



Regular Meeting
of the
Board of Trustees

June 15, 2023

**Regular Meeting of the
BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY
8:00 a.m. – Thursday – June 15, 2023
Board of Trustees Room
Altgeld 315**

AGENDA

1. Call to Order and Roll Call
2. Verification of Quorum and Appropriate Notification of Public Meeting
3. Meeting Agenda Approval.....*Action*..... i
4. Chair's Comments/Announcements
5. Public Comment*
6. Reports of Board Committees and Board Liaisons..... *Information*.....1
 - a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)
 - b. Finance, Audit, Compliance, Facilities and Operations Committee (FACFO)
 - c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)
 - d. Illinois Board of Higher Education
 - e. Universities Civil Service Merit Board
 - f. Northern Illinois Research Foundation
 - g. Northern Illinois University Alumni Association
 - h. Northern Illinois University Foundation
7. President’s Report No. 169
 - a. University Recommendations Forwarded by the Board Committees
 - 1) Recommendations for Faculty Tenure and/or Promotion Effective 2023-2024
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 - 2) Request for the Deletion of the Bachelor of Arts in Geography..... *Action*.....8
 - 3) Request for the Deletion of the Bachelor of Science in Geography ... *Action*.....9
 - 4) Request for the Deletion of the Master of Science in Geography..... *Action*.....10
 - 5) Request for the Deletion of the Doctor of Philosophy in Geography. *Action*.....11
 - 6) Request to Change Name of Bachelor of Science in Geology and Environmental
Geosciences..... *Action*.....12
 - 7) Request to Change Name of Master of Science in Geology..... *Action*.....13
 - 8) Request to Change Name of Doctor of Philosophy in Geology... .. *Action*.....14
 - 9) Graphic Design Services..... *Action*.....15
 - 10) Fiscal Year 2024 NIU Foundation Professional Services Contract.... *Action*.....16
 - 11) Fiscal Year 2024 Cisco Maintenance Contract..... *Action*.....17
 - 12) Fiscal Year 2024 Division of Information Technology Adobe Enterprise Term
License Agreement *Action*.....18

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7) Charter Bus Services.....	Action.....	71
8) On- and Off-Campus Unarmed Security Patrol.....	Action.....	72
9) Blackbaud Award Management Scholarship Platform.....	Action.....	73
10) Proposed Amendments to Board of Trustees Regulations Related to Graduate Assistants.....	Action.....	74
11) Fiscal Year 2024 University Internal Budget	Action.....	75
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8. Chair’s Report No. 106

a. Fiscal Year 2024 Election of Board Officers and Civil Services Merit Board Representative.....	Action.....	86
b. President Lisa C. Freeman Contract Extension and Proposed Terms of Extension...	Action.....	87
c. Resolution Honoring Trustee Robert Pritchard for Distinguished Service to the Northern Illinois University Board of Trustees.....	Action.....	89

9. Other Matters

10. Next Meeting Date

11. Closed Session

12. Adjournment

*Individuals wishing to make an appearance before the Board should consult the [*Bylaws of the Board of Trustees of Northern Illinois University, Article II, Section 4 – Appearances before the Board.*](#) Appearance request forms can be completed [online](#) in advance of the meeting or will be available in the Board Room the day of the meeting. For more information contact Crystal Doyle, ccoppel@niu.edu, Altgeld Hall 300, DeKalb, IL 60115, 815-753-1273.

Anyone needing special accommodations to participate in the NIU Board of Trustees meetings should contact Crystal Doyle, ccoppel@niu.edu or (815) 753-1273, as soon as possible.

REPORTS OF BOARD COMMITTEES AND BOARD LIAISONS

a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)

The Academic Affairs, Student Affairs and Personnel Committee met on May 11, 2023. Twelve action items appeared on the agenda: Appointment of Dean of the College of Engineering and Engineering Technology, Appointment of Vice President for Research and Innovation Partnerships, Appointment of Acting Dean of the College of Education, Appointment of Interim Dean of the College of Business, Recommendations for Faculty Tenure and/or Promotion Effective 2023-2024, Request for the Deletion of the Bachelor of Arts in Geography, Request for the Deletion of the Bachelor of Science in Geography, Request for the Deletion of the Master of Science in Geography, Request for the Deletion of the Doctor of Philosophy in Geography, Request to Change Name of Bachelor of Science in Geology and Environmental Geosciences, Request to Change Name of Master of Science in Geology, Request to Change Name of Doctor of Philosophy in Geology all were approved. The committee received one information item: Transformative Experiences Promoting Equity, Inclusion and Belonging presented by Dr. Vernese Edghill-Walden, Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer.

b. Finance, Audit, Compliance, Facilities, and Operations Committee (FACFO)

The Finance, Audit, Compliance, Facilities and Operations Committee met on May 11, 2023. The committee was presented with three Financial Update items: Quarterly Financial Summary Fiscal Year 2023 Third Quarter as of March 31, 2023, Periodic Report on Investments for Period Ending March 31, 2023 and Fiscal Year 2024 University Budget Preliminary Summary. The committee approved eleven actions items: Services and Lease in Support of Student Health and Athletic Care; Graphic Design Services; Fiscal Year 2024 NIU Foundation Professional Services Contract; Fiscal Year 2024 Cisco Maintenance Contract; Fiscal Year 2024 Division of Information Technology Adobe Enterprise Term License Agreement; Fiscal Year 2023 Campus Fleet Printing Additional Funding Request; College of Business Barsema Hall Renovation for New HAVI Center for Analytics and Technology; Stevenson Residential Complex Towers A, B, C, & D Washroom Faucet Replacements Capital Project Approval; Stevenson Residential Complex Tower Elevator Upgrades Capital Project Approval; Patterson Hall East Fire Sprinkler System Piping Replacement; and Yordon Center Room 125 Northwestern Medicine Performance Center Flooring Replacement Budget Increase. One item was tabled to the June 15, 2023 Board of Trustees Meeting: Charter Bus Services. Of the eleven action items, seven items were sent in front of the Special Meeting that same day. The committee also received three University Information items: Campus Printer Lease Program Update; London Interbank Offered Rate Transition For Series 2020A Bonds; and Quarterly Summary Report of Transactions in Excess of \$100,000 for the Period January 1, 2023 to March 31, 2023.

c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)

The Research and Innovation, Legal and Legislative Affairs Committee met on May 11, 2023. The committee received three information items: State Legislative Report, Sponsored Program Administration Quarterly Report, and Dr. Catherine Raymond, Professor, Art History in the College of Visual and Performing Arts, School of Art and Design and Director of the Center for Burma Studies provided a verbal presentation.

d. Illinois Board of Higher Education

The IBHE met on January 18, 2023, at Governors State University. The Board Chair opened the meeting with comments regarding the fall enrollment report issued by the IBHE in December 2022. Dr. Cheryl Green, President of Governors State University, welcomed members of the Board and provided a brief overview of the University. The Board heard a presentation by Dr. Guiyou Huang, President of Western Illinois University, on *Closing Equity Gaps and Meeting Student Needs: Work Underway*. The presentation included an overview of the work being done at WIU to close the equity gaps by utilizing EdSites, a software package that helps with early alerts, and other programs being used to reach out to students. The Board also heard a presentation from Dr. Brian Caputo, President of College of DuPage, who provided an overview of COD's strategic long-range plan, including student success, their performing arts program, economic development, and the organization culture that focuses on the workforce.

The IBHE granted authority to multiple community colleges to offer a variety of Associate of Applied Science degrees, subject to the institutions' implementation and maintenance of conditions presented in their applications.

- John A. Logan College to offer an Associate of Applied Science in Hospitality Management
- Lincoln Land Community College to offer an Associate of Applied Science in Diesel Technologies
- Wilbur Wright College to offer the Associate of Applied Science in Cannabis Studies

The Board also approved the following:

- Fiscal Year 2024 Higher Education Budget Recommendations: Operations, Grants, and Capital Improvements focused on a *Thriving Illinois*.
- Noninstructional Capital Projects for FY2023 at Chicago State University
- Updated personnel policies for the IBHE
- Meeting minutes and executive meeting minutes

Lastly, the Board received an informational update on the Fiscal Year 2023 Nursing Educator Fellowships and the Fiscal Year 2023 Nursing School Grant Awards.

e. Universities Civil Service Merit Board

The last meeting of the State Universities Civil Service Merit Board was on March 16, 2023. Information about this meeting was contained in the NIU Board of Trustees meeting report dated April 3, 2023. No Merit Board meeting has occurred since then. The next meeting of the Merit Board is scheduled for October 19, 2023.

f. Northern Illinois Research Foundation

The Northern Illinois Research Foundation and IP Committee held their quarterly meetings in March 2023. The Audit and Finance Committee did not meet. The IP Management Committee adopted the Committee's Governance and Policies Statement and discussed numerous invention disclosures and patent pending applications. The Board of Directors convened March 21 and held a special election for board Secretary. They also received quarterly updates from the Committees and the NIU Office of Innovation. The next Board of Directors meeting will be the annual required meeting in September.

g. Northern Illinois University Alumni Association

Events – We have seen outstanding event attendee numbers this year, which is the direct result of the diversity of alumni events. A few that have recently sold out:

11th annual NIUAA golf outing
Make your own “bark”couterie board
Chinatown Walking Food Tour
NIU Night Out – Dinner and a performance by Avalon

Additionally, the NIU Foundation hosted a very popular farm-to-table dinner focused on the NICCS building and sustainability at Blumen Gardens in Sycamore.

Credit goes to the events team, which has benefitted greatly by the addition of Malerie Shumaker.

Planning is well underway for football, with key away games at Boston College (Sept 2) and Nebraska (Sept 16). The partnership with athletics runs deep with the NIUAA and NIU Foundation partnering on the Coaches Caravan in St. Charles (June 5), Oak Brook (June 6), and Rockford (June 7).

Mission 3 will be on the road for the second annual “Dog Days Road Trip.” This year we will have him in Milwaukee (June 24), Madison (June 25), and St. Louis (June 27).

Volunteer Engagement - While overall volunteer numbers are down year over year, we have seen a significant recovery and now anticipate to be down less than 20% from last year. There are a few factors involved in this, including the campus-wide shift to remote work, staff turnover, and sunset programs. We have placed volunteers in every opportunity we have been given. Liz McKee continues to assess new opportunities for volunteer placement.

One highlight is the growing popularity of the Huskie Notecard Project. Alumni volunteers write notes of encouragement on NIU-branded post cards, which are then attached to candy and distributed to students during finals week. 117 volunteers contributed notes to complete more than 1,500 care packages.

The NIUAA board slate is now final, with the addition of three talented and engaged new board members. The board is proud of its efforts to have a board reflective of the diverse experiences of our alumni, and we have seen great strides towards having those viewpoints represented. NIU Foundation Director, and chair of the NIUAA’s Nominating Committee Joe Sener will be presenting on the process of board selection and the results it has yielded.

Communications - The next issue of Northern Now will be dropping by the end of June. It is focused on NIU’s relevance as it involves aging. Articles include a profile of NIU’s oldest graduate, the Golden Huskies, NIU’s involvement with teaching tai chi at the YMCA, the Lifelong Learning Institute, and much more.

Statistically, the communications team has demonstrated ongoing growth through most social media channels, including growth of engagements on Twitter, Instagram, and LinkedIn, and follower growth on Facebook, Instagram, and LinkedIn.

Email clickthrough rates are high, rising from 1.5% to 1.6% so far this fiscal year.

The communications team has also been working diligently on learning a new platform, Overture, which is used to create campaign-focused microsites for key donors. These microsites are relatively easy to assemble and can be used to provide prospective donors with a customized, relevant, and interactive way to learn about and experience campaign priorities.

Operations - The single biggest focus for the entire operations team is the impending CRM transition. To that end, TSI consulting has been hired to provide guidance as we work through the CRM RFP process. It begins with a needs assessment of all relevant stakeholders before we move on to actually reviewing various platforms. Highest priorities are:

- Security

- Features
- User Interface
- Ancillary program interface

Additional consideration will be given to cost, maintenance, staffing required, and transition ability.

Beyond the CRM, data integrity continues to be a focus. The data we capture today will continue on in the new CRM, so having it clean and accurate is critical.

