \$100,000 for the Period April 1, 2023 to June 30, 2023**

**OTHER MATTERS**

There were no other matters.

**NEXT MEETING DATE**

The next meeting of the Finance, Audit, Compliance, Facilities and Operations Committee will be November 9, 2023.

**ADJOURNMENT**

Committee Chair Barsema asked for a motion to adjourn. Board Chair Wasowicz so moved, and Trustee Herrero seconded.

Committee Chair Barsema asked Ms. Doe to conduct a roll call vote.

Trustee Rita Athas: Absent

Trustee John Butler: Yes

Trustee Montel Gayles: Yes

Trustee Veronica Herrero: Yes

Committee Chair Dennis Barsema: Yes

Trustee Tim Moore: Yes

Board Chair Eric Wasowicz: Yes

The motion was approved.

The meeting adjourned at 12:59 p.m.

Respectfully submitted,

Christina Doe  
Recording Secretary

*In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.*

## ANNUAL FINANCIAL SUMMARY AS OF JUNE 30, 2023

**Background:** With the completion of the fiscal year in June and progress on the subsequent financial audit, the University may now present its preliminary FY23 operating results.

Overall, the University finished the fiscal year with an operating deficit of \$18,563,414. Total revenues outperformed budget by \$1.3M (0.3%) while expenses exceeded budget by \$5.4M (1.3%). These results reflect both one-time and usual revenues and activities of the University. Both revenues and expenses grew from FY22, by 2.0% and 4.3%, respectively.

Please note that non-financial aid-related grant revenue and spending on grant-sponsored research is removed from the full-year results reported here. Sponsored research is generally assumed to generate revenues equal to expenses, so removing this activity from year-end numbers allows greater focus on the revenues and expenses from general University operations.

Beginning with FY23, base revenues and expenses were presented separately in the budget and quarterly financial summary reports. This structural basis identified the FY23 plan for regular revenues and expenses of NIU that occur each year. As reported in the table below, full year structural net revenue is \$2.5M (17.5%) short of the budget plan, resulting in a deficit of \$16.6M, consistent with projections made in the FY23 Third Quarter Financial Summary. Revenues trailed the structural budget by \$1.9M (0.5%) overall. Tuition & Student Fee revenues outperformed the budget by \$1.9M (1.2%) but Sales and Miscellaneous & Investment Income collectively missed by \$4.6M (8.7%). Improvements to budget practices to better align budgets with the expected activities in these two revenue categories were introduced for FY24.

Overall structural spending for FY23 exceeded the budget by only \$0.6M (0.2%). The two largest expense categories - Personnel Services and Contractual Services - together represented 79% of all spending on a structural basis. Collectively, these categories finished the year \$1.3M under budget (0.5%) which kept overall expenditures in line with expectations.

Non-structural spending included the use of fund balance and net revenue from past fiscal years. The spend-down of these resources focused on Capital Repairs (\$3.2M), Cost of Sales (\$2.8M), Equipment & Library Materials (\$1.5M), and Personnel Services (\$1.6M).

Specific revenue and expense categories in the following tables are consistent with the descriptions below. For reference, an explanation of each table and its content concludes this board item.

### Revenues

- 1. Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
- 2. Gifts, Grants, and Contracts:** Includes grant revenue to support financial aid programs, like Pell Grants and AIM High awards.
- 3. Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.
- 4. Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
- 5. Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.
- 6. Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.



7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
8. **State of Illinois:** Represents the annual state appropriation to public universities.

### Expenses

1. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
2. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
3. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.
4. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
5. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
6. **Automotive:** Includes the cost to rent cars, vans, and buses from the University transportation department.
7. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's cash position).
8. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
9. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.
10. **Capital Repairs:** Includes the cost of centralized capital repair activities.
11. **Debt Service:** Includes debt service payment on bonds, certificates of participation, and performance contracts.
12. **Miscellaneous Expense:** Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

**Northern Illinois University  
as of June 30,2023  
Full Year Financial Summary  
(\$ in thousands)**

	<u>FY23</u>			<u>FY22 (Prior Year)</u>		<u>FY23</u>			<u>FY23</u>		
	<u>Full Year - All Types</u>			<u>Full Year - All Types</u>		<u>Structural Only</u>			<u>Non-Structural and Grant Supported Financial Aid</u>		
	<u>Actuals</u>	<u>Budget</u>	<u>Variance</u>	<u>Actuals</u>	<u>Variance</u>	<u>Actuals</u>	<u>Budget</u>	<u>Variance</u>	<u>Actuals</u>	<u>Budget</u>	<u>Variance</u>
<b>Revenue</b>											
Tuition & Student Fees	\$ 162,625	\$ 160,733	\$ 1,892	\$ 164,425	\$ (1,800)	\$ 162,625	\$ 160,733	\$ 1,892	\$ -	\$ -	\$ -
Gifts, Grants, & Contracts - Financial Aid	31,658	27,058	4,601	46,853	(15,194)	-	-	-	31,658	27,058	4,601
Gifts, Grants, & Contracts - Other	5,730	6,883	(1,152)	5,480	251	5,689	5,508	182	41	1,375	(1,334)
Other Fees and Fines	5,914	5,931	(17)	4,968	946	5,844	5,866	(22)	70	65	5
Sales	35,019	35,482	(463)	26,229	8,790	28,740	29,849	(1,109)	6,279	5,633	646
Rental & Room Income	35,044	34,295	749	31,850	3,194	35,044	34,295	749	-	-	-
Gate Receipts & Commissions	4,085	4,288	(202)	2,189	1,896	3,886	4,010	(124)	199	278	(79)
Miscellaneous & Investment Income	20,103	26,909	(6,806)	10,649	9,453	19,532	23,041	(3,508)	570	3,868	(3,298)
State of Illinois	92,216	92,195	22	92,217	(0)	92,216	92,195	22	-	-	-
<b>Total Revenue</b>	<b>\$ 392,394</b>	<b>\$ 393,772</b>	<b>\$ (1,378)</b>	<b>\$ 384,858</b>	<b>\$ 7,536</b>	<b>\$ 353,578</b>	<b>\$ 355,496</b>	<b>\$ (1,918)</b>	<b>\$ 38,817</b>	<b>\$ 38,277</b>	<b>\$ 540</b>
<b>Expenses</b>											
Cost of Sales	\$ 5,501	\$ 5,558	\$ (57)	\$ 3,794	\$ 1,708	\$ 5,501	\$ 5,558	\$ (57)	\$ -	\$ -	\$ -
Personnel Services	214,832	219,227	(4,394)	208,726	6,106	212,109	216,575	(4,466)	2,723	2,652	72
Contractual Services	81,310	78,161	3,149	74,089	7,221	79,647	76,507	3,140	1,663	1,654	10
Commodities	5,728	7,023	(1,295)	5,316	412	5,560	6,833	(1,273)	168	190	(22)
Travel	4,012	3,517	496	3,094	918	3,813	3,355	458	199	162	38
Automotive	651	1,070	(419)	875	(224)	651	1,070	(419)	-	-	-
Scholarships - Financial Aid	31,368	27,058	4,310	46,574	(15,206)	-	-	-	31,368	27,058	4,310
Scholarships - Other	35,384	34,989	395	32,261	3,122	35,384	34,988	395	-	0	(0)
Telecommunications	438	393	44	398	40	438	357	80	-	36	(36)
Equipment & Library Materials	10,230	7,676	2,554	8,514	1,716	8,780	6,673	2,108	1,449	1,003	446
Capital Repairs	3,247	3,205	42	1,496	1,751	-	-	-	3,247	3,205	42
Debt Service	17,787	18,001	(214)	8,580	9,207	17,787	18,001	(214)	-	-	-
Miscellaneous Expense	470	(331)	800	472	(3)	470	(331)	800	-	-	-
<b>Total Expenses</b>	<b>\$ 410,958</b>	<b>\$ 405,547</b>	<b>\$ 5,411</b>	<b>\$ 394,190</b>	<b>\$ 16,768</b>	<b>\$ 370,140</b>	<b>\$ 369,588</b>	<b>\$ 551</b>	<b>\$ 40,818</b>	<b>\$ 35,958</b>	<b>\$ 4,860</b>
<b>Net Revenue</b>	<b>\$ (18,563)</b>	<b>\$ (11,774)</b>	<b>\$ (6,789)</b>	<b>\$ (9,331)</b>	<b>\$ (9,232)</b>	<b>\$ (16,562)</b>	<b>\$ (14,093)</b>	<b>\$ (2,469)</b>	<b>\$ (2,001)</b>	<b>\$ 2,318</b>	<b>\$ (4,320)</b>

The following description of the above tables is provided for reference.

### **Full Year – All Types Financial Summary**

This table is a “roll-up” of the other two FY23 tables and includes the structural budget, non-structural budget, and grant-supported financial aid. Please note that previous FY23 quarterly financial summaries included grant-supported (sponsored) research revenues and expenses that are removed from this Annual Financial Summary report. This grant-adjusted information is provided for both FY23 and FY22, for reference. The intent of the All Types Financial Summary is to maintain a familiar, complete view of NIU’s budget and financial performance comparable to information from past fiscal years.

### **Structural Only Financial Summary**

This table identifies recurring revenues and expenses of the University. This data was gathered for each budgeted fund of the University and for each revenue and expense category presented in the following tables. In total, 97.6% of non-grants budgeted revenues in FY23 and 98.2% of non-grants budgeted expenses were identified as structural. Examples of structural revenues include Tuition & Fees, Rental & Room Income, and the State of Illinois appropriation to support NIU operations. Examples of structural expenses include 98.8% of Personnel Services spend, Scholarships expense, and Debt Service.

The intent of the Structural Financial Summary is to create a budget framework capable of anchoring a transition to multi-year planning and budgeting. Through this focus on regular revenues and expenses, a forward-looking understanding of resources and commitments can be leveraged for multi-year planning purposes. This presentation attempts to separate recurring and one-time or temporary expenses to enhance transparency and facilitate multiyear planning. Beginning in FY24, this “structural” terminology and definition is replaced by “recurring” language and definitions, as explained in the FY24 First Quarter Financial Summary report.

### **Non-Structural and Grant Supported Financial Aid Summary**

This summary combines two types of activities: non-structural, following the definition below, and financial aid that is supported through grant revenues, like the Pell Grant. Previous FY23 quarterly financial summaries reported non-structural and grants activity separately. The consolidated view included in this document is intended to simplify the presentation of this information and focus attention on the Structural Only Financial Summary table.

The non-structural component of this summary identifies non-recurring or temporary revenues and expenses of the University. In total, 2.4% of non-grants budgeted revenues in FY23 and 1.8% of non-grants budgeted expenses were identified as non-structural. Examples of non-structural revenues include 33% of Gifts, Grants, & Contracts revenue and 23.5% of Sales income. Examples of non-structural expenses include 25.1% of Equipment & Library Materials and 5.8% of Travel. Of special note, all Capital Repairs spending is classified as non-structural.

Beginning in FY24, the “non-structural” terminology and definition is replaced by “non-recurring” language and approach. Also beginning in FY24, grant-supported financial aid is included in the “recurring” budget. Both of these changes are explained in the FY24 First Quarter Financial Summary report.

## QUARTERLY FINANCIAL SUMMARY FISCAL YEAR 2024 FIRST QUARTER AS OF SEPTEMBER 30, 2023

**Background:** With the September 30 conclusion of the first quarter of FY24, the University is now able to update the Board of Trustees on NIU's financial performance relative to the current year budget and prior year actuals.

The following update provides a disaggregated view of NIU's budget and its financial performance, consistent with the format used when the Board of Trustees adopted the FY24 budget in June. Specifically, this revised format displays the following tables:

- An All Funds Financial Summary similar to the traditional table presented in past quarterly financial summary reports. It is a roll-up of the "recurring" and "non-recurring" budgets and activities. Consistent with the FY24 budget, grant-supported research is intentionally removed from all FY24 reports - a change in practice from FY23 and prior fiscal years.
- A Recurring Financial Summary that focuses on regular, ongoing revenues and expenses of the University. The Recurring Summary includes grant-supported financial aid programs, like Pell grants.
- A Non-Recurring Financial Summary that focuses on short-term or temporary revenues and expenses.