NIUAA Board - At the May 20th NIUAA board meeting in Rockford the NIUAA board of directors elected three new directors. A board that represents the alumni population is a key priority. Every college is now represented, and the board is more than 40% people of color. Additionally, the board has more women directors, going from 6 in 2017 to 13 this year.

h. Northern Illinois University Foundation

Fundraising: Fundraising performance for the first eleven months of FY23 is slightly behind pace, with a total of \$20 million raised – which is 70% of the \$28.5 million annual goal. The pipeline is very strong, and while gifts may not close by the end of the fiscal year to aid in overall goal achievement, a number of key gifts are expected to close over the next few months.

Engagement: Over the past two months, the Alumni Association hosted a number of sold-out events, including the annual Golf Outing on May 22nd. In partnership with the NIU Foundation, a successful alumni and donor engagement trip took place to the Phoenix area from March 24 – 28 and featured an event at the Musical Instrument Museum, and a Cubs/Sox Spring Training Game.

The highlight of the spring season was the Distinguished Donor Event held at the Brookfield Zoo on May 24th, another sold out affair. Donors were treated to the polar bear exhibit, and a presentation by NIU professor, Dr. Reed Sherer, renowned for his polar research.

Upcoming events:

<i>NIUAA Travel Program: Arctic Cruise</i>	July 16 – 31
<i>NIU Day with the White Sox</i>	August 13
<i>Huskie Football at Boston College: Alumni and Donor events Pregame and Football</i>	<i>August 31 and September 1 Sept 2</i>
<i>Huskie Football at Nebraska: Donor event Pregame and Football</i>	<i>Sept 15 Sept 16</i>
<i>NIUAA Travel Program: French Riviera</i>	October 20 – 29

Campaign Planning: Significant work has concluded on the development of campaign priorities at the university level. Finalization of priorities at the collegiate/divisional level will continue through the summer, as will stakeholder training. It is anticipated that the new case for support, including the priorities, will be shared in the fall.

The NIU Foundation has begun its work identifying and recruiting leadership for the Campaign Council, which will be launched in the fall. This group of volunteer board members and donors will help lead efforts to promote the campaign externally and cultivate key gifts.

The campaign total, through the end of May, stands at \$68 million, which is up \$7 million since the January results were reported to the board in March.

**RECOMMENDATIONS FOR FACULTY TENURE AND/OR PROMOTION
EFFECTIVE 2023-2024**

NAME

COLLEGE/DEPARTMENT/SCHOOL

**Promotion from Clinical Assistant Professor to Clinical Associate Professor
(For Informational Purposes Only)**

Blythe Kitner Allied Health and Communicative Disorders

Promotion from Associate Professor to Professor

Andy Bruno	History
Clayton Camic	Kinesiology & Physical Education
Timothy Hagen	Chemistry & Biochemistry
Pi-Sui Hsu	Educational Technology, Research & Assessment
Laura Johnson	Educational Technology, Research & Assessment
Holly Jones	Biological Sciences
Julia Ogg	Psychology
Wesley Swingley	Biological Sciences
Carol Walther	Sociology

Tenure and Promotion from Assistant Professor to Associate Professor

Heather Bergan-Roller	Biological Sciences
Marcia Cooke	Nursing
Christine D'Alexander	Music
Alex Garivaltis	Economics
Lydia Gerzel-Short	Special & Early Education
Aarjen Glas	Political Science
Candice Hux	Accountancy
Jennifer Koop	Biological Sciences
Kathryn Mazurek	Interdisciplinary Health Professions
Henna Muzaffar	Health Studies
Irina Nesterova	Chemistry & Biochemistry
David Nieto	Curriculum & Instruction
Tiffany Puckett	Leadership, Educational Psychology & Foundations
Elizabeth Shelleby	Psychology
Perrin Stamatis	Art & Design
Yihui Tang	Marketing
Matthew Timko	Law Library
Mahdi Vaezi	Engineering Technology
Sahar Vahabzadeh	Mechanical Engineering
Ying Wang	Operations Management & Information Systems
Ziteng Wang	Industrial & Systems Engineering
Jiao Wu	Operations Management & Information Systems

Promotion from Assistant Professor to Associate Professor

Andrew Mamo Law

Hired at the Rank of Professor with Tenure

Hope Wilson Special and Early Education

Hired at the Rank of Associate Professor with Tenure

Roxanna Conner

Theatre and Dance

Rosanne Thomas

Allied Health & Communicative Disorders

Recommendation: The Academic Affairs, Student Affairs, and Personnel Committee recommends Board of Trustee approval of the request for Recommendations for Faculty Tenure and/or Promotion Effective 2023-2034.

REQUEST FOR THE DELETION OF THE BACHELOR OF ARTS IN GEOGRAPHY

Summary: Public University governing boards have the final authority to delete major programs. If the board approves this deletion, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2023. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to delete the Bachelor of Arts (B.A.) in Geography offered by the Department of Earth, Atmosphere and Environment in the College of Liberal Arts and Sciences.

Rationale: On December 7, 2021, the Board of Trustees approved the merger of the Department of Geographic and Atmospheric Sciences with the Department of Geology and Environmental Geosciences. With the merger of these departments, the Bachelor of Science (B.S.) in Geography will be incorporated into the former Bachelor of Science (B.S.) in Geology and Environmental Geosciences, which will be renamed to the Bachelor of Science (B.S.) in Earth, Atmosphere and Environment. A Bachelor of Arts (B.A.) in this area of study will no longer be offered. The major in earth, atmosphere and environment offers the opportunity for students to develop a greater understanding of specific aspects of earth science, geology, geography, and atmospheric sciences by choosing electives for multiple and wide-ranging areas of study. Students currently enrolled and pursuing the Bachelor of Arts (B.A.) in Geography will have the option to complete their degree under their designated catalog year, or they may use their existing coursework toward the Bachelor of Science (B.S.) in Earth, Atmosphere and Environment.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustee approval of the Request for Deletion of the Bachelor of Arts in Geography.

REQUEST FOR THE DELETION OF THE BACHELOR OF SCIENCE IN GEOGRAPHY

committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to delete the Bachelor of Science (B.S.) in Geography offered by the Department of Earth, Atmosphere and Environment in the College of Liberal Arts and Sciences.

Rationale: On December 7, 2021, the Board of Trustees approved the merger of the Department of Geographic and Atmospheric Sciences with the Department of Geology and Environmental Geosciences. With the merger of these departments, the Bachelor of Science (B.S.) in Geography will be incorporated into the former Bachelor of Science (B.S.) in Geology and Environmental Geosciences, which will be renamed to the Bachelor of Science (B.S.) in Earth, Atmosphere and Environment. The major in earth, atmosphere and environment offers the opportunity for students to develop a greater understanding of specific aspects of earth science, geology, geography, and atmospheric sciences by choosing electives for multiple and wide-ranging areas of study. Students currently enrolled and pursuing the Bachelor of Science (B.S.) in Geography will have the option to complete their degree under their designated catalog year, or they may use their existing coursework toward the Bachelor of Science (B.S.) in Earth, Atmosphere and Environment.

Recommendation: The Academic Affairs, Students Affairs and Personnel recommends Board of Trustee approval of the Request for the Deletion of the Bachelor of Science in Geography.

REQUEST FOR THE DELETION OF THE MASTER OF SCIENCE IN GEOGRAPHY

Summary: Public University governing boards have the final authority to delete major programs. If the board approves this deletion, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2023. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to delete the Master of Science (M.S.) in Geography offered through the Graduate School by the Department of Earth, Atmosphere and Environment in the College of Liberal Arts and Sciences.

Rationale: On December 7, 2021, the Board of Trustees approved the merger of the Department of Geographic and Atmospheric Sciences with the Department of Geology and Environmental Geosciences. With the merger of these departments, the Master of Science (M.S.) in Geography will be incorporated into the former Master of Science (M.S.) in Geology and Environmental Geosciences, which will be renamed to the Master of Science (M.S.) in Earth, Atmosphere and Environment. The M.S. degree prepares students for professional work relating to the earth, atmosphere and environment, or for further work leading to a doctorate. The degree may also be valuable to teachers of science in secondary schools and community colleges. Students currently enrolled and pursuing the Master of Science (M.S.) in Geography will have the option to complete their degree under their designated catalog year, or they may use their existing coursework toward the Master of Science (M.S.) in Earth, Atmosphere and Environment.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustee approval of the Request for the Deletion of the Master of Science in Geography.

REQUEST FOR THE DELETION OF THE DOCTOR OF PHILOSOPHY IN GEOGRAPHY

Summary: Public University governing boards have the final authority to delete major programs. If the board approves this deletion, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2023. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to delete the Doctor of Philosophy (Ph.D.) in Geography offered through the Graduate School by the Department of Earth, Atmosphere and Environment in the College of Liberal Arts and Sciences.

Rationale: On December 7, 2021, the Board of Trustees approved the merger of the Department of Geographic and Atmospheric Sciences with the Department of Geology and Environmental Geosciences. With the merger of these departments, the Doctor of Philosophy in Geography will be incorporated into the former Doctor of Philosophy in Geology, which will be renamed to Doctor of Philosophy in Earth, Atmosphere and Environment. The Doctor of Philosophy in Earth, Atmosphere and Environment prepares students to design, conduct and manage research projects with large, diverse data sets. A student seeking admission to the Ph.D. program in EAE must meet all the requirements for admission to the Graduate School and have earned a baccalaureate or master's degree in geography, geology, environmental science, meteorology, soil science, biology, chemistry, engineering, mathematics, physics, or related field from an accredited college or university.

Students currently enrolled and pursuing the Doctor of Philosophy (Ph.D.) in Geography will have the option to complete their degree under their designated catalog year, or they may use their existing coursework toward the Doctor of Philosophy (Ph.D.) in Earth, Atmosphere and Environment.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustee approval of the Request for the Deletion of the Doctor of Philosophy in Geography.

REQUEST TO CHANGE NAME OF BACHELOR OF SCIENCE IN GEOLOGY AND ENVIRONMENTAL GEOSCIENCES

Summary: Public University governing boards have the final authority to change the name of major programs. If the board approves this name change, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2023. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to change the name of the Bachelor of Science (B.S.) in Geology and Environmental Sciences to the Bachelor of Science (B.S.) in Earth, Atmosphere and Environment, offered by the Department of Earth, Atmosphere and Environment in the College of Liberal Arts and Sciences.

Rationale: On December 7, 2021, the Board of Trustees approved the merger of the Department of Geographic and Atmospheric Sciences with the Department of Geology and Environmental Geosciences. With the merger of these departments, the Bachelor of Science (B.S.) in Geography will be incorporated into the former Bachelor of Science (B.S.) in Geology and Environmental Geosciences, which will be renamed as Bachelor of Science (B.S.) in Earth, Atmosphere and Environment. Faculty and staff of both the geography and geology areas have been engaged in discussions for more than two years regarding how to best combine their strengths, better serve the students of NIU, and to expand and enhance the scope and impact of their research, engagement, and outreach. This innovative plan will expand research collaborations and success, attract new, high-quality undergraduate students to NIU, and support the function and enhance the impact of the Northern Illinois Center for Community Sustainability. The revisions to this program will make it adaptable to changing student demographics and demand for decades to come. The major in earth, atmosphere and environment offers the opportunity for students to develop a greater understanding of specific aspects of earth science, geology, geography, and atmospheric sciences by choosing electives for multiple and wide-ranging areas of study.

Students currently enrolled and pursuing the Bachelor of Science (B.S.) in Geology and Environmental Geosciences will have the option to complete their degree under their designated catalog year, or they may use their existing coursework toward the Bachelor of Science (B.S.) in Earth, Atmosphere and Environment.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustee approval of the Request to Change Name of Bachelor of Science in Geology and Environmental Geosciences.

REQUEST TO CHANGE NAME OF MASTER OF SCIENCE IN GEOLOGY

Summary: Public University governing boards have the final authority to change the name of major programs. If the board approves this name change, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2023. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to change the name of the Master of Science (M.S.) in Geology to the Master of Science (M.S.) in Earth, Atmosphere and Environment, offered through the Graduate School by the Department of Earth, Atmosphere and Environment in the College of Liberal Arts and Sciences.