Please note that FY23 information presented in FY24 reports for comparison purposes is adapted to the above definitions. Reference information about each table of this FY24 First Quarter Financial Summary is explained in more detail at the end of this report.

In addition to presenting multiple views of the FY24 budget, this financial summary also provides an update on the FY24 budget itself. As noted in June, ongoing FY24 budget development activities in the first month of the fiscal year drove sizeable adjustments to the preliminary budget previously presented to the Board of Trustees. This revised budget includes \$401.4M in expected revenues and \$433.2M in planned spending resulting in a \$31.8M deficit. Specific revenue and expense categories are consistent with the following descriptions, which precede the presentation of the FY24 financial summary tables that appear in the order outlined above.

### Revenues

1. **Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
2. **Gifts, Grants, and Contracts:** Includes grant revenue to support financial aid programs, like Pell Grants and AIM High awards.
3. **Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.
4. **Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
5. **Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.
6. **Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.
7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from

investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.

8. **State of Illinois:** Represents the annual state appropriation to public universities.

## Expenses

1. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
2. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
3. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.
4. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
5. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
6. **Automotive:** Includes the cost to rent cars, vans, and busses from the University transportation department.
7. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's cash position).
8. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
9. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.
10. **Capital Repairs:** Includes the cost of centralized capital repair activities.
11. **Debt Service:** Includes debt service payment on bonds, certificates of participation, and performance contracts.
12. **Miscellaneous Expense:** Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

**Northern Illinois University**  
**as of Sep 30, 2023**  
**All Fund Financial Summary**  
*(\$ in thousands)*

	<u>Current Year FY24</u>			<u>Prior Year FY23</u>		<u>Full Year FY24</u>		
	<u>YTD Actuals</u>	<u>YTD Budget</u>	<u>Variance</u>	<u>YTD Actuals</u>	<u>Variance</u>	<u>Forecast</u>	<u>Annual Budget</u>	<u>Variance</u>
<b>Revenue</b>								
Tuition & Student Fees	\$ 88,888	\$ 89,816	\$ (928)	\$ 87,932	\$ 956	\$ 163,780	\$ 164,323	\$ (543)
Gifts, Grants, & Contracts - Financial Aid	11,997	\$ 10,935	1,062	11,714	283	28,850	28,850	-
Gifts, Grants, & Contracts - Other	2,172	2,745	(574)	2,325	(153)	7,610	7,243	367
Other Fees and Fines	2,286	2,142	143	1,987	298	8,827	5,544	3,284
Sales	13,401	15,291	(1,890)	12,663	737	36,145	39,958	(3,814)
Rental & Room Income	18,238	19,443	(1,205)	18,141	97	38,826	37,360	1,466
Gate Receipts & Commissions	331	513	(182)	562	(231)	2,144	4,636	(2,492)
Miscellaneous & Investment Income	2,948	2,181	766	3,321	(373)	21,276	14,876	6,400
State of Illinois	41,425	39,773	1,651	33,735	7,690	98,648	98,648	-
<b>Total Revenue</b>	<b>\$ 181,684</b>	<b>\$ 182,841</b>	<b>\$ (1,156)</b>	<b>\$ 172,379</b>	<b>\$ 9,305</b>	<b>\$ 406,106</b>	<b>\$ 401,438</b>	<b>\$ 4,668</b>
<b>Expenses</b>								
Cost of Sales	\$ 1,088	1,350	\$ (262)	\$ 944	\$ 144	\$ 6,284	\$ 6,827	\$ (543)
Personnel Services	53,293	52,862	431	44,679	8,614	233,893	233,368	526
Contractual Services	20,659	19,835	825	16,566	4,093	81,310	78,065	3,245
Commodities	1,524	1,908	(384)	1,260	264	6,999	7,171	(171)
Travel	927	1,145	(218)	737	189	5,051	4,955	96
Automotive	215	247	(32)	155	60	862	846	17
Scholarships - Financial Aid	16,257	14,859	1,398	15,138	1,119	28,850	28,850	-
Scholarships - Other	18,224	19,466	(1,242)	17,715	509	37,795	37,796	(0)
Telecommunications	62	133	(71)	42	19	404	939	(535)
Equipment & Library Materials	3,433	2,932	501	1,241	2,192	7,969	8,736	(768)
Capital Repairs	313	346	(33)	259	54	4,372	3,586	787
Debt Service	7,780	9,656	(1,876)	3,047	4,733	22,074	22,074	-
Miscellaneous Expense	227	3	224	233	(6)	6	7	(1)
<b>Total Expenses</b>	<b>\$ 124,003</b>	<b>\$ 124,740</b>	<b>\$ (738)</b>	<b>\$ 102,018</b>	<b>\$ 21,985</b>	<b>\$ 435,871</b>	<b>\$ 433,218</b>	<b>\$ 2,653</b>
<b>Net Revenue</b>	<b>\$ 57,682</b>	<b>\$ 58,100</b>	<b>\$ (419)</b>	<b>\$ 70,361</b>	<b>\$(12,679)</b>	<b>\$ (29,765)</b>	<b>\$ (31,780)</b>	<b>\$ 2,015</b>

**Northern Illinois University**  
**as of Sep 30, 2023**  
**Recurring Financial Summary**  
*(\$ in thousands)*

	Current Year FY24			Full Year FY24		
	YTD Actuals	YTD Budget	Variance	Forecast	Annual Budget	Variance
<b>Revenue</b>						
Tuition & Student Fees	\$ 88,888	\$ 89,816	\$ (928)	\$ 163,780	\$ 164,323	\$ (543)
Gifts, Grants, & Contracts - Financial Aid	11,997	10,935	1,062	28,850	28,850	-
Gifts, Grants, & Contracts - Other	1,818	2,224	(406)	7,827	5,868	1,958
Other Fees and Fines	2,258	2,108	150	8,732	5,454	3,278
Sales	10,000	12,688	(2,688)	28,976	33,156	(4,179)
Rental & Room Income	18,238	19,443	(1,205)	38,826	37,360	1,466
Gate Receipts & Commissions	274	490	(216)	2,000	4,426	(2,426)
Miscellaneous & Investment Income	2,933	1,860	1,073	21,208	12,680	8,528
State of Illinois	41,425	39,773	1,651	98,648	98,648	-
<b>Total Revenue</b>	<b>\$ 177,830</b>	<b>\$ 179,336</b>	<b>\$ (1,506)</b>	<b>\$ 398,847</b>	<b>\$ 390,764</b>	<b>\$ 8,082</b>
<b>Expenses</b>						
Cost of Sales	\$ 1,073	\$ 1,350	\$ (277)	\$ 6,296	\$ 6,827	\$ (531)
Personnel Services	52,167	52,204	(37)	230,989	230,464	526
Contractual Services	20,089	19,399	691	79,647	76,349	3,298
Commodities	1,463	1,855	(392)	6,799	6,972	(173)
Travel	927	1,090	(163)	4,798	4,717	81
Automotive	215	247	(32)	862	846	17
Scholarships - Financial Aid	16,257	14,859	1,398	28,850	28,850	-
Scholarships - Other	18,224	19,466	(1,242)	37,795	37,796	(0)
Telecommunications	52	153	(101)	404	935	(532)
Equipment & Library Materials	3,433	2,428	1,005	6,724	7,236	(512)
Capital Repairs	313	346	(33)	4,372	3,586	787
Debt Service	7,780	10,182	(2,401)	22,074	22,074	-
Miscellaneous Expense	106	3	102	375	7	368
<b>Total Expenses</b>	<b>\$ 122,099</b>	<b>\$ 123,581</b>	<b>\$ (1,482)</b>	<b>\$ 429,986</b>	<b>\$ 426,657</b>	<b>\$ 3,329</b>
<b>Net Revenue</b>	<b>\$ 55,731</b>	<b>\$ 55,755</b>	<b>\$ (24)</b>	<b>\$ (31,139)</b>	<b>\$ (35,893)</b>	<b>\$ 4,754</b>

**Northern Illinois University**  
**as of Sep 30, 2023**  
**Non-Recurring Financial Summary**  
*(\$ in thousands)*

	Current Year FY24			Full Year FY24		
	YTD Actuals	YTD Budget	Variance	Forecast	Annual Budget	Variance
<b>Revenue</b>						
Tuition & Student Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts, Grants, & Contracts - Financial Aid	-	-	-	-	-	-
Gifts, Grants, & Contracts - Other	353	521	(168)	(217)	1,375	(1,592)
Other Fees and Fines	28	35	(7)	96	90	6
Sales	3,401	2,603	798	7,168	6,803	366
Rental & Room Income	-	-	-	-	-	-
Gate Receipts & Commissions	57	23	34	145	211	(66)
Miscellaneous & Investment Income	15	322	(307)	67	2,195	(2,128)
State of Illinois	-	-	-	-	-	-
<b>Total Revenue</b>	<b>\$ 3,854</b>	<b>\$ 3,504</b>	<b>\$ 350</b>	<b>\$ 7,260</b>	<b>\$ 10,674</b>	<b>\$ (3,414)</b>
<b>Expenses</b>						
Cost of Sales	\$ 15	\$ -	\$ 15	\$ (12)	\$ -	\$ (12)
Personnel Services	1,126	658	468	2,904	2,904	(0)
Contractual Services	570	436	134	1,663	1,716	(52)
Commodities	61	53	8	200	199	1
Travel	-	55	(55)	253	239	15
Automotive	0	-	0	-	-	-
Scholarships - Financial Aid	-	-	-	-	-	-
Scholarships - Other	-	0	(0)	-	0	(0)
Telecommunications	10	1	9	-	3	(3)
Equipment & Library Materials	-	504	(504)	1,245	1,501	(256)
Capital Repairs	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-
Miscellaneous Expense	122	-	122	(368)	-	(368)
<b>Total Expenses</b>	<b>\$ 1,903</b>	<b>\$ 1,706</b>	<b>\$ 197</b>	<b>\$ 5,885</b>	<b>\$ 6,561</b>	<b>\$ (676)</b>
<b>Net Revenue</b>	<b>\$ 1,951</b>	<b>\$ 1,798</b>	<b>\$ 153</b>	<b>\$ 1,374</b>	<b>\$ 4,113</b>	<b>\$ (2,738)</b>



### **All Funds Financial Summary**

For the first quarter, the All Funds Financial Summary shows revenues and expenditures consistent with budget, resulting in net revenue of \$57.7M. FY24 net revenue through the first three months is \$12.7M less than FY23 despite improved revenues of \$9.3M. Expected escalations of spending on personnel, contracts, and debt service in FY24 drove the variance to the prior year. Current trends suggest a full year of activity will produce a slightly better deficit than budget at \$29.8M.

### **Recurring Financial Summary**

Through the first quarter of the fiscal year, recurring performance is projected to exceed budget in both total revenues (\$8.1M improvement) and expenses (\$3.3M overage), netting to a projected reduction from the budgeted deficit. Primary drivers of this outlook include:

- Miscellaneous & Investment Income is projected to exceed budget because of elevated interest rates. NIU's cash holdings result in interest and investment earnings that already meet budget expectations (\$1.5M) and are projected to exceed budget by \$3.5M over the full fiscal year.
- Contractual Services expenses are trending above budget based on recent-year spending patterns. This expense category is difficult to predict, and first-quarter contractual activity often is driven by supply chain factors, queuing of work and availability of vendors, and unpredictable intensity of need early in the fiscal year. As a result, the FY22 First Quarter projection for Contractual Services over-projected actual full-year spending by \$2.9M (4.7%) while the FY23 First Quarter projection under-projected actual full-year spending by \$3M (3.5%). A similar range of projection errors in FY24 suggests a potential swing of plus/minus \$2.8M.

### **Non-Recurring Financial Summary**

The Non-Recurring Financial Summary also reports revenue and expenses above budget. Full-year projections suggest revenues will fall below expectations while expenses improve relative to budget. Early-year Non-Recurring projections of revenues and expenses depend greatly on early-year activities that may be impacted by timing differences between budget plans and financial activity. Future projections and budget reports will provide improved projections with respect to the Non-Recurring budget.

Consistent with prior fiscal years, quarterly financial summaries will continue throughout FY24. The format and information in this report may evolve throughout the year, consistent with an iterative approach to producing and reporting a recurring budget framework.

For reference, definitions and data associated with each table presented above are described in detail below.

### **All Funds Financial Summary**

This table is a "roll-up" of the other tables presented in this report and includes the Recurring budget and Non-Recurring budget. Activity not reported in this table or any of its component tables is sponsored-research revenue and expenses, agency funds that are not formally revenue or expenses of the University, and internal service-type operations. The table includes a summary of FY24 activity through September 30 compared to a year-to-date budget (pro-rated based on recent-year history), a summary of first quarter activity from the prior fiscal year adjusted to a similar All Funds basis, and a comparison to the FY24 budget, including a full-year projection of performance based on the pace of revenues and spending throughout the first quarter of FY24.

### **Recurring Financial Summary**

This table identifies ongoing and normal revenues and expenses of the University. This recurring

view of the budget is based on the expertise of more than one dozen NIU senior business managers who provided detailed knowledge of unit-level revenues and spending. This data was gathered for each budgeted fund of the University and for each revenue and expense category presented in the following tables. In total, 97.6% of non-grants budgeted revenues in FY24 and 98.2% of non-grants budgeted expenses were identified as recurring. Examples of recurring revenues include Tuition & Fees, Rental & Room Income, and the State of Illinois appropriation to support NIU operations. Examples of recurring expenses include 98.8% of Personnel Services spend, Scholarships expense, and Debt Service.

The intent of the Recurring Financial Summary is to create a budget framework capable of anchoring a multi-year planning and budgeting. Through this focus on recurring revenues and expenses, a forward-looking understanding of resources and commitments can be leveraged for multi-year planning purposes. The recurring basis is an evolution of the “structural” basis used in FY23.

### **Non-Recurring Financial Summary**

The Non-Recurring Financial Summary identifies unpredictable or temporary revenues and expenses of the University. This non-recurring view of the budget was compiled using a similar approach and data to the recurring budget information. In total, 2.4% of non-grants budgeted revenues in FY24 and 1.8% of non-grants budgeted expenses are designated as non-recurring. Examples of non-recurring revenues include 33% of Gifts, Grants, & Contracts revenue and 23.5% of Sales income. Examples of non-recurring expenses include 25.1% of Equipment & Library Materials and 5.8% of Travel.

The intent of the Non-Recurring Financial Summary is to identify temporary resources that may be available for strategic investment and to identify short-term expenses that may create strain for recurring or non-recurring resources.

## PERIODIC REPORT ON INVESTMENTS FOR PERIOD ENDING SEPTEMBER 30, 2023

In accordance with the University's Investment and Cash Management policy, this report on cash and investments is submitted at the end of each calendar quarter to the Board of Trustees. This report is required by *Board of Trustees Regulations (Section V, Subsection D)*. The following schedules are included:

- Cash and Investment Holdings Summary as of September 30, 2023
- Investment Earnings for the quarter ended September 30, 2023

The Investment Committee is responsible for monitoring compliance with the University's Investment and Cash Management Policy. The investment goals, as stated in the policy, are to insure the preservation of principal and maintain compliance with applicable state laws, rules, regulations, debt covenants and *Board of Trustees Regulations* while meeting cash flow needs and earning a yield acceptable to conservative investment managers.

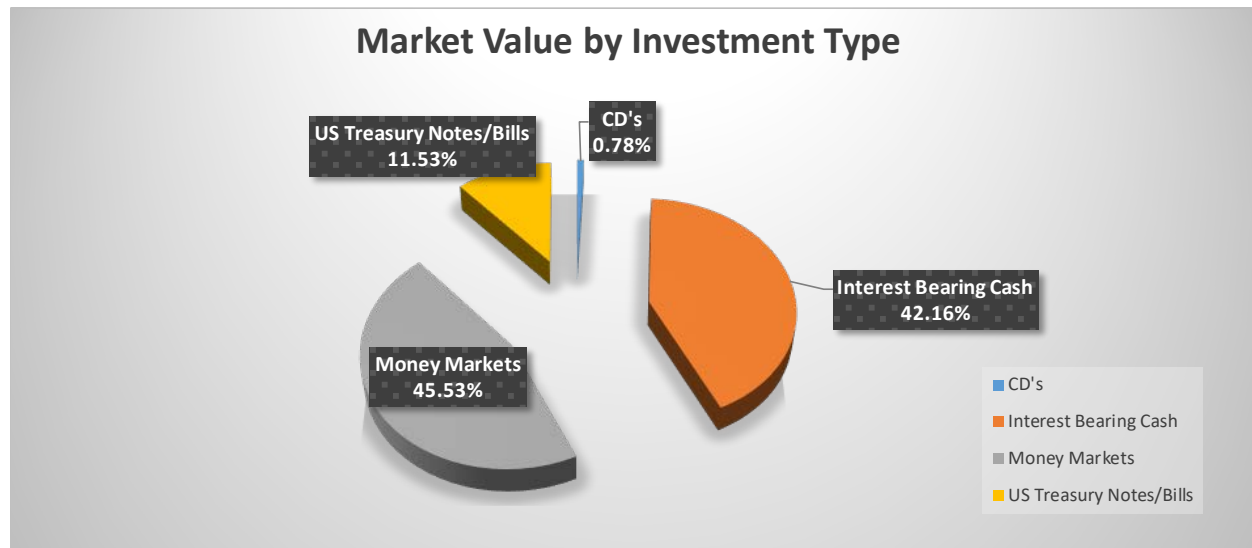
The *Cash and Investment Holdings Summary* at September 30, 2023 shows NIU's total holdings at just over \$129M. Of the total holdings balance, approximately \$68M is available for daily operations providing approximately 60 days cash on hand. This operating cash balance is sufficient to meet the University's current obligations for approximately two months based on the historical burn rate of \$1.2M per day. The remaining balance is restricted per collateralization requirements and contractual obligations. The total holdings balance is down \$13M from the balance at September 30, 2022. The decrease is primarily a result of increases in cash disbursements, offset by increases from the timing of financial aid cash receipts.

The *Investment Earnings* report shows income of \$1.5M and an overall annualized rate of return of 5.34% for the first quarter. This represents an increase in interest earned of approximately \$856K when compared to the same quarter of FY23. The increase in interest earned is primarily due to increasing market investment yields. Operating funds are primarily invested in interest-bearing savings accounts, money markets, and fixed-income securities to balance liquidity needs with investment earnings.

Recent indicators of economic activity continue to suggest expansion at a solid pace. Job gains have slowed in recent months but continue to be strong, and the unemployment rate remains low. Inflation, however, still remains elevated above the 2% goal set by the Federal Open Market Committee. The Federal Open Market Committee (Committee) decided to maintain the target range for the fed funds rate at 5.25% to 5.50% at its most recent meeting in September 2023. The Committee will continue to assess additional information and the implications of the monetary policy. The Committee meets again October 31 and November 1, 2023 and may determine additional rate increases are warranted. Management is continuously monitoring market changes that will affect the university's cash management and investment goals. Management is committed to the fiscal sustainability of NIU and is reviewing all available options for strengthening the university's cash position.

**NORTHERN ILLINOIS UNIVERSITY**  
**CASH and INVESTMENT HOLDINGS SUMMARY**  
September 30, 2023  
For Fiscal Year 2024

Investment Type	Purch Price/ Ending Bal *	Book Value **	Market Value ***
<b>CD's:</b>			
Local Funds	\$ 1,012,563	\$ 1,012,563	\$ 1,012,563
<b>Federal Agency Notes:</b>			
Local Funds	\$ -	\$ -	\$ -
<b>Interest Bearing Cash Accounts:</b>			
Local Funds	\$ 54,401,472	\$ 54,401,472	\$ 54,401,472
<b>Money Markets:</b>			
Contributory Trust	\$ 9,839,000	\$ 9,839,000	\$ 9,839,000
Local Funds	48,898,683	48,898,683	48,898,683
	<u>\$ 58,737,683</u>	<u>\$ 58,737,683</u>	<u>\$ 58,737,683</u>
<b>US Treasury Notes/Bills:</b>			
Local Funds	\$ 14,782,235	\$ 14,884,506	\$ 14,875,023
<b>TOTAL INVESTMENT HOLDINGS</b>	<b>\$ 128,933,953</b>	<b>\$ 129,036,224</b>	<b>\$ 129,026,741</b>
Non-interest Bearing Cash	\$ 509,482	\$ 509,482	\$ 509,482
<b>TOTAL CASH &amp; INVESTMENT HOLDINGS FOR 9/30/2023</b>	<b>\$ 129,443,435</b>	<b>\$ 129,545,706</b>	<b>\$ 129,536,223</b>
<b>TOTAL REPORTED FOR 9/30/2022</b>	<b>\$ 142,499,179</b>	<b>\$ 142,708,902</b>	<b>\$ 142,556,168</b>



\* Amounts per Bank and Investment Statements  
\*\* Purchase price, net of accumulated amortization of premiums and discounts  
\*\*\* Estimated price for which an investment would sell in the marketplace

Assets reported in the CASH and INVESTMENT HOLDINGS SUMMARY comply with the Illinois Public Funds Investment Act (30 ILCS 235) and the NIU Investment and Cash Management Policy

**NORTHERN ILLINOIS UNIVERSITY**  
**INVESTMENT EARNINGS**  
**For Fiscal Year 2024**  
**July 1, 2023 - September 30, 2023**

	September 30 Ending Balance	Qtr 1 Average Daily Balance	Percent of Portfolio	Qtr 1 Income Earned	Annualized Rates of Return			
					FY2024	FY2023		
					1st Qtr	4th Qtr	3rd Qtr	2nd Qtr
<b>Short-Term Investment Accounts</b>								
Illinois Funds	\$ 48,898,683	\$ 42,243,214	37.50%	\$ 576,968	5.419%	5.040%	4.485%	3.491%
Investment Accounts - Financial Institutions	\$ 54,401,472	\$ 39,286,106	34.87%	\$ 537,979	5.433%	5.023%	4.715%	3.406%
Contributory Trust	\$ 9,839,000	\$ 9,838,902	8.73%	\$ 128,047	5.163%	4.906%	4.393%	3.526%
Escrow Account	\$ -	\$ 911,719	0.81%	\$ 11,310	4.922%	4.674%	4.177%	3.217%
CD's	\$ 1,012,563	\$ 1,005,389	0.89%	\$ 11,975	4.725%	4.172%	3.857%	2.763%
<b>ST Investment Accounts Total</b>	<b>\$ 114,151,718</b>	<b>\$ 93,285,330</b>	<b>82.80%</b>	<b>\$ 1,266,279</b>	<b>5.385%</b>	<b>5.005%</b>	<b>4.573%</b>	<b>3.444%</b>
<b>Fixed Income Securities</b>								
Local Funds	\$ 14,782,235	\$ 19,371,560	17.20%	\$ 250,526	5.131%	4.819%	4.141%	2.770%
<b>Fixed Income Securities Total</b>	<b>\$ 14,782,235</b>	<b>\$ 19,371,560</b>	<b>17.20%</b>	<b>\$ 250,526</b>	<b>5.131%</b>	<b>4.819%</b>	<b>4.141%</b>	<b>2.770%</b>
<b>Non-interest Bearing Cash</b>	<b>\$ 509,482</b>	<b>-</b>	<b>-</b>	<b>-</b>				
<b>COMBINED TOTAL</b>	<b>\$ 129,443,435</b>	<b>\$ 112,656,890</b>	<b>100.00%</b>	<b>\$ 1,516,805</b>	<b>5.34%</b>	<b>4.96%</b>	<b>4.43%</b>	<b>3.25%</b>

Assets reported in INVESTMENT EARNINGS comply with the Illinois Public Funds Investment Act (30 ILCS 235)  
and the NIU Investment and Cash Management Policy

## FISCAL YEAR 2025 APPROPRIATIONS REQUEST

**Summary:** Each year, staff seeks the Board of Trustee's approval of the overall level of state funding request for the upcoming fiscal year (FY25). The amount is recognized as the University's formal budget request throughout the State of Illinois budget development process, including submissions to the Illinois Board of Higher Education (IBHE) and the Governor's Office of Management and Budget (GOMB). Staff also use this request when developing the University's detailed internal budget for FY25 and other budgetary reports for the Illinois General Assembly.