Rationale: On December 7, 2021, the Board of Trustees approved the merger of the Department of Geographic and Atmospheric Sciences with the Department of Geology and Environmental Geosciences. With the merger of these departments, the Master of Science (M.S.) in Geography will be incorporated into the former Master of Science (M.S.) in Geology, which will be renamed as Master of Science (M.S.) in Earth, Atmosphere and Environment. Faculty and staff of both the geography and geology areas have been engaged in discussions for more than two years regarding how to best combine their strengths, better serve the students of NIU, and to expand and enhance the scope and impact of their research, engagement, and outreach. This innovative plan will expand research collaborations and success, attract new, high-quality graduate students to NIU, and support the function and enhance the impact of the Northern Illinois Center for Community Sustainability. The revisions to this program will make it adaptable to changing student demographics and demand for decades to come. The M.S. degree in earth, atmosphere and environment prepares students for professional work relating to the earth, atmosphere and environment, or for further work leading to a doctorate. The degree may also be valuable to teachers of science in secondary schools and community colleges.

Students currently enrolled and pursuing the Master of Science (M.S.) in Geology will have the option to complete their degree under their designated catalog year, or they may use their existing coursework toward the Master of Science (M.S.) in Earth, Atmosphere and Environment.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustee approval of the Request to Change Name of Master of Science in Geology.

REQUEST TO CHANGE NAME OF DOCTOR OF PHILOSOPHY IN GEOLOGY

Summary: Public University governing boards have the final authority to change the name of major programs. If the board approves this name change, the university will report it in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2023. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Description: The University proposes to change the name of the Doctor of Philosophy (Ph.D.) in Geology to the Doctor of Philosophy (Ph.D.) in Earth, Atmosphere and Environment, offered through the Graduate School by the Department of Earth, Atmosphere and Environment in the College of Liberal Arts and Sciences.

Rationale: On December 7, 2021, the Board of Trustees approved the merger of the Department of Geographic and Atmospheric Sciences with the Department of Geology and Environmental Geosciences. With the merger of these departments, the Doctor of Philosophy (Ph.D.) in Geography will be incorporated into the former Doctor of Philosophy (Ph.D.) in Geology, which will be renamed as Doctor of Philosophy (Ph.D.) in Earth, Atmosphere and Environment. Faculty and staff of both the geography and geology areas have been engaged in discussions for more than two years regarding how to best combine their strengths, better serve the students of NIU, and to expand and enhance the scope and impact of their research, engagement, and outreach. This innovative plan will expand research collaborations and success, attract new, high-quality graduate students to NIU, and support the function and enhance the impact of the Northern Illinois Center for Community Sustainability. The revisions to this program will make it adaptable to changing student demographics and demand for decades to come. The M.S. degree in earth, atmosphere and environment prepares students for professional work relating to the earth, atmosphere and environment, or for further work leading to a doctorate. The degree may also be valuable to teachers of science in secondary schools and community colleges.

Students currently enrolled and pursuing the Doctor of Philosophy (Ph.D.) in Geology will have the option to complete their degree under their designated catalog year, or they may use their existing coursework toward the Doctor of Philosophy (Ph.D.) in Earth, Atmosphere and Environment.

Recommendation: The Academic Affairs, Students Affairs and Personnel Committee recommends Board of Trustee approval of the Request to Change Name of Doctor of Philosophy in Geology.

GRAPHIC DESIGN SERVICES

Summary: The Division of Enrollment Management, Marketing and Communications (EMMC) is requesting expenditure authority not-to-exceed \$1.35M over FY24, 25, and 26 to extend graphic design services with the firm Simple Truth. EMMC hired Simple Truth in FY23 to support the graphic design of all digital paid advertising. NIU's increased investment in digital and out-of-home advertising over the last several years required this additional support. Now the Division will lead the promotion of online programs, and EMMC plans to expand its work with Simple Truth to support these additional advertising campaigns.

Background: Graphic design is an important contribution to all recruitment, marketing and communication activities. Done well, it visually supports NIU's messages and assists in engaging the intended audience. Quality graphic design enables the university to better compete in what is already a very competitive marketplace. NIU's increased investment in advertising requires more graphic design services. Initially, it placed extra demands on the Creative Services team that were met, but unsustainable. If NIU is to maintain or increase its new level of investment in advertising, it requires additional graphic design services. A small team of graphic designers service NIU's entire campus community to support both external and internal promotions of academic offerings and campus programs, not including advertising. EMMC sought outside support for graphic design services last year to execute the Division's advertising plans.

In FY23, EMMC will spend approximately \$229K with Simple Truth, a BEP (majority woman-owned) Chicago-based creative branding agency the university has previously partnered with on graphic design, brand activation, website design, and audience research. They have been designing all assets required to fulfill this year's digital and paid advertising plan, including some out-of-home ads. For multiple campaigns, they determine key parameters for the project, develop a timeline to meet deadlines, and support copywriting efforts as it relates to the graphic design concepts created for each campaign.

As NIU faces the end of an existing contract for such services, EMMC has been approached to lead and support the online academic program advertising. These campaigns will require an additional investment in graphic design services. Moreover, the future growth of NIU's advertising efforts in general will require increased services of this kind.

Funding: Institutional Funds: \$1,350,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Graphic Design Services.

FISCAL YEAR 2024 NIU FOUNDATION PROFESSIONAL SERVICES CONTRACT

Summary: The Northern Illinois University Foundation (Foundation), an independent organization, operates to support the mission of Northern Illinois University through fundraising, asset management, and related support activities. Among such activities, the Foundation coordinates and manages cultivation, solicitation, acknowledgment, and receipting of all gifts; administers, invests, and disburses funds; maintains all constituent records; manages and coordinates communications with constituents; and performs alumni relations activities. The FY24 contract amount is \$743K, which covers salaries and other expenses for designated employees as well as a portion of programmatic services in accordance with contract obligations.

Background: The university and the Foundation annually enter into a contract which serves to outline the fundraising services and activities to be provided by the Foundation on behalf of the university each year. This contractual agreement is in accordance with the Legislative Audit Commission Guidelines. The amount represents no change over FY23.

Funding: Institutional Funds

Northern Illinois University Foundation – DeKalb, IL..... \$743,600

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Fiscal Year 2024 NIU Foundation Professional Services Contract.

Amounts Approved:

FY23: \$743,600

FY22: \$743,600

FISCAL YEAR 2024 CISCO MAINTENANCE CONTRACT

Summary: The Division of Information Technology (DoIT) requests permission to purchase Cisco maintenance for hardware, software, and 24/7 technical support for FY24 in the amount of \$550K.

Background: To provide a robust, dependable, and stable network, the university's enterprise network infrastructure requires Cisco vendor maintenance support. The maintenance agreement, procured annually via a one-year term through an Illinois Public Higher Education Cooperative (IPHEC) approved vendor, supports the entire campus-based network equipment infrastructure to assist DoIT in troubleshooting, break-fix repairs, and replacement of hardware in case of failure.

Funding: Institutional Funds

Presidio, Peoria, IL.....\$550,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Fiscal Year 2024 Cisco Maintenance Contract.

Amounts Approved:

FY23: \$400,000

FY22: \$387,000

FY21: \$350,000

**FISCAL YEAR 2024 DIVISION OF INFORMATION TECHNOLOGY ADOBE
ENTERPRISE TERM LICENSE AGREEMENT**

Summary: The Division of Information Technology requests permission to enter into a three-year agreement with CDW-G for Adobe software suite during the license period July 1, 2023 to June 30, 2026. The suite of products included in this Enterprise Term License Agreement (ETLA) are Adobe Creative Cloud and Adobe Sign, greatly expanding access to Adobe application portfolio for NIU students, faculty, and staff. Adobe Sign, in particular, will be the designated electronic signature solution at NIU, as part of ongoing electronic workflow modernization. The amount of the contract will be \$840K over the course of the term.

Background: The licensing under this agreement has enabled the broad expansion of licensing for faculty/staff and students, growing from approximately 1,000 licenses to more than 10,000. This allows much more access for NIU to use Adobe’s Document Cloud, Creative Cloud portfolio and Adobe Sign application services. The Adobe Acrobat Document Cloud service is licensed to Faculty/Staff, and allows for PDF document creation, edit, fill, and sign functionality. Adobe’s Creative Cloud is licensed for Students and Faculty in coursework that requires functionality like Photoshop, Illustrator, InDesign, and more.

The expansion of Adobe’s portfolio of products, at a much lower unit price, is the result of ongoing efforts by Illinois Partnership for the Advancement of Technology in Higher Education (IPATHE), which is the State of Illinois university chief information officer’s group working collaboratively to develop shared purchasing solutions to drive value, enhance digital access and equity, and reduce unit pricing, all of which is achieved through this agreement. This initiative aligns with the University’s goals in that it has created a shared services agreement, and positioned NIU as a leader and valuable resource to other institutions in Illinois. It also meets the Sustainability Strategy 8 in Thriving IL by expanding joint purchases among institutions.

The Adobe shared purchasing initiative is in alignment with important strategies within higher education in Illinois, to bring institutions together to drive increased value in a more comprehensive, cost-effective way. This Adobe deal achieves that important strategic imperative.

Funding: Institutional Funds

CDW-G.....\$840,000

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Fiscal Year 2024 Division of Information Technology Adobe Enterprise Term License Agreement.

**TRANSFORMATIVE EXPERIENCES PROMOTING EQUITY,
INCLUSION AND BELONGING**

Presentation by Vernese Edghill-Walden, Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer at Northern Illinois University, Doris Houston, Chief Equity and Inclusion Officer at Illinois State University, and Tina Williams, Chair, Department of Management and Quantitative Methods at Illinois State University.

QUARTERLY FINANCIAL SUMMARY FISCAL YEAR 2023 THIRD QUARTER AS OF MARCH 31, 2023

Background: With the March 31, 2023 conclusion of the third quarter of FY23, the University is now able to update the Board of Trustees on NIU's financial performance relative to the current year budget and prior year actuals.

In addition to presenting multiple views of the FY23 budget, this financial summary also provides an update on the FY23 budget itself. The budget presented in the attached tables reflects known adjustments to revenue and spending plans through March 31, 2023. This revised budget includes \$435.6M in revenues and \$447.3M in expenses resulting in an \$11.8M deficit. Budget adjustments reflected in this financial update include a reduction of Miscellaneous & Investment Income, changes to Gifts, Grants, & Contracts revenue expectations and associated increases in Scholarships spending linked to remaining federal and state pandemic relief funding made available to NIU students, and personnel and programming investments in the Division of Academic Diversity, Equity and Inclusion.

In addition to displaying recorded financial activity through the third quarter, the tables below also provide a projection of full-year results based on current trends and data. For the full year, a projected deficit of \$13.9M is expected, exceeding the budgeted deficit by \$2.2M. The expected deficit is in-line with expectations based on second quarter results. The projected structural deficit has grown by approximately \$1.5M compared to the second quarter, primarily because of increases in FY23 personnel costs.

Overall, revenue projections continue to fall short of budget. While Tuition & Student Fees are expected to exceed budget by \$4M, Sales and Miscellaneous & Investment Income are projected to fall \$3.2M and \$10.9M short of goals, respectively. The shortfalls in Miscellaneous & Investment Income relative to departmental budgets is larger than appears on the following table because of better-than-expected performance in investment and interest income. Administration is working closely with divisions where these shortfalls are taking place to understand causes of the revenue misses and to adapt budget practices accordingly.

Three significant adjustments to the full-year projection, relative to the second quarter, are reported in the following expense categories:

- **Personnel Services:** The recent ratification of the United Faculty Alliance collective bargaining agreement increased projected personnel expenses for the current fiscal year. While this increase in structural expenses impacts the projection, the FY23 budget for Personnel Services is unchanged by the agreement's ratification because a reserve was created as part of the FY23 budget to offset potential labor agreement costs.
- **Contractual Services:** As reported in previous quarterly financial summaries, Contractual Services spending took place at a very brisk pace early in the fiscal year. It was not clear whether the pace of spend was accelerated relative to prior years because of increased overall demand for the FY23 fiscal year or if the accelerated spending was temporary, potentially the result of supply chain issues improving from pandemic-related shortages. The overall spending on Contractual Services normalized through the second

and third quarters, resulting in a lower full-year projection than was reported in the second quarter.

- **Scholarships:** Final Federal and State pandemic-relief funding for student scholarships is evident in these third quarter results and the full-year projection. Both the Scholarships expense and Gifts, Grants, and Contracts revenue budgets and projections are adjusted from the second quarter financial summary to account for this ongoing distribution of pandemic-relief aid to students.

Overall, full-year expense projections are better than budget by \$6.9M (1.5%), offsetting most (76%) of the revenue shortfall.

Specific revenue and expense categories in the following tables are consistent with the descriptions below. For reference, an explanation of each table and its content concludes this board item.