For FY25, Northern Illinois University (NIU) requests base operating funding of \$113.4M. An additional \$3M is requested to support new initiatives specified below. The total operating and initiative funding requested is \$116.4M.

**Background Information:** As the result of the historic disinvestment that preceded the recent increases in state appropriations to higher education, funding of public colleges and universities including NIU has not kept pace with inflation and the real costs associated with delivering quality academic programs, providing student support services, and recruiting and retaining talented employees committed to student success. Simultaneously, the need for new and/or expanded support services and financial aid for NIU's diverse student population has increased year over year, with these needs exacerbated by the global pandemic. As a result, the small increases in State General Funds appropriations in FY23 and FY24, which were below the actual funding requests, are inadequate. Continued financial investment from the state is necessary to uphold NIU's commitment to access and affordability, respond to persistent cost pressures, and to pursue academic priorities directly aligned with the IBHE's strategic plan, *A Thriving Illinois: Higher Education Paths to Equity, Sustainability, and Growth*.

It's critical that every effort be made to keep costs as low as possible for students, so that students who are from groups historically underserved and overlooked have access to higher education at Illinois' public universities. Per IBHE data, approximately one of every eleven undergraduate students at Illinois public universities is an NIU student. One of every nine low-income undergraduate students enrolled at an Illinois public university is at NIU. When making its FY24 funding request for public universities, the IBHE recommended that NIU receive a \$10.6M increase over the University's FY23 appropriation - a proposal based on the relative proportion of low-socioeconomic background (Pell recipient) undergraduate students at Illinois public universities. NIU ultimately received a \$6.4M increase from the state, only partially offsetting inflationary factors that otherwise went unaddressed in FY23 and continued into FY24.

The University recognizes that the State of Illinois has its own financial limitations and must prioritize among many competing budget priorities. NIU's process for determining the FY25 requests has been thoughtful, inclusive and data-informed to fully reflect the resources needed to support NIU's mission, students, faculty, staff, and commitments to the region. Ultimately, an FY25 appropriation less than this \$113.4M base request will materially impact costs and the University's ability to fully deliver what students need and expect.

The requests for an increase in the base appropriation for FY25 and additional \$3M in new appropriations is a vital step of the university's development of a multi-year planning and budget process that will include strategies to reduce expenses and enhance revenue opportunities. Specific examples of strategies in play include pursuing the re-enrollment of stopped-out students to grow

tuition revenues, gain-sharing approaches to incentivize revenue generation and promote all-funds budgeting, more strategic use of auxiliary resources, and optimizing course sizes.

NIU will also continue to pursue reforms that impact University programs, operations and resources that are considered non-budgetary in nature. Staff will work with the IBHE, the Governor's Office, legislators, and other institutions to seek relief from unfunded mandates and burdensome regulations. In addition to sustainable and adequate State funding, greater operating flexibility is needed for Illinois public universities to adapt and thrive in the current economic environment.

### **FY25 Budget Request**

TOTAL BUDGET REQUEST: \$116.4M

A base State funding level of \$113.4M will offset increases in expected and known costs to NIU's education and general operations.

In addition to this maintenance funding request, an additional \$3M in new appropriations is requested for academic priority requests aligned the IBHE's strategic plan, *A Thriving Illinois: Higher Education Paths to Equity, Sustainability, and Growth*. The proposed initiatives will not be possible without this additional State support:

- **Enhanced Student Success Services, Adult Learner Initiatives, and Program Expansion (\$1M)**: NIU views student success as a shared responsibility and acknowledges that students with different lived experiences have different needs and expectations of the university. Therefore, the university must offer services and programs to support the success of all students. The following initiatives require additional resources to provide enhanced support to current and future students.
  - **Enhanced Student Success Services**: Enhancing Student Success Services includes expanding academic services; developing a summer bridge program to serve all eligible first-year students; outcomes-focused first-year advising for entering students; a robust and expanded tutoring, supplemental instruction, and support coaching program; and career readiness for undergraduate students that addresses equity issues in providing for internships, career counseling, labor market transition, as well as academic support for diverse students who seek further education as graduate or law students.
  - **Adult Learner and Transfer Initiative**: Students transitioning to public universities from community colleges face challenges related to academic credit transfer, clear pathways to degree completion, and convenient access to courses and services. Working adults face similar barriers when enrolling and navigating our higher education system. Serving these populations of students requires streamlined admissions strategies and dedicated, specialized support. The support structure includes specialized advising resources, especially in the Bachelor of General Studies (BGS) degree completion program, expanded tutoring and success coaching, increased student programming to promote engagement and belonging, generalized emotional and physical wellness programming, and implementation of technology solutions for credit estimates, best-fit majors, and other customized support.
  - **Cybersecurity Program**: To meet workforce needs, a streamlined pathway to degree completion, and service to the region, NIU would respond by implementing a Cybersecurity Program. NIU currently offers a Cybersecurity Certificate and

understands this is a growing field in high demand; therefore, NIU is positioned to support the region by introducing Cybersecurity degrees at the Bachelor's and Master's levels.

- **Hispanic Serving Institution (HSI) Preparation (\$600K):** As NIU moves closer to receiving the official designation of being a Hispanic Serving Institution, which could be as early as Spring 2026, it must continue enhancing the infrastructure to support student success at NIU. NIU already has a history of supporting the specific needs of our students who are first in their families to attend college or from low-socioeconomic backgrounds, and becoming an HSI that focuses on *servingsness*, requires additional investment and expansion of services. This investment will allow NIU to enhance faculty and staff development focused on *servingsness* and success for Latinx students; support the expansion of summer bridge programs, bilingual family programs, and community partnerships and pathway programs; and increase bilingual services and employees including admissions and financial advisors.
- **Cluster Hiring to Support Transdisciplinary Teaching and Research (\$800K):** Funding will support the development of transdisciplinary teaching and research initiatives focused on STEM Education in two NIU Centers: the Transdisciplinary Research Incubator for STEM Education (T-RISE) and the Northern Illinois Center for Community Sustainability (NICCS). These initiatives will include piloting innovative curricula, pursuing extramural funding to support impactful research, scholarship, and artistry, and collaborating with the communities we serve to achieve mutual benefit. Further, these efforts will generate new and impactful opportunities for student engagement and learning through cross-disciplinary coursework, credentials, capstone experiences, and extended research opportunities. Supplementing the existing expertise of our NIU faculty through cluster hiring will also accelerate outcomes and positive impacts on our region and state. For example, promoting innovation in STEM education will inform work across the P-20 continuum designed to close equity gaps. Research and engagement that makes meteorological data and information more accessible to farmers and insurers will help communities respond more effectively to disasters associated with severe weather events and climate disruption.
- **Health Professions Education (\$600K):** Funding will provide the resources needed to expand health professions programs to meet key workforce needs in Illinois in the healthcare sector. Funding will be used for academic support services specific to these majors, adopting cutting-edge pedagogies and technology (such as virtual reality anatomy labs and clinical training) and hiring faculty to support student success. Funding will also support the expansion of interprofessional healthcare education for students.

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this budget request of \$116.4M and asks that the President forward it by means of the President's Report to the Board of Trustees for approval at its special meeting on November 9, 2023 in order to ensure the timely preparation and submission of the University's FY25 budget request to the IBHE and subsequent reports to the General Assembly.



## **FISCAL YEAR 2025 IBHE CAPITAL BUDGET REQUEST**

**Summary:** The Illinois Board of Higher Education (IBHE) Capital Budget Request is submitted prior to the budget year in order to meet IBHE and state capital project review and approval submission schedules. The Capital Budget Request consists of two principal categories of projects requesting state funding support and a third category that provides notification of anticipated non-instructional projects that might eventually require specific IBHE approval. Including the partially released Health Technology Center project, there are eleven capital projects totaling approximately \$600M, and 15 capital renewal projects totaling approximately \$160M; the Taft Wastewater Treatment Plant replacement project is included in the third category for \$3.5M as part of the submission.

**Background:** Requests for state funding include regular capital projects which represent major investments for new construction and major renovation efforts; and capital renewal projects which represents major repairs and system improvement projects with estimated budgets in excess of \$100K.

Priorities for capital projects are determined through reviews and discussions within a capital planning group which consists of the Provost; Vice President of Research, Innovation, and Partnerships; Chief Financial Officer; Chief Strategy Officer; Vice President of University Advancement; and applicable representatives from Facilities Management & Campus Services. The group takes into consideration mission and program requirements, building conditions, impact on student enrollment/retention, and other factors when assessing project priorities. Capital renewal priorities are predominantly driven by building/infrastructure conditions and the potential for impacting university operations.

The State's FY24 budget included re-appropriation of capital projects which includes the University's priority capital project, Health Technology Center (HTC), and \$52.9M of capital renewal funding. Initial capital funds (\$7.7M) have been released to the Capital Development Board (CDB) to proceed with the planning for the HTC. The Northern Illinois Center for Community Sustainability (NICCS) also has funds released (\$15M) to CDB, however, this project is funded separately from these state capital programs.

Additionally, approximately \$18M has been released from the \$52.9M capital renewal authorization (and a previous FY19-based authorization) to proceed with five urgent repair projects including steam tunnel repairs, boiler replacements, and Swen Parson, Gabel, and Music building roof repairs.

The state's appropriations process is better aligned to have this discussed and approved at the November meeting. We submit these appropriation's request to the IBHE in late October, prior to the November Board of Trustee Committee meeting.

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its special meeting on November 9, 2023.

Regular Capital Project Priorities for FY25 IBHE Budget Submission		
Priority	Project Title	Total Estimated Cost (\$K)
<i>1*</i>	<i>Health Technology Center*</i>	\$77,000*
2	McMurry & Wirtz Hall Renovation	55,335
3	Davis Hall Renovation	55,421
4	Gabel & Graham Complex Renovation	125,543
5	Still Hall & Still Gym Renovation	59,356
6	Reavis Hall Renovation	28,267
7	Watson Hall Renovation	29,254
8	Psychology/Computer Science Renovation	61,965
9	Montgomery Hall Renovation	76,411
10	DuSable Hall Renovation	68,429
11	Williston Hall Renovation	43,012
	<b>Total Regular Capital Projects</b>	<b>\$603,658</b>

\* Note: \$7.7M already released for planning/design.

Capital Renewal Project Priorities for FY25 IBHE Budget Submission		
Priority	Project Title	Total Estimated Cost (\$K)
R1	Anderson Structural & Still Hall/Gym Masonry Repairs	\$7,572
R2	East Campus Chilled Water System Reconfig. Phase I	9,422
R3	Altgeld Hall Building Automation System	3,678
R4	Campus Wide Boiler Replacement Phase II	8,088
R5	DeKalb Campus HVAC & Hood Exhaust Repairs	7,448
R6	East Campus Electrical Infrastructure Upgrades	7,674
R7	DeKalb Campus Fire Protection Upgrades Phase I	8,676
R8	Academic Buildings General Upgrades Phase I	5,098
R9	DeKalb Campus Heating System Piping Conversions	17,258
R10	DeKalb Campus Elevator Upgrades	3,824
R11	DeKalb Campus ADA Assessment & Repairs Phase I	5,013
R12	Academic Buildings General Upgrades Phase II	10,126
R13	Campus Wide Electrical Infrastructure Upgrades	6,992
R14	Campus Wide Boiler Replacement Phase III	40,259
R15	East Campus Chilled Water System Reconfig. Phase I	23,525
	<b>Total Capital Renewal Projects</b>	<b>\$164,653</b>

## **Capital Projects**

### **1. Health Technology Center**

In the last decade the demand for college graduates with expertise in health-based technology fields has increased exponentially. The new facility will offer students a unique learning environment combining the best of a time-honored college education driven by a focus on advancing health technology. The center will have extensive infrastructure to accommodate a broad range of equipment necessary to develop nationally competitive programs with potential for industry and professional certifications, custom learning labs and single classes. Experience using “cutting edge” technology in a well-planned, well-equipped facility will prepare students to compete and succeed in the intense technologically complex world of the future. NIU is currently preparing to begin programming and schematic design with a consultant team selected through the CDB. With a partial budget release of \$7.7M to facilitate planning/design, it is hoped that the remaining budget will be released when we are ready to proceed with construction.

### **2. McMurry & Wirtz Hall Renovation**

Wirtz Hall was originally constructed in 1964 and connected to NIU’s second oldest building, McMurry Hall built in 1911. Together these buildings house a large portion of the College of Health & Human Sciences. With planning for the new Health Technology Center moving ahead, it is the intent that some programs currently located here will be moved to the new facility once completed. This will allow for opportunities to make use of this existing central campus space to consolidate and improve efficiencies with other programs and functions from elsewhere, ultimately working towards right sizing the overall campus footprint. With no major renovations since original construction, both buildings need building envelope, infrastructure and finishes upgrades to bring in line with modern day campus facilities needs in addition to any space reconfigurations necessary for revised use.

### **3. Davis Hall Renovation**

This Gothic-style building was built in 1942 and constructed with steel and reinforced concrete which is sheathed with Joliet Limestone. Davis Hall was constructed as the institution’s first science building and now houses the Earth, Atmosphere and Environment department. Other than the installation of a telescope in 1965 and air conditioning in 1975, no other major renovations have occurred to Davis Hall over the last 76+ years. The building still utilizes the original fused electrical panels and available power is completely inadequate for modern lab requirements. Davis’ mechanical systems are inefficient, inadequate, and antiquated. The flat roofs are failing and two sides of the building need major repairs to the stonework including overall tuckpointing. To remain functional, the building requires a number of comprehensive infrastructure updates. Existing fume hoods are not able to satisfactorily accommodate the needs of the instruction and research assigned to Davis. There is no elevator service to the 6th and 7th floors and restroom locations are not compliant with current code requirements.

### **4. Gabel & Graham Complex Renovation**

Gabel Hall was constructed in 1958, and Graham Hall was constructed in 1964. The buildings are interconnected, so they share many of the same deficiencies. Housed in these buildings are the Colleges of Education, Health and Human Sciences, and Visual and Performing Arts. Significant classroom and faculty spaces dominate the use. While the spaces generally work for classroom and faculty use, improvements need to be made to

achieve a more optimal learning environment with a more modern, student-focused, technology-oriented teaching experience. The roof system is in critical need of replacement which is addressed under a separate capital renewal request. The buildings incorporate large amounts of asbestos containing materials and many of the mechanical and electrical systems are inefficient, antiquated, and inadequate for current teaching methods.

**5. Still Hall & Still Gym Renovation**

The Still Hall & Still Gym buildings were built in 1928 and were converted into office and classroom space in 1964. They currently support the College of Engineering and Engineering Technology. No other major renovations have occurred in the buildings over the last 57+ years. Available power is inadequate to meet modern technology demands. Mechanical, electrical, and plumbing systems are inefficient and antiquated. The need to provide major repairs to the existing masonry structure and tuckpointing have caused significant concerns for water intrusion and structural deterioration as the exterior walls are deflecting outward. This project seeks to update the building structures and their mechanical, electrical, and plumbing systems to better meet the needs of modern-day students. As an alternative, an assessment is planned to evaluate the cost effectiveness of demolishing these buildings and building a structure adjacent to the College of Engineering and Engineering Technology.

**6. Reavis Hall Renovation**

Constructed in 1957, this building houses classroom and faculty spaces for the College of Liberal Arts and Sciences. While the spaces generally work for classroom and faculty use, improvements need to be made to achieve a more optimal learning environment, with a more modern, student-focused, technology-oriented teaching experience. The building incorporates significant quantities of asbestos containing materials, the roof systems need replacement and many of the mechanical and electrical systems are inefficient, antiquated, and inadequate for current teaching methods.

**7. Watson Hall Renovation**

Constructed in 1962, this building houses classroom and faculty spaces for the College of Liberal Arts and Sciences. While the spaces generally work for a classroom and faculty use, additional improvements need to be made to achieve a more optimal learning environment with a more modern, student-focused, technology-oriented teaching experience. The building incorporates significant quantities of asbestos containing materials, roofing membranes need replacement and many of the mechanical and electrical systems are inefficient, antiquated, and inadequate for current teaching methods.

**8. Psychology/Computer Science Building Renovation**

Constructed in 1973, this building houses classroom and faculty spaces for the College of Liberal Arts and Sciences. While the spaces generally work for classroom and faculty use, additional improvements need to be made to achieve a more optimal learning environment, with a more modern, student-focused, technology-oriented teaching experience. The building incorporates significant quantities of asbestos containing materials, roofing membranes need replacement and many of the mechanical and electrical systems are inefficient, antiquated, and inadequate for current teaching methods.

**9. Montgomery Hall Renovation**

Constructed in 1967, this building houses classroom and faculty spaces for the College of Liberal Arts and Sciences. While the spaces generally work for classroom and faculty use, additional improvements need to be made to achieve a more optimal learning environment,

with a more modern, student-focused, technology-oriented teaching experience. The building incorporates significant quantities of asbestos containing materials, roofing membranes need replacement and many of the mechanical and electrical systems are inefficient, antiquated, and inadequate for current teaching methods.

**10. DuSable Hall Renovation**

Constructed in 1968, this building contains classroom and office space for several humanities departments and is the first facility on campus named for an African American. A majority of the spaces in DuSable are used for freshman general education classes so the building's appearance and function make a big impression upon the incoming class to NIU. Unfortunately, while the spaces generally work for a classroom and faculty use, additional improvements need to be made to achieve a more optimal learning environment, with a more modern, student-focused, technology-oriented teaching experience. The building incorporates significant quantities of asbestos containing materials, roofing membranes need replacement and many of the mechanical and electrical systems are inefficient, antiquated, and inadequate for current teaching methods.

**11. Williston Hall Renovation**

Williston Hall opened in 1915 and was originally built as a women's dormitory. Converted to administrative office space in 1969, the building has had no other major renovations over the last 52+ years. Available power is inadequate to meet modern technology demands. Mechanical systems are inefficient and antiquated. Repairs are required to the existing masonry structure to address water intrusion and general deterioration. To provide a healthy and attractive work environment for the faculty, staff and students that occupy the building for various student support services, the University seeks to perform major renovations on Williston Hall to improve space functionality of the building and the overall building structure and systems.

**Capital Renewal Projects**

**R1. Anderson Hall Structural & Still Hall/Still Gym Masonry Repairs**

Anderson Hall, Still Hall, and Still Gym all have structural deterioration which has contributed to water infiltration and overall concern for the stability of the buildings structural systems. Anderson Hall was originally constructed in 1964 and has deterioration to areas involving the swimming pool and associated natatorium space and west side exterior concrete deck. Both areas are in a state of major disrepair allowing water infiltration, loss of treated pool water, and structural integrity concerns. Additionally, the building HVAC system for the natatorium space is also in need of a major overhaul to adequately maintain proper climate and humidity control. Still Hall and Still Gym are brick and stone historic buildings dating back to the 1920's, which are showing signs of significant sagging and bowing in the exterior walls. A temporary measure was implemented in 2014 to slow the progression of the deflection, yet water infiltration continues to deteriorate the integrity of the structure and causes interior water damage. The exterior envelope of both of these buildings needs a detailed assessment to determine necessary repairs.

**R2. East Campus Chilled Water System Reconfiguration Phase I**

This project will replace the chillers in the Engineering Building with sufficient chilled water generation capacity to accommodate the connection of Anderson Hall, Engineering Building, and Barsema Hall. These buildings have stand-alone cooling systems which are nearing end of life and becoming costly to maintain. Based on preliminary evaluations,

consolidating these buildings would be more cost and energy efficient than replacing the stand-alone equipment at each building.

**R3. Altgeld Hall Building Automation System**

This project will replace the once state-of-the-art building automation system in Altgeld Hall. This system is now considered obsolete and is deteriorating rapidly. Replacement parts have become difficult, and in some cases impossible, to source and procure. Service costs continue to increase as it becomes harder and harder to locate technicians who are trained and certified to work on the older control system. The outdated system also results in inefficient building operation as it lacks the ability to meet current energy standards. Continued operation in its current state will only accelerate the time to critical component failure and thus overall system failure which will result in a complete lack of control of building systems.

**R4. DeKalb Campus Boiler Replacement Phase II**

Phase I of this project was authorized in FY19 legislation and has begun on-site. It will begin the process of replacing the outdated heat generation capacity for the University. This second phase will add another boiler to the new centralized plant location and allow the University to become less reliant on the existing 60+ year old boilers which are well past life expectancy. As the existing nine boiler steam system ages, many of its components have been decommissioned due to severe deterioration of the interior boiler tubes and apparatuses. Two boilers have already been taken out of service due to operational safety concerns. This phase is required to reduce reliance on the existing over-aged boilers. The risk of campus-wide impact will not be adequately mitigated until all phases are complete.

**R5. DeKalb Campus HVAC & Hood Exhaust Repairs**

A primary focus of this project will be to address mechanical system repairs particularly related to safe ventilation support for laboratory spaces in various buildings on campus which predominantly includes Davis, Faraday, LaTourette, and Montgomery Halls. In many cases, buildings are 60-80 years of age where component repairs may no longer be feasible, and replacement of the system is required. A specific example to be addressed is needed improvements to outdated components of the HVAC and fume hood system in the LaTourette/Faraday Complex. The interrelated HVAC fume hood systems provide for safe ventilation for both special laboratory spaces and regular classrooms/offices in the buildings. Additionally, the building HVAC system is failing to provide adequate humidity control causing deterioration issues such as failing fireproofing, duct insulation mold issues, mold growth in stairwells and closets, inadequate condensate drains and resulting excessive corrosion to mechanical units.

**R6. East Campus Electrical Infrastructure Upgrades**

This project will replace and upgrade critical electrical improvements for the east portion of the campus involving Swen Parson Hall, Davis Hall and the Health Services Building. Portions of the existing electrical infrastructure constructed in the 1940's have far exceeded life expectancy and are beyond repair. Failure of the electrical system would create significant impacts for critical campus support services such as the data center located in Swen Parson which serves as the data backbone for the entire campus. Significant failures to the infrastructure supporting this system would expose the campus to significant risk for loss of IT data and emergency communication capability.

**R7. DeKalb Campus Fire Protection Upgrades Phase I**

This project will assess, prioritize, and address fire protection improvements to various campus buildings. While fire protection systems are built to code requirements at the time of construction, systems can become outdated and antiquated with limited feasibility for repair. Systems may require complete replacement to ensure continued service and updates to adhere to evolving code requirements.

**R8. Academic Buildings General Upgrades Phase I**

With a few exceptions, a large majority of the academic buildings on campus have seen minimal refresh, remodeling, or renovation since their original construction. As a point of reference, of the 22 main academic buildings on campus, 17 were constructed in the 1960's and 70's or earlier. As a result, there is a significant imbalance between the newest spaces on campus and older buildings. The goal of this phased project is to update teaching and shared spaces across campus academic buildings in order to create more inviting and flexible teaching and collaboration spaces for an enhanced student learning environment. The intended modernization improvements include furniture, finishes, lighting, and power and technology upgrades to meet the needs and expectations of today's students. This first phase is intended to focus on the buildings with the most need.

**R9. DeKalb Campus Heating System Piping Conversions**

The primary focus for this project will be to upgrade the heating and cooling systems in five central campus academic buildings, specifically Gabel Hall, Graham Hall, Still Hall, Still Gym, and Anderson Hall. Still Hall and Still Gym were originally constructed in 1928, Gabel Hall in 1958, and Graham Hall and Anderson Hall in 1964. The existing two-pipe heating systems are largely original to the buildings with cooling added at a later date. The systems have extremely limited capabilities for adjustment and incidents of rotted piping causing system failures are becoming increasingly frequent. The intent is to convert the systems to a four-pipe system, replacing the aged and degraded piping and update other system equipment as needed to provide more energy efficient and reliable heating and cooling systems for these buildings which are central to several major academic programs for the University.