Revenues

1. **Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
2. **Gifts, Grants, and Contracts:** Includes on-campus scholarships, research grants and associated indirect cost pools, Pell Grants, and sub-contract fees for research.
3. **Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.
4. **Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
5. **Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.
6. **Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.
7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
8. **State of Illinois:** Represents the annual state appropriation to public universities.

Expenses

1. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
2. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
3. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.

4. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
5. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
6. **Automotive:** Includes the cost to rent cars, vans, and buses from the University transportation department.
7. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's cash position).
8. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
9. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.
10. **Capital Repairs:** Includes the cost of centralized capital repair activities.
11. **Debt Service:** Includes debt service payment on bonds, certificates of participation, and performance contracts.
12. **Miscellaneous Expense:** Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

Northern Illinois University
as of March 31, 2023
All Funds, All Types Financial Summary
(\$ in thousands)

	<u>Current Year FY23</u>			<u>Prior Year FY22</u>		<u>Current Year FY23</u>		
	<u>Month</u>			<u>Month</u>		<u>Annual</u>		
	<u>YTD Actuals</u>	<u>YTD Budget</u>	<u>Variance</u>	<u>YTD Actuals</u>	<u>Variance</u>	<u>YTD Forecast</u>	<u>Budget</u>	<u>Variance</u>
Revenue								
Tuition & Student Fees	\$ 159,923	\$ 157,498	\$ 2,424	\$ 161,116	\$ (1,194)	\$ 164,724	\$ 160,733	\$ 3,990
Gifts, Grants, & Contracts	58,862	60,414	(1,552)	76,163	(17,301)	76,119	75,727	392
Other Fees and Fines	4,833	4,684	149	3,923	909	5,749	5,931	(182)
Sales	27,158	30,971	(3,813)	22,894	4,264	32,268	35,482	(3,214)
Rental & Room Income	33,816	33,215	601	30,847	2,969	34,881	34,295	587
Gate Receipts & Commissions	3,466	4,045	(579)	1,945	1,521	4,492	4,288	204
Miscellaneous & Investment Income	12,158	18,235	(6,077)	7,217	4,942	16,051	26,909	(10,858)
State of Illinois	88,641	90,880	(2,239)	84,251	4,391	92,195	92,195	-
Total Revenue	\$ 388,857	\$ 399,942	\$ (11,086)	\$ 388,357	\$ 500	\$ 426,479	\$ 435,558	\$ (9,080)
Expenses								
Cost of Sales	\$ 4,013	3,938	\$ 75	\$ 2,705	\$ 1,308	\$ 5,612	\$ 5,558	\$ 54
Personnel Services	178,399	183,925	(5,526)	168,633	9,766	238,251	243,235	(4,984)
Contractual Services	59,172	56,856	2,316	51,738	7,434	82,362	84,056	(1,694)
Commodities	4,100	4,963	(863)	3,811	289	5,876	7,528	(1,652)
Travel	3,133	2,552	581	2,254	879	4,144	3,830	314
Automotive	583	1,005	(422)	829	(247)	728	1,154	(427)
Scholarships	67,431	67,257	173	78,398	(10,967)	69,614	68,833	781
Telecommunications	433	323	110	319	114	570	534	36
Equipment & Library Materials	5,705	5,520	184	5,895	(190)	9,874	9,838	37
Capital Repairs	1,504	1,287	217	773	731	4,547	4,547	-
Debt Service	8,586	7,773	813	6,872	1,714	17,812	18,001	(189)
Miscellaneous Expense	1,021	138	883	646	375	1,064	220	845
Total Expenses	\$ 334,079	\$ 335,538	\$ (1,459)	\$ 322,875	\$ 11,204	\$ 440,454	\$ 447,333	\$ (6,879)
Net Revenue	\$ 54,777	\$ 64,404	\$ (9,627)	\$ 65,482	\$ (10,705)	\$ (13,976)	\$ (11,774)	\$ (2,201)

Northern Illinois University
as of March 31, 2023
Structural Financial Summary (Non-Grants)
(\$ in thousands)

	<u>Current Year FY23</u>			<u>Current Year FY23</u>		
	<u>Month</u>			<u>Annual</u>		
	<u>YTD Actuals</u>	<u>YTD Budget</u>	<u>Variance</u>	<u>YTD Forecast</u>	<u>Budget</u>	<u>Variance</u>
Revenue						
Tuition & Student Fees	\$ 159,923	\$ 157,498	\$ 2,424	\$ 164,724	\$ 160,733	\$ 3,990
Gifts, Grants, & Contracts	3,967	\$ 3,222	746	5,900	5,508	392
Other Fees and Fines	4,771	4,633	138	5,687	5,866	(179)
Sales	21,243	26,055	(4,811)	25,575	29,849	(4,274)
Rental & Room Income	33,816	33,215	601	34,881	34,295	587
Gate Receipts & Commissions	3,281	3,803	(523)	4,214	4,010	204
Miscellaneous & Investment Income	9,928	15,614	(5,686)	13,809	23,041	(9,232)
State of Illinois	88,641	91,200	(2,559)	92,195	92,195	-
Total Revenue	\$ 325,569	\$ 335,240	\$ (9,671)	\$ 346,984	\$ 355,496	\$ (8,512)
Expenses						
Cost of Sales	4,013	\$ 3,938	\$ 75	\$ 5,612	\$ 5,558	\$ 54
Personnel Services	157,746	164,805	(7,059)	212,044	216,575	(4,531)
Contractual Services	54,506	52,395	2,111	74,876	76,507	(1,631)
Commodities	3,685	4,602	(917)	5,215	6,833	(1,618)
Travel	2,756	2,348	409	3,621	3,355	266
Automotive	554	964	(410)	644	1,070	(427)
Scholarships	34,963	34,242	722	35,770	34,988	782
Telecommunications	270	267	3	407	357	50
Equipment & Library Materials	4,288	3,504	784	6,740	6,673	68
Capital Repairs	-	-	-	-	-	-
Debt Service	8,586	7,773	813	17,812	18,001	(189)
Miscellaneous Expense	428	(119)	548	471	(331)	802
Total Expenses	\$ 271,796	\$ 274,718	\$ (2,922)	\$ 363,213	\$ 369,588	\$ (6,375)
Net Revenue	\$ 53,773	\$ 60,521	\$ (6,749)	\$ (16,229)	\$ (14,093)	\$ (2,137)

Northern Illinois University
as of March 31, 2023
Non-Structural Financial Summary (Non-Grants)
(\$ in thousands)

	<u>Current Year FY23</u>			<u>Current Year FY23</u>		
	Month			Annual		
	<u>YTD Actuals</u>	<u>YTD Budget</u>	<u>Variance</u>	<u>YTD Forecast</u>	<u>Budget</u>	<u>Variance</u>
Revenue						
Tuition & Student Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts, Grants, & Contracts	1,299	804	494	1,375	1,375	-
Other Fees and Fines	62	51	11	63	65	(2)
Sales	5,914	4,917	998	6,693	5,633	1,060
Rental & Room Income	-	-	-	-	-	-
Gate Receipts & Commissions	186	264	(78)	278	278	(0)
Miscellaneous & Investment Income	2,231	2,621	(391)	2,242	3,868	(1,626)
State of Illinois	-	-	-	-	-	-
Total Revenue	\$ 9,691	\$ 8,657	\$ 1,034	\$ 10,651	\$ 11,219	\$ (568)
Expenses						
Cost of Sales	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Personnel Services	2,048	2,018	30	2,198	2,652	(453)
Contractual Services	1,157	1,133	24	1,591	1,654	(63)
Commodities	108	128	(20)	156	190	(33)
Travel	158	113	45	210	162	48
Automotive	-	-	-	-	-	-
Scholarships	-	0	(0)	-	0	(0)
Telecommunications	-	27	(27)	-	36	(36)
Equipment & Library Materials	903	527	377	1,037	1,003	34
Capital Repairs	1,294	1,003	292	3,205	3,205	-
Debt Service	-	-	-	-	-	-
Miscellaneous Expense	-	-	-	-	-	-
Total Expenses	\$ 5,668	\$ 4,947	\$ 721	\$ 8,397	\$ 8,901	\$ (504)
Net Revenue	\$ 4,024	\$ 3,710	\$ 314	\$ 2,254	\$ 2,318	\$ (64)

Northern Illinois University
as of March 31, 2023
Grants Financial Summary
(\$ in thousands)

	Current Year FY23			Prior Year FY22		Current Year FY23		
	Month			Month		Annual		
	YTD Actuals	YTD Budget	Variance	YTD Actuals	Variance	YTD Forecast	Budget	Variance
Revenue								
Tuition & Student Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts, Grants, & Contracts - Pell Grant	26,118	19,599	6,519	25,104	1,014	27,058	24,400	2,658
Gifts, Grants, & Contracts - Non Pell Grant	27,478	35,699	(8,221)	42,427	(14,948)	41,786	44,444	(2,658)
Other Fees and Fines	-	-	-	-	-	-	-	-
Sales	-	-	-	-	-	-	-	-
Rental & Room Income	-	-	-	-	-	-	-	-
Gate Receipts & Commissions	-	-	-	-	-	-	-	-
Miscellaneous & Investment Income	-	-	-	-	-	-	-	-
State of Illinois	-	-	-	-	-	-	-	-
Total Revenue	\$ 53,596	\$ 55,299	\$ (1,702)	\$ 67,531	\$ (13,934)	\$ 68,844	\$ 68,844	\$ -
Expenses								
Cost of Sales	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Personnel Services	18,605	17,108	1,498	15,762	2,844	24,008	24,008	-
Contractual Services	3,509	2,931	579	2,397	1,112	5,895	5,895	-
Commodities	307	251	56	231	76	505	505	-
Travel	219	97	123	89	130	313	313	-
Automotive	29	45	(16)	41	(13)	84	84	-
Scholarships - Pell Grant	26,101	26,411	(310)	24,973	1,128	27,058	27,058	-
Scholarships - Non Pell Grant	6,367	6,624	(257)	21,852	(15,485)	6,786	6,786	-
Telecommunications	163	24	139	22	141	163	141	22
Equipment & Library Materials	513	1,546	(1,033)	1,424	(911)	2,097	2,162	(65)
Capital Repairs	210	331	(122)	305	(95)	1,342	1,342	-
Debt Service	-	-	-	-	-	-	-	-
Miscellaneous Expense	593	497	96	458	135	593	550	43
Total Expenses	\$ 56,615	\$ 55,862	\$ 753	\$ 67,554	\$ (10,939)	\$ 68,844	\$ 68,844	\$ -
Net Revenue	\$ (3,019)	\$ (564)	\$ (2,455)	\$ (23)	\$ (2,996)	\$ -	\$ -	\$ -

The following description of the above tables is provided for reference.

All Funds, All Types Financial Summary

This table is a “roll-up” of the other three tables and includes the structural budget, non-structural budget, and grants budget. The table includes a summary of FY23 activity through March 31, 2023 compared to a year-to-date budget, a summary of third quarter activity from the prior fiscal year (FY22), and a comparison to the FY23 budget, including a full-year projection of performance based on the pace of revenues and spending throughout the third quarter of FY23. It is comparable to tables provided in financial summary documents for recent fiscal years.

The intent of the All Funds, All Types Financial Summary is to maintain a familiar, complete view of NIU’s budget and financial performance comparable to information from past fiscal years.

Structural Financial Summary

This table identifies recurring revenues and expenses of the University. This data was gathered for each budgeted fund of the University and for each revenue and expense category presented in the following tables. In total, 97.6% of non-grants budgeted revenues in FY23 and 98.2% of non-grants budgeted expenses were identified as structural. Examples of structural revenues include Tuition & Fees, Rental & Room Income, and the State of Illinois appropriation to support NIU operations. Examples of structural expenses include 98.8% of Personnel Services spend, Scholarships expense, and Debt Service.

The intent of the Structural Financial Summary is to create a budget framework capable of anchoring a transition to multi-year planning and budgeting. Through this focus on recurring revenues and expenses, a forward-looking understanding of resources and commitments can be leveraged for multi-year planning purposes. This presentation attempts to separate recurring and non-recurring expenses to enhance transparency and facilitate multiyear planning. Further refinement of this approach will be needed to align definitions with recommendations in the recently released Budget Resource Planning Group report.

Non-Structural Financial Summary

The Non-Structural Financial Summary identifies non-recurring or temporary revenues and expenses of the University. In total, 2.4% of non-grants budgeted revenues in FY23 and 1.8% of non-grants budgeted expenses were identified as non-structural. Examples of non-structural revenues include 33% of Gifts, Grants, & Contracts revenue and 23.5% of Sales income. Examples of non-structural expenses include 25.1% of Equipment & Library Materials and 5.8% of Travel. Of special note, Capital Repairs are preliminarily classified as non-structural pending additional analysis of budget and spending reported in this category.