**R10. DeKalb Campus Elevator Upgrades**

The focus for this project will be upgrades to multiple elevators located in central campus buildings, potentially Swen Parson (1952-63), Montgomery Hall (1967), Williston Hall (1951) and Founders Memorial Library (1975), Visual Arts, Psychology/Computer Science, and Davis, DuSable, Graham and Gabel Halls. The controls, motors and associated equipment for these existing elevators are approaching the end of their useful life and all are largely original to when the buildings were constructed except for Williston Hall, where the elevator was added in 1994. These elevator upgrades are necessary to ensure reliable service for students, faculty, and staff in these academic-focused buildings. Additionally, several of the elevators are categorized as freight elevators and therefore necessary for the day-to-day operations of the buildings.

**R11. DeKalb Campus ADA Assessment and Repairs Phase I**

The majority of campus buildings were built prior to the 1990 Americans with Disabilities Act (ADA). In 1994, a campus accessibility study was completed which highlighted deficiencies that existed at that time and guided the University's physical accessibility improvements over the past few decades. While the University has addressed many accessibility concerns through focused efforts or indirectly with some building renovations, ADA code requirements have continued to evolve and opportunities for

further enhancements remain to support both students and employees. This project will assess, prioritize, and begin to address accessibility deficiencies and enhancements across campus.

**R12. Academic Buildings General Upgrades Phase II**

With a few exceptions, a large majority of the academic buildings on campus have seen minimal refresh, remodeling or renovation since their original construction. As a point of reference, of the 22 main academic buildings on campus, 17 were constructed in the 1960's and 70's or earlier, therefore there is a significant imbalance between the newest spaces on campus and older buildings. The goal of this overall project is to update teaching and shared spaces across campus academic buildings to create more inviting and flexible teaching and collaboration spaces for an enhanced student learning environment. The intended modernization improvements include furniture, finishes, lighting, and power and technology upgrades to meet the needs and expectations of today's students. This second phase is intended to focus on prioritized buildings following behind Phase I.

**R13. DeKalb Campus Electrical Infrastructure Upgrades**

The existing electrical infrastructure on the main campus was constructed in the 1960s and components of the system are beyond life expectancy and not repairable. Improvements have systematically been addressed over the past years as funding could be made available, but a final phase is required to address remaining 50+ year old transformers, switch gears, substations, and distribution cables. Major failures of these components may render portions of the campus without power for extended periods of time and pose significant risk to personnel near this antiquated equipment. Numerous power failures have occurred over the recent past and are demonstrating the increasing deteriorated condition of the system.

**R14. DeKalb Campus Boiler Replacement Phase III**

Phase I was authorized during the FY19 legislation and Phase II is a pending higher priority project in this submission. This phase of the project will finalize the process of replacing the outdated heat generation capacity for the University by further expanding the centralized plant and adding an energy efficient cogeneration unit. The project will also decommission and remove all existing boilers and associated equipment in both the West Heating Plant and the East Heating Plant. The completion of this phase of the project will reduce the University's reliance on aging equipment in the district heating system. The risk of campus-wide impact from heating generation failure will be greatly diminished with the completion of this project.

**R15. East Campus Chilled Water System Reconfiguration Phase II**

Phase I is a pending higher priority project in this submission. This project will expand the chilled water generation capacity of the existing Chilled Water Plant to accommodate the connection of McMurry Hall, Still Hall, Still Gym, and Holmes Student Center. These buildings have stand-alone cooling systems which are nearing end of life and becoming costly to maintain. Based on preliminary evaluations, consolidating these buildings with the existing chilled water distribution loop would be more cost and energy efficient than replacing the stand-alone equipment at each building. The plant currently houses four chillers and four associated cooling towers along with all other associated equipment with space for expansion. The project scope will also include replacement of the undersized chilled water lines serving Montgomery Hall and installation of chilled water booster pumps in various buildings to rectify issues stemming from inadequate system flow.



## HUSKIE STADIUM BRIGHAM FIELD ARTIFICIAL TURF REPLACEMENT

**Summary:** Replacement of the existing artificial turf at the NIU Huskie Stadium Brigham Field is considered a necessary improvement for the field to remain appropriate and competitive for collegiate level sports. The current stadium playing surface is past useful-life, and significant lead time is required for the specialized materials with the intent of avoiding impact to the fall 2024 season. This project has an estimated cost of \$1.1M.

**Background:** The current sideline perimeter and North Endzone turf surface is part of the 2007 construction of the Yordon Center venue. The main playing field surface was replaced in summer 2015 and had a warranty of eight years. While properly maintained over the years, the field presents increased risk to player safety particularly for the NCAA Division I football program.

Additionally, the collective improvement of playing surfaces between the stadium, soccer and baseball facilities are resources used to enhance community relations through annual summer youth camps and venue rentals, which welcome roughly 1,000 children and families to the DeKalb community each year. These programs introduce potential future students to both NIU and the surrounding area. Potential donation funding is being pursued to assist in the funding.

**Funding:** Institutional Funds: \$1,100,000

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its meeting on December 7, 2023.

## CHICK EVANS FIELDHOUSE TENNIS FACILITY UPGRADES PHASE II

**Summary:** The university is requesting approval to renovate the Chick Evans Fieldhouse (Evans Fieldhouse) locker room facilities supporting the NIU tennis teams. The existing spaces are outdated and in need of improvement to align with recent improvements made to the Nelson Tennis Center playing surfaces. Supported by donation funding, the improvements are estimated at \$380K.

**Background:** Evans Fieldhouse was originally opened in 1957, with portions renovated in 2003 and again in 2022 to create the Nelson Tennis Center. The locker room areas are mostly original to the facility and require updating to support the intercollegiate program.

Following a consultant-assisted assessment conducted earlier in 2023, a scope of work has been developed to include renovation of both tennis locker rooms including locker replacements, finishes upgrades, upgrades to associated shower rooms and improvements to HVAC system serving these spaces. A refresh of the interior space at the main south entrance to the building is also included. Following approval, a design will be finalized, and drawings and specifications prepared for final bidding and execution.

**Funding:** Institutional/Donation Funds: \$380,000

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its meeting on December 7, 2023.

## DEKALB CAMPUS 2024 ROOF REPAIRS

**Summary:** Following annual assessments of campus buildings roof conditions, several buildings have been identified as highest priority for roof repairs/replacements for the 2024 roofing season. Design, specification development, and contracting efforts will be orchestrated over the coming months to help ensure work can be scheduled in 2024 with the least disruption to university operations. Packaging of common roof repairs across multiple buildings into one project and contract effort will create efficiencies for improved contract pricing, anticipated to total \$2M.

**Background:** Roof assessments are conducted through regular monitoring of leak reports and augmented with thermography scanning to identify, access and prioritize repair deficiencies. Roofs targeted for repair work in 2024 include Neptune Central, Wirtz Hall, Stevenson Central Core, Founders Library, and Altgeld Hall. Roofs to be reviewed for scope/potential repair work in 2025 or 2026 include LaTourette, Davis, Psychology/Computer Science, Evans Fieldhouse, and Convocation Center.

All roofs planned for 2024 have experienced significant leaks and/or roof system failures which have put them at the highest priority for work to be completed at the next available construction season. Specifications and bidding are to be finalized over the winter/spring to ensure competitive pricing, minimize supply chain delays and to be ready for roof work to begin as soon as possible in 2024 as funding allows. The project repair scope may be adjusted to capture emergent roof repair priorities if considered affordable under the budget authorization.

**Funding:** Institutional Funds: \$2,000,000

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its meeting on December 7, 2023.

## CHILLED WATER PLANT CHILLER #4 OVERHAUL

**Summary:** The university is requesting to inspect and overhaul a water chiller at the East chilled water plant. Unlike the project approved in November 2022 due to a failed drive, this project seeks to proactively inspect and overhaul this chiller to extend the life of the system and minimize the risk of an unexpected failure. This project is planned to be executed over the winter and early spring to allow the plant to support the next cooling season. The total project cost is estimated not to exceed \$400K.

**Background:** The East chilled water plant was originally opened in 2010 and is the primary source of chilled water production for the east half of campus. There are four centrifugal chillers in the plant. During high cooling loads during the summer months, the plant must run all four of the chillers to meet the campus demand.

The scope of work for this project will be to perform a comprehensive overhaul and inspection, and calibration of the chiller including installation of new motor bearings and gaskets. The work will be completed by contracted support with assistance from internal personnel.

**Funding:** Institutional Funds: \$400,000

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its meeting on December 7, 2023.

## **CELL TOWER LAND LEASE (NIU as LESSOR)**

**Summary:** The university is requesting to establish a lease with The Towers, LLC for the construction and operation of a cellular tower on campus. The primary benefit to the university and the surrounding community would be the enhancement of cellular service in the form of improved reception and call volumes particularly required for high-attendance events. The targeted location is a site south of the NIU Soccer and Track & Field Complex on the west side of campus.

**Background:** Proposed as a monopole style tower, the 95-foot-high structure would be situated on a 100' x 100' area with utility connections to Lincoln Highway. Due to the capital investment to be made by Lessee, a 50-year lease term is to be developed. Based on some initial investigation, the lease rate is considered fair and reasonable based on industry indications.

**Funding:** This is a revenue-generating agreement anticipated to be an approximate magnitude of \$500,000 over the potential full 50-year term.

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its meeting on December 7, 2023.

## **STEVENSON TOWERS WIRELESS NETWORK EXPANSION AND UPGRADE**

**Summary:** Northern Illinois University provides data network services to students, faculty, and staff via wired and wireless (WiFi) connectivity on campus. The university is requesting to expand and enhance WiFi coverage and capacity in Stevenson Towers housing complex in support of student technology mobility. Anticipated costs for this phase of ongoing WiFi expansion are \$750K, inclusive of labor, hardware, and materials. The project is anticipated to be completed by summer of 2024.

**Background:** Ongoing wireless expansion is a multi-year project aimed at providing WiFi access in buildings that do not currently have service and upgrading service in buildings with limited coverage. A proposed WiFi access point layout was developed by the Division of Information Technology, in partnership with Student Affairs.

**Funding:** Institutional Funds: \$750,000

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its meeting on December 7, 2023.

## INTERNET EXCHANGE POINT LAND LEASE (NIU as LESSOR)

**Summary:** The university is requesting to establish a no-cost lease with Connected Nation Internet Exchange Points, LLC, a not-for-profit entity, for the construction and operation of an internet exchange point (IXP) on campus. The primary benefit to the university is to enhance the data connectivity, capacity, and timeliness of transmission (i.e. connection latency). Final locations for the IXP site are being evaluated and will be determined based on connectivity to existing community fiber networks and data support to the university.

**Background:** An internet exchange point (IXP) is a location in which networks of all types (e.g., local service providers, backhaul providers, research & education networks, mobile networks, cloud application providers, and content delivery networks) “meet” to exchange data traffic. Historically, IXPs have existed only in major metropolitan areas, requiring traffic from small cities, towns, and rural areas to be backhauled to the nearest IXP, which may be hundreds of miles away. But as internet-based applications and cloud services evolve and require higher bandwidth, lower latency connections, smaller communities risk falling behind without a local IXP.

Connected Nation’s strategic approach is to find key geographic locations across the state of Illinois to enhance internet connectivity and capacity. Similar arrangements are being made with Southern Illinois University Carbondale and the University of Illinois Springfield which, collectively with NIU, provides the strategic geographic coverage desired. As federal and state grant funding is pursued for constructing the IXP structures, this strategic, tri-university, state-wide approach is likely to enhance the grant request. A letter of commitment to host the IXP with a long-term, no-cost lease would show the necessary support for the grant application.

The site would encumber about two acres of land and involve the construction of an approximately \$8M facility with related utility connections to the nearest fiber network. Due to the capital investment to be made by Lessee, a 40-year lease term will be established. The schedule for execution depends on the Lessee obtaining grant opportunities in the coming months.

**Funding:** In-kind contribution of approximately two acres of land through a 40-year lease estimated at \$20,000.

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President’s Report to the Board of Trustees for approval at its meeting on December 7, 2023.

## OFFICER SAFETY EQUIPMENT REFRESH

**Summary:** In order to ensure officer safety, enhance public safety, and increase efficiency of operations, the department of Public Safety is seeking to enter into a maximum 5-year agreement with a single vendor to provide an officer safety technology plan, including all equipment refreshes, relevant software licenses, accessories, and certification training.

The goal is to encompass timely equipment refreshes and all supporting software and certifications in one procurement, allowing for greater operational efficiencies as opposed to purchasing equipment and support services on an as-needed basis. Over the maximum 5-year term, anticipated spend is Not-to-Exceed \$775K.

**Background:** The university's police force uses conducted electrical defense devices and video recording equipment to enable officers to safely de-escalate situations, reducing potential harm to officers, suspects, and bystanders. These products require routine refresh and replacements based on warranty data and usable life terms. In addition to equipment as part of a comprehensive safety technology plan, Public Safety is seeking an evidence data management system that will allow for unlimited artificial intelligence transcription of playable video and audio files as well as image and redaction software to both provide evidence to prosecutors and allow sharing for public information requests. Optimally, it may also provide the ability to obtain evidence directly from members of the community via secure web link, allow custom summary reports, provide unlimited storage, and allow for detailed written reports via interactive PDF with embedded video image content.

Currently, the university uses Axon Enterprises, Inc. (Axon), an approved IPHEC vendor, to fulfill the need for officer safety technology, purchasing various products and services as they are needed. The current vendor's IPHEC agreement expires FY26, and the university's existing contract with Axon expires this fiscal year. Initial conversations suggest the most financially advantageous officer safety plans, including those of Axon, span longer periods up to five years. Procurement options are being researched, and approval is being sought in advance to streamline the process once the most appropriate path forward is identified.

**Funding:** Institutional: \$775,000 (NTE)

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its meeting on December 7, 2023.



## INTERCOLLEGIATE ATHLETICS AIR CHARTER SERVICES FOR BASKETBALL TEAMS

**Summary:** Intercollegiate Athletics requests permission to purchase air travel services for the NIU Men's and Women's Basketball Teams for the 2023-2024 season. The team will use air charter services for scheduled basketball games from January through March 2024. The request is for one season at a not-to-exceed amount of \$350K.

**Background:** In the past, air charter services for Men's and Women's Basketball Teams have not required Board of Trustees approval as they have not exceeded the \$250K threshold, but due to increasing costs and increased use of flights over buses, basketball is now an activity which requires Board approval for air travel. The intent is to pursue more use of air travel to address busing shortages and minimize educational disruption when traveling for midweek conference games.

Intercollegiate Athletics is working with Procurement Services and Contracts Management to identify a vendor. Athletics will incorporate basketball travel into future RFPs and contract renewals for air charter services solicited on behalf of athletic travel needs.

Men's and Women's Basketball begin play in November, with their first chartered flight in January 2024. This request is being brought to the Special Meeting in order to meet the procurement and contract timeframe to secure flights for the season.

**Funding:** Institutional Funds: \$350,000

**Recommendation:** The University recommends that the Finance, Audit, Compliance, Facilities and Operations Committee endorse this request and ask that the President forward it by means of the President's Report to the Board of Trustees for approval at its special meeting on November 9, 2023.

## FISCAL YEAR 2023 ANNUAL REPORT OF TRANSACTIONS INVOLVING REAL PROPERTY

This report is structured around four categories of real property transactions: surplus property sales, in-leases, out-leases, and purchasing arrangements.

Surplus real property sales include properties previously approved by the Board under the authority granted by the *Illinois Property Control Act (30 ILCS 605)*. Other disposition efforts for properties determined as surplus at previous Board meetings continue to progress with sales transactions planned for a future date.

In-leases, where NIU acts as the lessee, serve to provide support when either there is insufficient or inadequate space for the requirement within university-owned property. Annual reviews are conducted to verify the continuing need for in-lease arrangements before renewals are executed.

Out-leases, where NIU acts as the lessor, serve to effectively leverage under-utilized property either financially and/or through compatible support to the university mission. Annual reviews are conducted to evaluate the out-lease value to the university prior to renewal.

Facility purchasing arrangements are long-term payment agreements with interim leases where the final objective is university ownership of the property.

<b>Transaction Type</b>	<b>Number of Transactions</b>	<b>Transaction Percentage</b>	<b>Dollar Volume</b>	<b>Dollar Percentage</b>
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**Surplus Real Property:** One surplus real property sale was transacted in FY23 with the net proceed reflected below. This involved the Hoffman Estates campus, which was sold to a private party.

Hoffman Estates	1	100%	\$2,156,462	100%
<b>Total</b>	<b>1</b>	<b>100%</b>	<b>\$2,156,462</b>	<b>100%</b>

**In-leases (NIU as lessee):** Includes support for academic activities (e.g. steel drum workshop, law clinics), and outreach activities (e.g. NPR towers, downtown Chicago offices).

Under \$100K	14	93%	\$295,793	75%
\$100K to \$250K	1	7%	\$100,582	25%
Over \$250K	0	0%	\$0	0%
<b>Total</b>	<b>15</b>	<b>100%</b>	<b>\$396,375</b>	<b>100%</b>

**Out-leases (NIU as lessor):** Includes support for government organizations (e.g. IL Board of Examiners, IL Dept. of Agriculture, State University Retirement System), commercial operations (e.g. HSC bookstore, farmland, Northwestern Medicine clinic), and research.

Under \$100K	18	90%	\$356,538	35%
\$100K to \$250K	1	5%	\$126,699	13%
Over \$250K	1	5%	\$523,538	52%
<b>Total</b>	<b>20</b>	<b>100%</b>	<b>\$1,006,836</b>	<b>100%</b>

**Facility purchasing arrangements:** Includes the annual payments for the Illinois Association of School Business Officials (IASBO) Building.

IASBO Building

- Payments made in FY23	\$69,926
- Payments to date	\$1,684,064
- Remaining debt	\$64,099
- Ending date of term	May 2024

**FISCAL YEAR 2023 REPORT OF TUITION AND FEE WAIVERS**

The Illinois Board of Higher Education issued Tuition and Fee Waiver Guidelines for Illinois Public Universities in June 1999, which was amended in February 2000. These guidelines include the requirement that tuition and fee waivers granted during the fiscal year be reported to each university's Board of Trustees. Pursuant to that requirement, the university has prepared the following report for FY23. The FY22 report, approved in September 2022, is included for reference.

**Fiscal Year 2023**  
**Total Tuition and Fee Waivers**  
**07/01/2022 - 08/31/2023**

(rounded to nearest dollars)

**Mandatory Waiver Category**

Program	Total Waived		Tuition Waived		Fees Waived	
	Number of Recipients	Total Waived	Number of Recipients	Tuition Waived	Number of Recipients	Fees Waived
Teacher Special Education	56	\$ 607,121	56	\$ 496,947	37	\$ 110,174
ROTC	46	\$ 255,873	45	\$ 254,129	21	\$ 1,744
DCFS	36	\$ 218,381	36	\$ 181,735	25	\$ 36,646
Children of Employees	108	\$ 442,143	108	\$ 442,143	-	\$ -
Senior Citizens	3	\$ 12,096	3	\$ 12,096	-	\$ -
Honorary Scholarships	-	\$ -	-	\$ -	-	\$ -
Veterans Grants & Scholarships*	331	\$ 2,746,940	325	\$ 2,347,404	232	\$ 399,536
Other (please specify)**	-	\$ -	-	\$ -	-	\$ -
<b>Mandatory Waiver Totals</b>	<b>580</b>	<b>\$ 4,282,554</b>	<b>573</b>	<b>\$ 3,734,454</b>	<b>315</b>	<b>\$ 548,100</b>
<b>Unduplicated Mandatory Count***</b>	<b>572</b>		<b>565</b>		<b>311</b>	

**Discretionary Waiver Category**

Program	Total Waived		Tuition Waived		Fees Waived	
	Number of Recipients	Total Waived	Number of Recipients	Tuition Waived	Number of Recipients	Fees Waived
Faculty/Administrators (Non-Civil Service)	66	\$ 282,422	66	\$ 281,843	1	\$ 579
Civil Service	127	\$ 694,200	127	\$ 646,821	41	\$ 47,379
Interinstitutional/Related Agencies	38	\$ 124,251	38	\$ 124,251	-	\$ -
Retired University Employees	12	\$ 48,935	12	\$ 45,789	4	\$ 3,147
Children of Deceased Employees	2	\$ 12,720	2	\$ 9,551	2	\$ 3,169
Children of Employees	-	\$ -	-	\$ -	-	\$ -
Academic/Other Talent	662	\$ 3,344,154	662	\$ 3,344,134	1	\$ 20
Athletic	221	\$ 1,679,229	221	\$ 1,679,229	-	\$ -
Gender Equity in Intercollegiate Athletics	130	\$ 1,168,033	130	\$ 1,168,033	-	\$ -
Foreign Exchange Students	-	\$ -	-	\$ -	-	\$ -
Out-of-State Students	-	\$ -	-	\$ -	-	\$ -
Foreign Students	19	\$ 177,235	19	\$ 177,235	-	\$ -
Fellowships	8	\$ 30,117	8	\$ 30,117	-	\$ -
Student Need - Financial Aid	-	\$ -	-	\$ -	-	\$ -
Student Need - Special Programs	4	\$ 16,985	4	\$ 16,985	-	\$ -
Cooperating Professionals	323	\$ 1,020,017	323	\$ 1,020,017	-	\$ -
Research Assistants	404	\$ 2,118,439	404	\$ 2,118,439	-	\$ -
Teaching Assistants	705	\$ 4,395,366	705	\$ 4,395,366	-	\$ -
Other Assistants	185	\$ 1,261,427	185	\$ 1,261,426	-	\$ -
Contract/Training Grants	38	\$ 287,631	38	\$ 287,631	-	\$ -
Other (please specify)**	-	\$ -	-	\$ -	-	\$ -
<b>Discretionary Waiver Totals</b>	<b>2,944</b>	<b>\$ 16,661,161</b>	<b>2,944</b>	<b>\$ 16,606,867</b>	<b>49</b>	<b>\$ 54,294</b>
<b>Unduplicated Discretionary Count***</b>	<b>2,794</b>		<b>2,794</b>		<b>49</b>	

**Waiver Totals**

	Total Waived		Tuition Waived		Fees Waived	
	Number of Recipients	Total Waived	Number of Recipients	Tuition Waived	Number of Recipients	Fees Waived
<b>Mandatory &amp; Discretionary Waiver Totals</b>	<b>3,524</b>	<b>\$ 20,943,715</b>	<b>3,517</b>	<b>\$ 20,341,321</b>	<b>364</b>	<b>\$ 602,394</b>
<b>Unduplicated Mandatory &amp; Discretionary Count****</b>	<b>3,351</b>		<b>3,344</b>		<b>360</b>	

\* Illinois Veterans Grants (ISAC), Illinois National Guard Grants (ISAC), and MIA/POW Scholarships (Department of Veterans' Affairs). Included are only the waived portion of these grants.

\*\* Other waiver categories must be approved by the Board of Higher Education prior to reporting.

\*\*\* Each recipient is counted only once in the unduplicated count even if they benefited from multiple waivers during the academic year.

\*\*\*\* Each recipient is counted only once in the Total Count of Waivers even if they benefited from a mandatory and a discretionary waiver.