The intent of the Non-Structural Financial Summary is to identify temporary resources that may be available for strategic investment and to identify short-term expenses that may create strain for structural or non-structural resources.

Grants Financial Summary

The final table presented below reports activity and budget of three types:

- Pell Grant Scholarships Expense: Revenues and expenses attributable to the Pell Grant program are specifically identified in the table. While Pell Grant expense is fully offset by associated revenues, there are often timing differences in the application of aid and receipt of supporting revenue. For this reason, the Pell Grant may at times appear to

operate in deficit or surplus for any single fiscal year or quarterly period. For FY23, Pell Grant activity is budgeted at \$24.4M.

- Non-Pell Grant Scholarships Expense: Some student aid not associated with the Pell Grant appears as grant activity. This is a minority of grants scholarship expense and associated revenues and is subject to similar timing differences as Pell Grant activity, as described above.
- Sponsored Programs: Grants Financial Summary activity not associated with scholarships represents revenues and expenses of grant-based programs, including sponsored research, instruction, and other activities. Similar to the Pell Grant, sponsored programs revenues and expenses may not align in a given fiscal year or quarter because these grant agreements often span multiple fiscal years. As a default assumption, all budgeted FY23 sponsored programs revenue, \$35M in total, is projected to be spent during the fiscal year.

Please note that revenue and expenses in the Grants Financial Summary are not classified as either structural or non-structural at this time. Additional analysis and consideration may result in the classification of some grants activities as structural.

PERIODIC REPORT ON INVESTMENTS FOR PERIOD ENDING MARCH 31, 2023

In accordance with the University's Investment and Cash Management policy, this report on cash and investments is submitted at the end of each calendar quarter to the Board of Trustees. This report is required by *Board of Trustees Regulations* (Section V, Subsection D). The following schedules are included:

- Cash and Investment Holdings Summary as of March 31, 2023
- Investment Earnings for the quarter ended March 31, 2023

The Investment Advisory Group is responsible for monitoring compliance with the University's Investment and Cash Management Policy. The investment goals, as stated in the policy, are to insure the preservation of principal and maintain compliance with applicable state laws, rules, regulations, debt covenants and *Board of Trustees Regulations* while meeting cash flow needs and earning a yield acceptable to conservative investment managers.

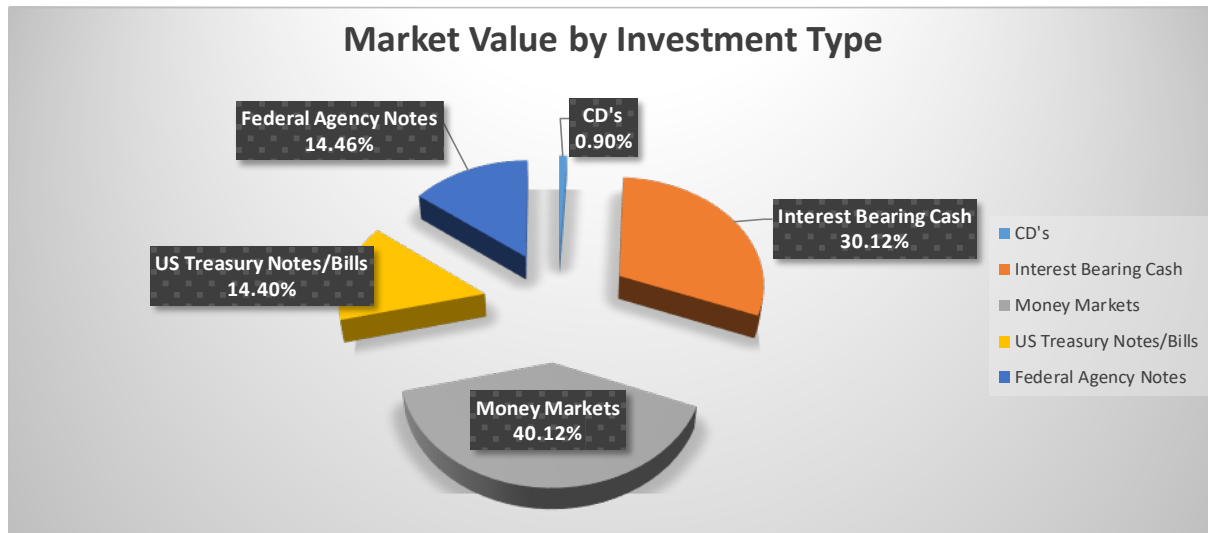
The *Cash and Investment Holdings Summary* at March 31, 2023 shows NIU's total holdings at just over \$171M. Of the total holdings balance, approximately \$109M is available for daily operations providing for more than 90 days cash on hand. This operating cash balance is sufficient to meet the university's current obligations for approximately three months. The remaining balance is restricted per collateralization requirements and contractual obligations. The total holdings balance is up \$1M from the balance at March 31, 2022. The increase is a result of timing differences in the receipt of State appropriations and Federal relief funding, offset by overall increases in operating cash disbursements.

The *Investment Earnings* report shows income of \$1.7M and an overall annualized rate of return of 4.43% for the third quarter. This represents an increase in interest earned of approximately \$1.6M when compared to the same quarter of FY22. The increase in interest earned is primarily due to increasing market investment yields. Operating funds are invested in money markets, fixed income investments, and interest-bearing savings accounts to balance liquidity needs with investment earnings.

Indicators of economic activity point to modest growth, while job gains have been strong, and the unemployment rate remains low. However, inflation continues to remain elevated. To combat the high inflation, the Federal Open Market Committee at its March 2023 meeting raised the target range for the federal funds interest rate of 4.75% to 5%. The Committee anticipates some additional policy firming may be appropriate to attain a stance of monetary policy that is sufficiently restrictive to return inflation to 2% over time. Economists believe the Committee may increase rates another 25 basis points at its May 2-3, 2023, meeting. Management is continuously monitoring market changes that will affect the university's cash management and investment goals. Management is committed to the fiscal sustainability of the university and is reviewing all available options for strengthening the university's cash position.

NORTHERN ILLINOIS UNIVERSITY
CASH and INVESTMENT HOLDINGS SUMMARY
 March 31, 2023
 For Fiscal Year 2023

Investment Type	Purch Price/ Ending Bal *	Book Value **	Market Value ***
CD's:			
Local Funds	\$ 1,540,425	\$ 1,540,425	\$ 1,540,425
Federal Agency Notes:			
Local Funds	\$ 24,484,372	\$ 24,818,256	\$ 24,805,380
Interest Bearing Cash Accounts:			
Local Funds	\$ 51,620,074	\$ 51,620,074	\$ 51,620,074
Money Markets:			
Contributory Trust	\$ 9,838,590	\$ 9,838,590	\$ 9,838,590
Escrow Account	1,203,764	1,203,764	1,203,764
Local Funds	57,720,573	57,720,573	57,720,573
	<u>\$ 68,762,927</u>	<u>\$ 68,762,927</u>	<u>\$ 68,762,927</u>
US Treasury Notes/Bills:			
Local Funds	\$ 24,477,203	\$ 24,680,963	\$ 24,675,087
TOTAL INVESTMENT HOLDINGS	\$ 170,885,001	\$ 171,422,645	\$ 171,403,893
Non-interest Bearing Cash	\$ 424,565	\$ 424,565	\$ 424,565
TOTAL CASH & INVESTMENT HOLDINGS	\$ 171,309,566	\$ 171,847,210	\$ 171,828,458
TOTAL REPORTED FOR 3/31/2022	\$ 170,366,497	\$ 170,339,023	\$ 170,334,935



* Amounts per Bank and Investment Statements

** Purchase price, net of accumulated amortization of premiums and discounts

*** Estimated price for which an investment would sell in the marketplace

Assets reported in the CASH and INVESTMENT HOLDINGS SUMMARY comply with the Illinois Public Funds Investment Act (30 ILCS 235) and the NIU Investment and Cash Management Policy

NORTHERN ILLINOIS UNIVERSITY
INVESTMENT EARNINGS
For Fiscal Year 2023
January 1, 2023 - March 31, 2023

	March 31 Ending Balance	Qtr 3 Average Daily Balance	Percent of Portfolio	Qtr 3 Income Earned	Annualized Rates of Return			
					FY2023			FY 2022
					3rd Qtr	2nd Qtr	1st Qtr	4th Qtr
Short-Term Investment Accounts								
Illinois Funds	\$ 57,720,573	\$ 41,725,212	27.34%	\$ 461,425	4.485%	3.491%	2.114%	0.764%
Investment Accounts - Financial Institutions	\$ 51,620,074	\$ 49,295,058	32.30%	\$ 573,080	4.715%	3.406%	2.023%	0.778%
Contributory Trust	\$ 9,838,590	\$ 9,838,809	6.45%	\$ 106,568	4.393%	3.526%	2.020%	0.651%
Escrow Account	\$ 1,203,764	\$ 1,199,727	0.79%	\$ 12,356	4.177%	3.217%	0.000%	0.000%
Investment Accounts - Project Funds	\$ -	\$ -	0.00%	\$ -	0.000%	0.000%	1.408%	0.235%
CD's	\$ 1,540,425	\$ 1,531,319	1.00%	\$ 14,562	3.857%	2.763%	1.206%	0.206%
ST Investment Accounts Total	\$ 121,923,426	\$ 103,590,125	67.88%	\$ 1,167,991	4.573%	3.444%	2.049%	0.753%
Fixed Income Securities								
Local Funds	\$ 48,961,575	\$ 49,010,371	32.12%	\$ 500,372	4.141%	2.770%	2.102%	0.567%
Fixed Income Securities Total	\$ 48,961,575	\$ 49,010,371	32.12%	\$ 500,372	4.141%	2.770%	2.102%	0.567%
Non-interest Bearing Cash	\$ 424,565	-	-	-				
COMBINED TOTAL	\$ 171,309,566	\$ 152,600,496	100.00%	\$ 1,668,363	4.43%	3.25%	2.07%	0.71%

Assets reported in INVESTMENT EARNINGS comply with the Illinois Public Funds Investment Act (30 ILCS 235)
and the NIU Investment and Cash Management Policy

FISCAL YEAR 2024 UNIVERSITY BUDGET PRELIMINARY SUMMARY

In preparation for June Board of Trustees consideration of the FY24 Internal Budget, University leadership continues to consult campus partners and the Office of Budget and Financial Planning to advance an all-funds fiscal year budget proposal. While some inputs into this budget proposal remain under development, several known and accepted parameters exist. This preliminary budget summary document reviews major assumptions and parameters and associated activities and initiatives that will shape both the FY24 budget and future multi-year budget planning efforts.

FY24 Revenue Budget Drivers

Four major revenue categories for the FY24 budget and relevant assumptions are outlined below:

State of Illinois Appropriation: Consistent with the 7% increase in appropriations proposed by Governor Pritzker in February, the FY24 budget plan anticipates \$98.3M in operating appropriations, a \$6.45M increase over the current fiscal year. The FY24 State of Illinois budget, including this proposed level of appropriation, is not yet final and may not be adopted until June. For reference, State appropriations represent 21.6% of projected FY23 all-funds NIU revenues.

Tuition and Student Fees: A revenue projection model for tuition and student fees informs the FY24 revenue budget based on anticipated levels of enrollment at both the undergraduate and graduate levels. Presently, the assumption utilized by this model is that full-year headcount enrollment will be flat to Fall 2022 10-day count census levels which is potentially better than our initial target of a 5% decrease. Enrollment projection updates are expected over the next several weeks as additional data on applications, acceptances, and confirmations is evaluated against the trends of recent admissions cycles. Tuition and fee rate information for the 2023-24 academic year were set by the Board of Trustees in February and April and any approved changes are reflected within the revenue projection model. Actual enrollments will be known in September as the 10-day census is finalized. A positive or negative 1% change in enrollment from this assumption is associated with a \$1.29M change in anticipated tuition and fee revenues. For reference, tuition and Student Fees represent 38.6% of projected FY23 revenues.

Auxiliary/Local Revenues: Beginning in March, units across campus began projecting and recording expected non-tuition and fee FY24 revenues using budget development worksheets. These revenues, derived from services like student housing and dining and entrepreneurial activities of colleges and units like Outreach, Engagement and Regional Development, comprise a significant portion of total university revenues - approximately 23% of projected FY23 revenues. Many of these revenue expectations utilize similar enrollment projections as tuition and student fee projections.

Gifts, Grants, & Contracts: Primarily representing sponsored research and instruction and State and Federal financial aid programs like the Pell Grant, this restricted-use revenue category is expected to fully support associated expenses over the entirety of a fiscal year. Estimates for these activities remain under development. For reference, Gifts, Grants, & Contracts represent 17.8% of projected FY23 revenues but that proportion is expected to decrease in FY24 with the exhaustion of federal and state pandemic relief grants.

FY24 Expense Budget Drivers

University leadership continues to work through budget priorities to identify strategies that will align with the University goals. The following three broad categories are of special note to the still-developing FY24 expense budget:

Education and General Operations Support: Revenue received from State Appropriations and most tuition and student fee revenues are available to support the Education and General operating activities of the University. The distribution of these revenues between and across University divisions is currently under consideration by senior university leadership. Personnel Services and Contractual Services are expected to be the largest Education and General categories in FY24.

- For Personnel Services, recently ratified collective bargaining agreements and FY24 non-represented employee increments planned for consideration by the Board will put pressure on personnel budgets. Additionally, three existing union contracts are scheduled to expire at the conclusion of FY23. Personnel Services typically account for 54% of all expenses.
- Contractual Services spending includes software licenses, conference registration fees, building and grounds repairs and maintenance and other services procured from external contractors. Many of these expenses have increased with inflation since the COVID-19 pandemic. Contractual Services spending is projected at 19% of all FY23 expenditures.

Scholarship Support: University revenues combine with State and Federal revenues to support annual scholarship program budgets. NIU provides institutional aid to students to promote affordability and, in some cases, to earn state matching funds that may also be available, including State funds through the AIM High program. The institutional aid and scholarships budget for FY24 continues to be developed. Additionally, the AIM High program is being considered for renewal and potential modification by State policymakers.

Debt Service: Based on schedules set during two recent bond refinancings, debt service obligations for the university are known for FY24. Overall, debt service expenditures will increase in FY24 to \$22.1M from \$17.8M in FY23.

Based on these factors and information currently under development, the proposed FY24 budget planned for presentation at the June Board of Trustees meeting will result in a deficit. Its consideration and adoption will serve as a springboard from which the recent findings and recommendations of the Budget Planning Resource Group (BPRG) can be leveraged toward creating and enacting a structurally balanced, multi-year budget plan and process. One of the recommendations of the BPRG is the continuation of an Innovation fund to incentivize programs that grow revenues and encourage inventive ways of delivering University services.

Consistent with established practice, the Board of Trustees will receive regular reports on progress toward FY24 budget plans and the development of a multi-year budget approach. The tentative plan outlined below includes FY24 budget-related progress reports to the Board of Trustees and its Finance, Audit, Compliance, Facilities and Operations (FACFO) Committee:

- June (Board of Trustees): Proposed FY24 Budget Framework
- November (FACFO): First Quarter Financial Summary
- February (FACFO): Second Quarter Financial Summary
- May (FACFO): Third Quarter Financial Summary
- November (FACFO): Fourth Quarter (Full Year) Financial Summary

CAMPUS PRINTER LEASE PROGRAM UPDATE

The Division of Information Technology (DoIT) Integrated Media Technologies previously requested, and was granted, Board of Trustee authorization at its April 3, 2023 meeting to issue an order for a new 60-month lease for the campus printer program, not-to-exceed \$4.1M over five years beginning May 2023. While funding remains the same, this item is providing clarification regarding vendor selection.

As opposed to contracting directly with prior provider Gordon Flesch Co., DoIT will partner with Ricoh, with a subcontract to Gordon Flesch Co., which will utilize pricing from the Illinois Public Higher Education Cooperative (IPHEC) contract to provide all hardware, software, service, and leasing for the campus printer program. All other information presented in the approved item from April 3, 2023 remains the same. This new program will consist of approximately 325 selected Ricoh multi-function devices (MFD), PaperCut Output Management Software, and Transact NFC Proximity Card Readers. Peripheral devices, such as fax boards and networking cards, are also included.

This new program will be provided as a 60-month lease. Commensurate with the current annual volume of 6,000,000 images, the base lease rate includes 400,000 monochrome and 100,000 color images per month, hardware, software, toner, and maintenance. Volumes beyond the base allowance will be charged monthly at a per copy rate.

Implementation of this new lease will require removal of the existing 410 Canon devices and strategic installation of 325 new Ricoh devices for use by students and administration. To help minimize the disruption, the new lease agreement is effective as of May 2023, the end of the Spring 2023 semester, when the campus population is smaller.

LONDON INTERBANK OFFERED RATE TRANSITION FOR SERIES 2020A BONDS

Overview and Summary: Northern Illinois University (NIU) has a stable debt portfolio. As shown in the table below, the majority of its debt is in fixed rate, tax-exempt bonds. The exception is the Series 2020A bonds, whose rates float based on the 1-Month London Interbank Offered Rate (LIBOR) index. However, on June 30, 2023, the 1-Month LIBOR will be sunsetting. The cessation of LIBOR was known and anticipated at the time of issuance of the Series 2020A bonds in 2020. A LIBOR replacement rider was included in the bond purchase agreement to make the transition to a new index simple and with no negative financial impact to the university.

Like thousands of other borrowers in the tax-exempt markets, NIU will need to amend its bond documents to allow for a new index to replace the sunsetting LIBOR index. In NIU's case, its underlying floating-rate index will change from 1-Month LIBOR to 1-Month Secured Overnight Financing Rate (SOFR). SOFR is the internationally recognized replacement rate that borrowers are using for facilities like NIU's 2020A bonds.

The University's management, general counsel, bond counsel, and financial advisors have reviewed the Series 2020A bond documentations. In 2020 the Board of Trustees delegated authority to the President, General Counsel, and Vice President of Administration and Finance/CFO to approve future amendments to the 2020A Bonds and management believes a briefing to the Board of these changes is appropriate.

NIU's management has been working with its financial advisor, Longhouse Capital Advisors (LCA), bond counsel, University counsel and the Purchaser of the 2020A Bonds, PNC Bank, to replace the 1-Month LIBOR index with the 1-Month SOFR index via a cost-effective and simple amendment to the Series 2020A bonds. In connection with the amendment:

- Safe harbor provisions are in place that do not require a reissuance of the bonds for tax purposes, simplifying the amendment process and additional documentation required.
- No other changes are being made to the terms of the bonds (covenants, PNC's credit commitment length, which still goes out to April 2027, rates, etc.).
- Build America Mutual (BAM), the bond insurer for the Series 2020A bonds, has been notified of the amendment, as required, and has provided its consent to the change.
- The amendment to the Series 2020A Bonds will be effective as of June 1, 2023, well in advance of the sunsetting of LIBOR on June 30, 2023.

SUMMARY OF NIU DEBT OUTSTANDING

Series	Outstanding Maturities (FY)	Mode	Tax Status	Call Provisions	Par Outstanding
Various Performance Contracts	2023-2031	Fixed	Taxable	Anytime at 2%-3% premiums	\$ 19,084,295
Capital Lease - IASBO Building	2023-2024	Fixed	Taxable	N/A	\$ 89,927
Series 2014 COPs	2023-2026	Fixed	Tax-Exempt	Non-Callable	\$ 2,910,000
Series 2020A AFS Refunding Bonds	2023-2041	Variable	Tax-Exempt	At any time	\$ 29,750,000
Series 2020B AFS Refunding Bonds	2023-2041	Fixed	Tax-Exempt	4/1/30 @ Par	\$124,140,000
Series 2021 AFS Bonds	2026-2044	Fixed	Tax-Exempt	4/1/31 @ Par	\$ 99,035,000
Total					\$275,009,222

**QUARTERLY SUMMARY REPORT OF TRANSACTIONS IN EXCESS OF
\$100,000 FOR THE PERIOD JANUARY 1, 2023 TO MARCH 31, 2023**

In accordance with *Board of Trustees Regulations* (Section V, Subsection B.5), this report of all obligations of financial resources greater than \$100,000 but less than \$250,000 is submitted at the end of each calendar quarter to the Board of Trustees. This quarterly report does not include commercial card obligations. Following is a summary of the number of transactions, fund source, total dollar amount, and transaction details for obligations incurred during the quarter ended March 31, 2023.

Purchase Amount	No. of Transactions	Appropriated*	Non-Appropriated	Total
Over \$100,000	15	\$625,815	\$1,823,843	\$2,449,659

TRANSACTIONS DETAIL:

Purchases:

1. The Division of Information Technology requested permission to purchase a one-year license for CrowdStrike. This is a 27/7 Security Operations Center (SOC) analyst service, vulnerability management, and Endpoint Detection & Response (EDR) ransomware protection as required for cyber insurance. (CDWG, Chicago, IL) 127,769*
2. The Division of Information Technology requested permission to purchase professional services to manage and support the university's voice infrastructure for the period February 1, 2023 through January 31, 2025. (Presidio Networked Solutions, Chicago, IL) 129,115*
3. The Office of General Counsel requested permission to issue an FY23 open order for legal representation of the NIU Board of Trustees and university interests in a matter for the period through June 30, 2023. (Jackson Lewis, Chicago, IL) 200,000
4. Financial Aid and Scholarships requested permission to enter into a three-year contract for the Academic Works Scholarship Management Platform, which is used campus-wide for awarding scholarships to prospective and current students. Contract period July 1, 2023 through June 30, 2026. (Blackbaud Inc., Charleston, SC) 167,530*
5. Illinois Interactive Report Cards, within the OERD division, requested permission to purchase services for web development and information security for IIRC projects through June 30, 2023. (Viva USA Inc., Rolling Meadows, IL) 140,454

- | | |
|--|----------|
| 6. Enrollment Management, Marketing and Communication requested an amendment to increase the open order to include a die-cut Huskie Head cutout piece, self-sealing envelopes, and postage. This item was originally approved on the June 17, 2022 President’s Report. (Motar GrafX LLC, Wheeling, IL) | 123,902* |
| 7. Housing and Residential Services requested permission to purchase new chairs to replace old and dated furniture currently being used in campus residence halls. (Southwest Contract, Tempe, AZ) | 114,420 |
| 8. The Division of Information Technology requested permission to enter into a five-year lease for fiber optic service with Kane County to provide connections to critical resources such as Internet 2, remote campus buildings, and Fermi Lab for the period May 1, 2023 through April 30, 2028. (Kane County, Geneva, IL) | 102,950 |
| 9. The College of Business requested permission to purchase an LED Video Wall system to replace the current video system being used in the Barsema Hall Auditorium. (ACP CreativIT LLC, Buffalo Grove, IL) | 239,219 |

Capital Improvement Projects:

- | | |
|--|-------------------|
| 1. HSC hot water converter tank replacement: This 60-year-old tank is leaking and is a critical part of the system which provides domestic hot water for the building. The scope of work will include necessary abatement work along with replacement of the tank in its entirety. | 145,750 |
| 2. Patterson Hall control valve replacement: Chilled water control valves have been incrementally failing since 2012. This project is to replace the remaining approximately 130 control valves to proactively avoid future failures and resulting water damage from leaks. The work is intended to be completed during the summer of 2023 while the building is largely unoccupied. | 210,850 |
| 3. Master Planning Framework development consultant services: A master planning framework will be developed to guide future campus planning efforts based on determined strategic objectives/principles. The resulting Framework and subsequent future detailed planning actions will collectively form a living master plan for the campus-built environment which will be agile while still grounded by consistent principles. | 77,500*
77,500 |
| 4. Neptune East resident room door replacements: The 65-year-old doors are worn, beyond their useful life and difficult to repair when parts for locks are no longer available. The scope of work for the project will be to replace 188 doors and install new locking hardware. Work is planned to be completed summer through winter 2023/2024. | 233,100 |
| 5. West Heating Plant annual maintenance and repairs: Includes necessary repairs related to worn, broken or non-functioning equipment that can cause serious operational and safety hazards and unplanned steam outages. Work items identified specifically include valve replacements and testing, boiler tuning and reverse osmosis system membrane replacement as well as other general maintenance items. | 110,600 |

6. Emergency repairs related to extreme freezing conditions: Due to freezing temperatures experienced near the end of December 2022, multiple sprinkler lines and water lines froze and broke at various locations in Patterson Hall Complex, Grant South, Neptune North, Northern View Complex, Parking Garage and Faraday Hall. This project documents the emergency actions taken to restore damage from resulting water releases. Insurance claims are being processed and may mitigate potentially 60% of the financial impact. 249,000

*Appropriated Funds

STATE LEGISLATIVE REPORT

The 2023 spring legislative session is scheduled to adjourn on May 19, 2023. Legislators are beginning the budget negotiation process that was kicked off for higher education during House and Senate appropriations hearings. President Freeman, Vice President Middlemist, Vice President Jensen, and NIU student Rebekah Gonzalez testified on March 2 and March 22 before the House Higher Education Appropriations committee and the Senate Education Appropriations committee, respectively. In support of NIU's budget request, a delegation of 50+ NIU students, faculty, staff, and alumni participated in the second, and largest, in person advocacy day in Springfield. Participants met with legislators and staff to share their positive experiences at NIU and advocate for an increased budget, additional Monetary Award Program funding, and the continuation of the successful AIM High program. During her visits for the appropriations hearings and advocacy day, President Freeman met with members of the appropriations committees and the bicameral leadership from both chambers.