**Fiscal Year 2022**  
**Total Tuition and Fee Waivers**  
**07/01/2021 - 08/31/2022**

(rounded to nearest dollars)

**Mandatory Waiver Category**

Program	Total Waived		Tuition Waived		Fees Waived	
	Number of Recipients	Total Waived	Number of Recipients	Tuition Waived	Number of Recipients	Fees Waived
Teacher Special Education	63	\$ 735,683	63	\$ 566,096	50	\$ 169,587
ROTC	35	\$ 207,218	34	\$ 205,448	21	\$ 1,770
DCFS	45	\$ 234,241	43	\$ 185,941	34	\$ 48,300
Children of Employees	121	\$ 468,820	121	\$ 468,820	-	\$ -
Senior Citizens	4	\$ 17,307	4	\$ 17,307	-	\$ -
Honorary Scholarships	-	\$ -	-	\$ -	-	\$ -
Veterans Grants & Scholarships*	314	\$ 2,423,017	308	\$ 2,130,330	196	\$ 292,687
Other (please specify)**	-	\$ -	-	\$ -	-	\$ -
<b>Mandatory Waiver Totals</b>	<b>582</b>	<b>\$ 4,086,286</b>	<b>573</b>	<b>\$ 3,573,942</b>	<b>301</b>	<b>\$ 512,344</b>
<b>Unduplicated Mandatory Count***</b>	<b>582</b>		<b>572</b>		<b>301</b>	

**Discretionary Waiver Category**

Program	Total Waived		Tuition Waived		Fees Waived	
	Number of Recipients	Total Waived	Number of Recipients	Tuition Waived	Number of Recipients	Fees Waived
Faculty/Administrators (Non-Civil Service)	64	\$ 298,660	64	\$ 297,467	2	\$ 1,193
Civil Service	132	\$ 652,241	132	\$ 617,632	37	\$ 34,609
Interinstitutional/Related Agencies	39	\$ 123,313	39	\$ 123,313	-	\$ -
Retired University Employees	11	\$ 33,110	11	\$ 30,850	3	\$ 2,260
Children of Deceased Employees	2	\$ 15,340	2	\$ 14,340	2	\$ 999
Children of Employees	-	\$ -	-	\$ -	-	\$ -
Academic/Other Talent	705	\$ 3,546,941	705	\$ 3,546,941	-	\$ -
Athletic	215	\$ 1,604,165	215	\$ 1,604,165	-	\$ -
Gender Equity in Intercollegiate Athletics	131	\$ 1,194,639	131	\$ 1,194,639	-	\$ -
Foreign Exchange Students	-	\$ -	-	\$ -	-	\$ -
Out-of-State Students	-	\$ -	-	\$ -	-	\$ -
Foreign Students	17	\$ 182,629	17	\$ 182,629	-	\$ -
Fellowships	11	\$ 49,031	11	\$ 49,031	-	\$ -
Student Need - Financial Aid	-	\$ -	-	\$ -	-	\$ -
Student Need - Special Programs	6	\$ 41,309	6	\$ 41,309	-	\$ -
Cooperating Professionals	287	\$ 808,736	287	\$ 808,736	-	\$ -
Research Assistants	421	\$ 2,421,278	421	\$ 2,421,278	-	\$ -
Teaching Assistants	689	\$ 4,151,074	689	\$ 4,151,074	-	\$ -
Other Assistants	140	\$ 1,020,110	140	\$ 1,020,111	-	\$ -
Contract/Training Grants	30	\$ 205,812	30	\$ 205,812	-	\$ -
Other (please specify)**	-	\$ -	-	\$ -	-	\$ -
<b>Discretionary Waiver Totals</b>	<b>2,900</b>	<b>\$ 16,348,388</b>	<b>2,900</b>	<b>\$ 16,309,327</b>	<b>44</b>	<b>\$ 39,061</b>
<b>Unduplicated Discretionary Count***</b>	<b>2,800</b>		<b>2,800</b>		<b>44</b>	

**Waiver Totals**

	Total Waived		Tuition Waived		Fees Waived	
	Number of Recipients	Total Waived	Number of Recipients	Tuition Waived	Number of Recipients	Fees Waived
<b>Mandatory &amp; Discretionary Waiver Totals</b>	<b>3,482</b>	<b>\$ 20,434,674</b>	<b>3,473</b>	<b>\$ 19,883,269</b>	<b>345</b>	<b>\$ 551,405</b>
<b>Unduplicated Mandatory &amp; Discretionary Count****</b>	<b>3,370</b>		<b>3,363</b>		<b>345</b>	

\* Illinois Veterans Grants (ISAC), Illinois National Guard Grants (ISAC), and MIA/POW Scholarships (Department of Veterans' Affairs). Included are only the waived portion of these grants.

\*\* Other waiver categories must be approved by the Board of Higher Education prior to reporting.

\*\*\* Each recipient is counted only once in the unduplicated count even if they benefited from multiple waivers during the academic year.

\*\*\*\* Each recipient is counted only once in the Total Count of Waivers even if they benefited from a mandatory and a discretionary waiver.

**QUARTERLY SUMMARY REPORT OF TRANSACTIONS IN EXCESS OF  
\$100,000 FOR THE PERIOD JULY 1, 2023 TO SEPTEMBER 30, 2023**

In accordance with *Board of Trustees Regulations (Section V, Subsection B.5)*, this report of all obligations of financial resources greater than \$100,000 but less than \$250,000 is submitted at the end of each calendar quarter to the Board of Trustees. Transactions included in this quarterly report reflect the maximum approved amount that orders may not exceed and do not include commercial card obligations. Following is a summary of the number of transactions, fund source, and total dollar amount, and transaction details for obligations incurred during the quarter ended September 30, 2023.

<b>Purchase Amount</b>	<b>No. of Transactions</b>	<b>Appropriated*</b>	<b>Non-Appropriated</b>	<b>Total</b>
Over \$100,000	25	\$1,454,204	\$2,398,116	3,852,320

**TRANSACTIONS DETAIL:**

Purchases:

1. The Division of Outreach, Engagement, and Regional Development requested permission to renew their software subscription for an on- and off-campus platform for camp and conference registrations, memberships, inventory tracking, facility booking, abstract paper submission, and reporting for the period July 1, 2023 through June 30, 2024. (Momentus Technologies, O’Fallon, MO) 131,410
2. The Division of Information Technology requested permission to renew the contract for Identify Management Software that is used to programmatically manage computing accounts for the entire university involving students and staff. The period coverage will be August 1, 2023 through July 31, 2024. (Micro Focus Software Inc., Provo, UT) 115,000\*
3. Campus Dining requested permission to issue an FY24 open order for food items, paper products, and chemical/cleaning supplies needed to operate dining services for the period July 1, 2023 through June 30, 2024. This was the third of a 4-year renewal option offered under IPHEC JMT201707. (Gordon Food SVC, Wyoming, MI) 166,000
4. Continuing and Professional Education requested permission to issue an FY24 purchase order for reimbursement of non-credit OSHA courses held between July 1, 2023 through June 30, 2024. (Minnesota Safety Council, St. Paul, MN) 150,000
5. The Biology department requested permission to purchase a CytoFlex, which will be used for research and can detect biological nanoparticles as small as 80nm by light scatter. The price also included installation and a 3-year service protection plan. (Fisher Scientific, Pittsburg, PA) 128,123\*

6. The College of Law Library requested permission to issue an FY24 open order for books and materials for the period July 1, 2023 through June 30, 2024. (West Group, St. Paul, MN)	110,000
7. Athletics requested permission to issue an FY24 open order for officiating services (referees and umpires) for sporting events for the period July 1, 2023 through June 30, 2024. (Arbiter Sports LLC, Sandy, UT)	116,565
8. The Center for Governmental Studies requested permission to enter into an agreement for consulting services to design and implement strategies for active business engagement, at both statewide and local levels, using TPM (Total Productive Maintenance) strategies for the period July 1, 2023 through December 31, 2024. (Kim Kuchenbrod, Armstrong, IL)	132,500
9. Athletics requested permission to issue payment required as part of the license agreement between NIU and Phantom Regiment, Inc. for the use of Huskie Stadium for the Phantom Regiment Competition held on July 7, 2023. The amount paid was after expenses had been reconciled. (Phantom Regiment Drum & Bugle Corp., Rockford, IL)	116,423
10. University Libraries requested permission to renew their annual group services subscription to ILLINET/OCLC for the period July 1, 2023 through June 30, 2024. (IL Heartland Library System, Springfield, IL)	168,049*
11. The Division of Information Technology requested permission to purchase three 1-year Zoom Enterprise licenses for use by faculty, students, and staff for the periods of August 20, 2023 through August 20, 2024, August 20, 2024 through August 20, 2025, and August 20, 2025 through August 20, 2026. (CDWG, Vernon Hills, IL)	238,405*
12. The Division of Enrollment Management, Marketing and Communications requested permission to purchase a 4-year license to Intersect, a recruiting tool used to show high school student's interest in learning about and applying to colleges. (Powerschool Group Inc., Folsom, CA)	150,003*
13. The Division of Enrollment Management, Marketing and Communications requested permission to purchase a membership to Common App, a state mandated software that allows students to apply to multiple Illinois schools by completing a single application. (Common Application Inc., Arlington, VA)	113,000
14. The department of Illinois Interactive Report Cards requested permission to enter into an agreement for the services of a data analyst for the Data Warehouse project for the period through June 30, 2024. (Datametrics, Piscataway, NJ)	160,160
15. The department of Illinois Interactive Report Cards requested permission to enter into an agreement for the services of a data analyst for the Data Warehouse project for the period through June 30, 2024. (WAFTS Solutions Inc., Farmington Hills, MI)	139,360



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|--|----------|
| 16. The Division of Information Technology requested permission to renew the license for Dell Systems, which includes Dell Unity, Data Domain, and Isilon. The system includes the storage for the university's entire virtual infrastructure, backup infrastructure, and departmental data. The price included hardware replacement should anything go wrong, software updates, and support for the storage. (CDWG, Vernon Hills, IL) | 249,024* |
| 17. Campus Dining Services requested permission to increase the open order for fresh produce needed to operate campus dining services for the period through June 30, 2024. This item was originally approved on the July 14, 2023 President's Report in the amount of \$95,000 and is the seventh year of a 9-year renewal option under bid JMT16PRODUCE. (Dalmares Produce Inc., Chicago, IL)  | 170,000  |
| 18. The Division of Information Technology requested permission to increase the open order for local and long-distance services and voice trunking services for the period July 1, 2023 through December 31, 2023. This item was under sole source PSCMKAL01122023 and was originally approved on the March 7, 2023 President's Report in the amount of \$100,000. (Frontier North Inc., Sycamore, IL)                                 | 170,000  |
| 19. The Division of Information Technology requested permission to purchase a 3-year subscription to EfficientIP, which enables network automation and strengthens DNS security with DDI services to control and secure anywhere access. (Presidio Networked Solutions Group, New York, NY)  | 114,288  |
| 20. The Division of Enrollment Management, Marketing and Communications requested permission to issue an open order to purchase SAT and PSAT prospective student names for student recruitment for the period through June 30, 2024. (College Board, New York, NY)   | 185,000* |
| 21. Counseling and Consultation Services requested permission to purchase furniture for the Student Wellness offices, located in the Campus Life Building, to replace the current old and dated furniture. (Krueger International, Green Bay, WI)  | 126,310  |

Capital Improvement Projects:

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|---|---------|
| 1. Parking lot repairs: Following annual parking lot condition review and prioritization, three lots were identified for critical repairs near Anderson Hall, Founders Memorial Library and Wellness/Literacy. The scope of work included sections to be milled and repaved, sealcoating and restriping.  | 184,800 |
| 2. Baseball Field fencing: New 2024 NCAA rules require upgrades for the Ralph McKinzie Baseball Field. The scope of work included replacement of the outfield wood fence with vinyl coated chain link fencing, modifications to the warning track, installation of pitch clocks and replacement of the scoreboard. The project will also include replacement of the scoreboard at the Mary Bell Softball Field. | 245,300 |

- |  |                   |
|--|-------------------|
| 3. Miscellaneous safety repairs: Key safety related repairs were collected from around the campus into one project effort. The scope of work addressed safety/tripping hazards with concrete walkways, repainting of fire hydrants and a railing installation.   | 52,000*<br>52,000 |
| 4. Health Services Building hot water repairs: The tank and heat exchanger, which converts steam heat from the central plant to provide domestic hot water service, required replacement after failing earlier in the year. The scope of work included removing the original system with a replacement system. | 168,600*          |

\*Appropriated/Income Funds