NIU is participating in a number of conversations concerning specific legislation impacting higher education including a trailer bill to exempt higher education from the Healthy Workplace Act, legislation to support a more seamless community college transfer experience, and additional procurement reforms. A complete summary of substantive legislation will be provided to board members upon session adjournment.

On April 4, Governor Pritzker visited NIU for his first stop on his tour of university campuses to promote his proposed FY24 budget. Along with President Freeman and our state and local elected officials, student Brittany Hernandez spoke during the event to the impact the MAP funding she has received has had on her experience at NIU.

NIU will be participating in the Illinois Public Universities' Higher Education Reception on May 3, 2023. Governor Pritzker has been invited to offer the keynote address for the event. The Office of State Relations and the NIU Alumni Association are hosting a pre-reception event for NIU alumni and NIU Caucus members.

SPONSORED PROGRAM ADMINISTRATIVE QUARTERLY REPORT

At the end of the third quarter of Fiscal Year (FY) 2023, NIU has received a total of 301 sponsored awards from the beginning of the current fiscal year totaling \$33.6M (\$11.6M in research, \$6.8M in instruction, and \$15.2M in other sponsored activities (OSA)) (Figure 1¹). Funding for this period is up 5% (research 20%, instruction 44%, and OSA -14%) over the same time last year.

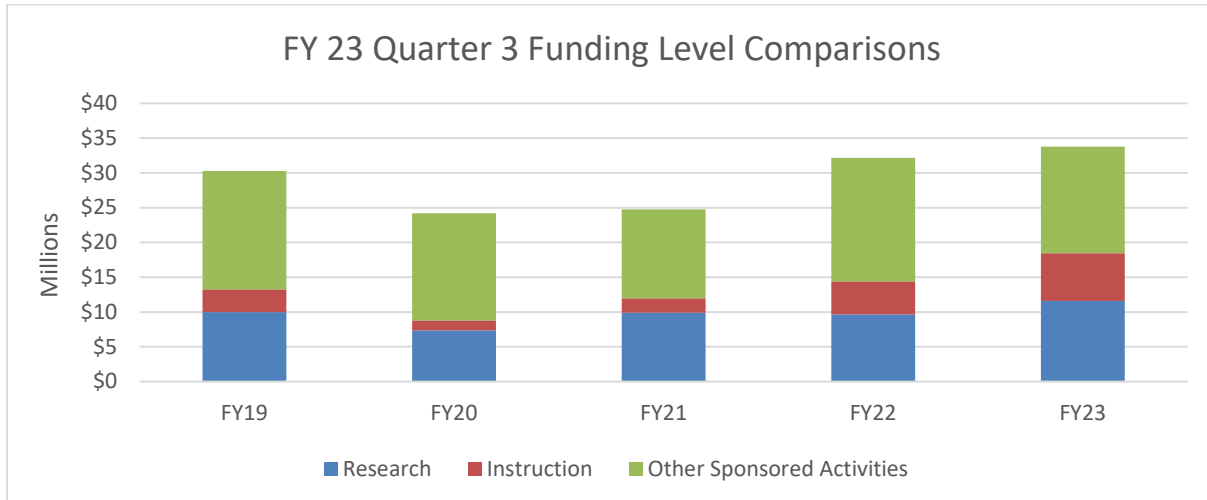


Figure 1
 Note: These amounts do not include COVID-19 relief funding.

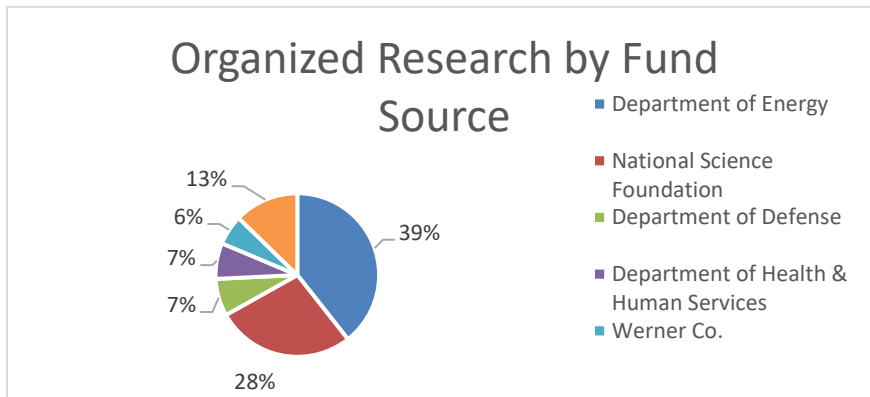
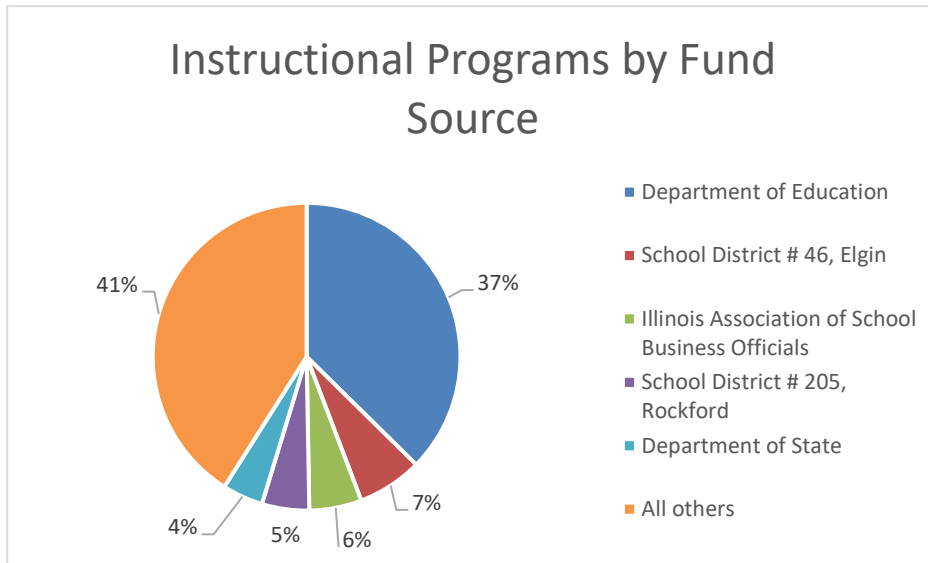


Figure 2
 Note: Other category includes agencies contributing 1%-3% of the total. Amounts based on originating fund source.

The Department of Energy (39%), the National Science Foundation (28%), Department of Defense (7%), Department of Health & Human Services (7%), and Werner Co. (6%) awarded 87% of this quarter’s funding for organized research (Figure 2).

¹ SPA also received \$1.1M in Governor’s Emergency Education Relief (GEER) II COVID-19 funding in FY 23. This amount is not included in Figure 1. Total Covid-19 and Non Covid-19 sponsored funding is \$34.9M for the current fiscal year.

Department of Energy (DOE) funding is supporting a collaborative research effort between faculty in Computer Science, Argonne National Laboratory, and Spirit AeroSystems Inc. to advance aerospace manufacturing while the DOE Office of Science is providing funds to support the Chicagoland Computation Traineeship in High Energy and Particle Physics led by faculty in NIU's Department of Physics. Funding from the National Science Foundation (NSF) includes one of the agency's most prestigious awards for early career investigators secured by faculty in the Department of Computer Science. This award will be used to better understand how and why some parasite species are successful at invading new habitats and hosts and will integrate teaching into the research program. Other NSF funded projects include supplementary funds secured by faculty in Department of Biological Sciences to fund research experiences for undergraduate students and funding secured by faculty in the Department of Physics to partner with Columbia University and SUNY-Stony Brook on the US ATLAS project.



The top sponsors for instructional activities (Figure 3) include the Department of Education (37%) awarding one grant to faculty in the Department of Psychology to prepare 30 educators to meet significant needs in the Rockford and DeKalb, Illinois public schools through a 3-year training program resulting in Illinois licensure and national eligibility as School

Figure 2

Note: Other category includes agencies contributing 1%-2% to the total. Amounts based on originating fund source.

Psychologists. Faculty in the Department of Kinesiology and Physical Education received an award from the State Department (4%) in partnership with Virginia Commonwealth University to support an international exchange between NIU and organizations in Tanzania that will use the power of sport to foster social change, with specific emphasis on gender equity. Funding from School District #46 Elgin (7%), the Illinois Association of School Business Officials (6%), and School District #205 Rockford (5%) will cover costs to deliver contract courses through the College of Education.²

² Instructional programs include \$2.7M for FY 23 (\$1.4M Qtr3 FY 23) in departmental sales contracts to deliver Executive MBA and other training programs reported to but not managed by SPA. Most of this is captured under the Other fund source category (41%).

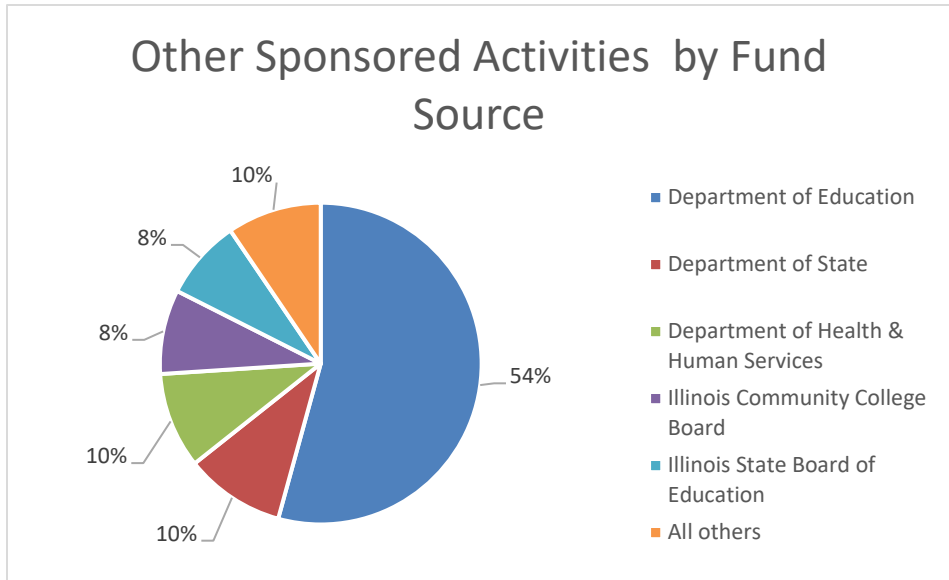


Figure 3

Note: Other category includes agencies contributing 1%-2% to the total. Amounts based on originating fund source.

Figure 4 shows the top sponsors supporting awards for other sponsored activities for this quarter. Funding from the Department of Education (54%) received through the Illinois Board of Higher Education will provide academic and social emotional learning supports for students most impacted by the COVID-19 pandemic, including African American, Latinx, low-income, first

generation, working adult, or rural students (See footnote 1). The Center for Southeast Asian Studies received funding from the Department of State (10%) to support the long-standing Philippines Youth Leadership Program; this year's program aims to engage young advocates in environmental preservation and peacebuilding. Faculty in the School of Family and Consumer Sciences were awarded a grant from the Department of Health and Human Services Substance Abuse & Mental Health Services Administration (10%) to help adults assist adolescents in DeKalb, Kane, and Winnebago counties who are experiencing a mental health or substance use challenge or who are in crisis.

Table 1 on the following page provides a comparison of Qtr3 FY2022 and Qtr3 FY2023 awards by college/division. Funding in the College of Engineering and Engineering Technology is up this year by 89% due to an increase in organized research (\$350K) funding primarily from corporate sponsors and the Department of Defense, the College of Business is up by 100% due to more frequent reporting to SPA of training and contract courses (\$767K) (see footnote 2), and Other Academic Units are up by 100% as a result of the COVID-19 GEER award (\$1.1M) mentioned previously (see footnote 1). State of Illinois contracts that support various service programs through the colleges/divisions have not yet been recorded due to delays in contract negotiations. These contracts total \$13M and SPA expects to report them in next quarter's report.

Table 1:

	Organized Research	Instructional Programs	Other Sponsored Activities	FY23 – 3rd Qtr. Totals	FY22 – 3rd Qtr. Totals	% Change
College of Business	\$ 19,500.00	\$1,412,608.98	\$ -	\$1,432,108.98	\$ -	100%
College of Education	\$ 98,903.27	\$3,176,434.81	\$367,489.16	\$3,642,827.24	\$4,208,063.00	-13%
College of Engineering and Engineering Technology	\$1,212,714.47	\$190,745.00	\$20,378.40	\$1,423,837.87	\$ 753,506.19	89%
College of Health and Human Sciences	\$ 430,214.00	\$ 681,197.00	\$1,401,060.67	\$2,512,471.67	\$1,384,933.50	81%
College of Law	\$ -	\$ -	\$ 181,969.00	\$ 181,969.00	\$ -	100%
College of Liberal Arts and Sciences	\$7,723,503.85	\$1,211,629.00	\$2,398,017.27	\$11,333,150.12	\$17,357,744.78	-35%
College of Visual and Performing Arts	\$ -	\$ 10,610.72	\$ 9,500.00	\$ 20,110.72	\$ 43,881.00	-54%
Outreach, Engagement and Regional Development	\$ 157,500.00	\$ 39,687.00	\$9,932,796.20	\$10,129,983.20	\$9,874,585.20	3%
Other Academic Units	\$1,976,370.46	\$ 119,341.00	\$2,143,770.00	\$4,239,481.46	\$2,120,779.26	100%
Other University Units	\$ -	\$ -	\$ 40,200.00	\$ 40,200.00	\$ 2,529,240.74	-98%
Total	\$11,618,706.05	\$6,842,253.51	\$16,495,180.70	\$34,956,140.26	\$38,272,733.67	-9%

Note: 3rd Qtr. Totals include COVID \$1.1M for FY23 and \$6.1M for FY22.

A full listing of all sponsored awards received for this quarter follows.

ALLIED HEALTH AND COMMUNICATIVE DISORDERS

Illinois Association for Infant Mental Health for "Culturally Relevant and Family Centered Care: Latino Caregivers." Janet L Olson/Marisol Perez.

Award: \$5,000.00.

March 15, 2023 - March 14, 2024. (Organized Research) Applied Research.

The research goals of this proposed study are designed to explore the Latino caregivers' perceptions about their satisfaction, perceived facilitators, barriers, & overall opinions of receiving early intervention speech & language services for their child.

BIOLOGICAL SCIENCES

University of Minnesota for "2022 North Central Graduate Student Grant: The Impact of Buckwheat Plantings on Releases of Parasitoid Wasps on a Dairy Farm." Bethia H King/Ellie Taylor.

Award: \$14,229.00.

July 1, 2023 - July 1, 2024. (Organized Research) Applied Research.

The purpose of this project is to investigate integrated pest management strategies through research performed at a dairy facility in Northern Illinois.

Directorate for Biological Sciences/NSF for "Collaborative Research: The Roles of Community Assembly and Consumer Impacts in Shaping Ecosystem Function." Holly Jones.

Award: \$8,745.00. Cumulative \$501,482.00.

July 15, 2020 - January 31, 2024. (Organized Research) Applied Research.

To determine how community assembly influences ecosystem function by establishing a unique biodiversity experiment informed by field data to create realistic, nonrandom community changes in richness, composition, and functional diversity.

National Science Foundation/NSF for "CAREER: Ecological and Evolutionary Drivers in an Invasive Host-Parasite System." Jennifer A Koop.

Award: \$192,044.00.

July 1, 2023 - June 30, 2024. (Organized Research) Basic Research.

The proposed body of research aims to better understand how and why some parasite species are successful at invading new habitats and hosts.

CENTER FOR SOUTHEAST ASIAN STUDIES

Bureau of Educational and Cultural Affairs/Department of State for "PYLP 2021: Engaging Young Advocates in Environmental Preservation and Peacebuilding." Rhodalyne Q Gallo-Crail/Eric A Jones.

Award: \$249,999.00. Cumulative \$499,999.00.

September 17, 2021 - December 31, 2024. (Other Sponsored Activities) Public Service.

Exchange program bringing teenagers and adult educators from the Philippines to the U.S. for exposure to/training in civic education, leadership, diversity, and community engagement, and preparation to conduct projects at home that serve a community need.

CHEMISTRY AND BIOCHEMISTRY

Argonne National Laboratory for "Development of All Solid-State Battery using Anti-Perovskite Electrolytes." Tao Li.

Award: \$69,552.00.

February 1, 2023 - January 31, 2024. (Organized Research) Basic Research.

The project team will develop a high-performance anti-perovskite solid-state electrolyte with stabilized electrode/electrolyte interfaces for scalable fabrication of liquid free solid-state batteries.

Argonne National Laboratory for "Development of Energy Efficient Oxide Materials - GRA Support (six months)." Tao Xu.

Award: \$16,817.00. Cumulative \$33,617.00.

March 9, 2023 - September 8, 2023. (Organized Research) Applied Research.

The purpose of this funding is to support a GRA to develop a scaled continuous flow hydrothermal synthesis reactor technology that can synthesize thermochromic M-phase VO₂ nanoparticles with size < 50 nm and yield at the level of kg/day.

COLLEGE OF BUSINESS

Hi-Tech Polymers, Inc. for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Danfoss for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Amazon.com, Inc. for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Centene Corporation for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Baxter Healthcare Corporation for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Hines VA Hospital for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Kenco Group for "Schaumburg MBA." Burim Ramadan.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

McKesson Corporation for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Zurn Elkay Water Solutions for "Schaumburg MBA." Burim Ramadan.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Chicago Board Options Exchange for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Abbott Laboratories for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Lakeview Precision Machining, Inc. for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

STM for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Turner Construction for "Chicago MBA." Burim Ramadani.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Motorola Solutions for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Rush University Medical Center for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Apollo Program for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Wolter Kluwer for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

University of Illinois Extension for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

84.51 Stratum for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

National Express LLC for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Danfoss for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

AT&T for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Chase Bank for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Lakeshore Recycling Systems for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

UGN, Inc. for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

UniFirst Corporate Accounts for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Advocate Aurora Health Inc. for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Midwest Groundcovers for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Amazon.com, Inc. for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

CliftonLarsonAllen for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

USG Chicago for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Debt Pay Gateway for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

ARBA Technology for "Executive MBA." Burim Ramadani.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Tata Consultancy Services for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

CNA Financial Corporation for "Executive MBA." Burim Ramadani.

Award: \$12,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Amazon.com, Inc. for "Chicago MBA." Burim Ramadani.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Abbott Laboratories for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Schmid Tool & Engineering Corporation for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Huntington National Bank for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Insurance Auto Auctions, Inc. for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Lakeshore Recycling Systems for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

State Farm Insurance Companies for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Rubicon for "Executive MBA." Burim Ramadani.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Conexium for "Schaumburg MBA." Burim Ramadan.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Flinn Scientific Inc. for "Executive MBA." Burim Ramadan.

Award: \$15,545.45.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Peoplescout/True Blue for "Chicago MBA." Burim Ramadan.

Award: \$10,800.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

The Suter Company for "Executive MBA." Burim Ramadan.

Award: \$14,659.09.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Krex, Inc. for "Schaumburg MBA." Burim Ramadan.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Discover Financial Services for "Schaumburg MBA." Burim Ramadan.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

School Health Corporation for "Schaumburg MBA." Burim Ramadan.

Award: \$7,200.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

OSM Worldwide for "Workshops." Burim Ramadan.

Award: \$30,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

AbbVie Inc. for "Schaumburg MBA." Burim Ramadani.

Award: \$14,400.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

COLLEGE OF EDUCATION

Chicago Public Schools for "Contract Courses." Jason P Klein.

Award: \$550.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

School District #187, North Chicago for "Contract Courses." Jason P Klein.

Award: \$18,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Illinois Association of School Business Officials for "Contract Courses." Jason P Klein.

Award: \$138,466.66.

July 1, 2022 - June 30, 2023. (Instructional Programs).

School District #96, Kildeer Countryside Community Consolidated for "Contract Courses." Jason P Klein.

Award: \$48,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

School District #46, Elgin for "Contract Courses." Jason P Klein.

Award: \$128,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

School District #205, Rockford for "Contract Courses." Jason P Klein.

Award: \$128,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Little City Foundation for "Contract Courses." Jason P Klein.

Award: \$50,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

School District #46, Elgin for "Contract Courses." Jason P Klein.

Award: \$48,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

School District #187, North Chicago for "Contract Courses." Jason P Klein.

Award: \$18,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

COLLEGE OF ENGINEERING AND ENGINEERING TECHNOLOGY

Milwaukee Tool for "Master Research Services Agreement." Donald R Peterson/Simon Kudernatsch.

Award: \$11,816.04. Cumulative \$20,378.40.

December 1, 2022 - February 15, 2023. (Other Sponsored Activities) Testing/Evaluation.

Master testing agreement with task orders for evaluating vibration reducing gloves and resilient materials in accordance with ISO 10819 international standard.

Milwaukee Tool for "Master Research Services Agreement." Donald R Peterson/Simon Kudernatsch.

Award: \$1,662.50. Cumulative \$20,378.40.

December 1, 2022 - February 15, 2023. (Other Sponsored Activities) Testing/Evaluation.

Master testing agreement with task orders for evaluating vibration reducing gloves and resilient materials in accordance with ISO 10819 international standard.

Jet Propulsion Laboratory/NASA for "Portable Tunable Laser Spectrometer Array for Monitoring Trace Gases in the International Space Station (Senior Design)." Donald R Peterson/Mansour Taherzadi.

Award: \$10,000.00.

December 20, 2022 - May 31, 2023. (Organized Research) Applied Research.

This Senior Design project will work on the development of a wireless CO2 sensor array for the International Space Station.

COLLEGE OF HEALTH AND HUMAN SCIENCES

Illinois Department of Public Health for "IDPH Pipeline Program - 2023." Michael R Kushnick/Beverly W Henry/Jeanne M Isabel.

Award: \$13,416.00. Cumulative \$125,000.00.

July 1, 2022 - May 31, 2023. (Instructional Programs).

This project will connect socio-economically disadvantaged minority students with knowledge and resources to promote public health, experience community public health needs, and support the students as they complete their degrees.

COMPUTER SCIENCE

Spirit AeroSystems Inc. for "Framework and Tool for Artificial Intelligence & Machine Learning Enabled Automated Non-Destructive Inspection of Composite Aerostructures Manufacturing." Hamed M Alhoori.

Award: \$521,108.00.

July 1, 2021 - December 31, 2024. (Organized Research) Applied Research.

This project will develop machine learning models and integrate them into existing tools for real-world application.

Office of Naval Research/DoD for "Automated Evolution of the Softwares Robustness through Patternizing Attack-and-defend Semantic, Structural, and Functional Co-Evolution." Mona Rahimi.

Award: \$75,000.00. Cumulative \$320,094.04.

July 1, 2022 - June 30, 2025. (Organized Research) Applied Research.

The goal is to develop automated evolutionary techniques between pairs of software artifacts in response to software's internal changes, as well as malicious and non-malicious external changes of the software's operational environment.

COUNSELING AND HIGHER EDUCATION

National Alliance of Concurrent Enrollment Partnerships for "Cost-Effective for Low-Income Students? Dual Enrollment Credits and Financial Aid Packages in Higher Education." Xiaodan Hu.

Award: \$1,000.00.

November 1, 2021 - October 31, 2022. (Organized Research) Applied Research.

The purpose of the project is to examine the relationship between college students' dual enrollment credits earned and financial aid package composition, with a focus on low-income students.

EDUCATION SYSTEMS CENTER

Illinois State Board of Education for "GEER (Governor's Emergency Education Relief)." Jon

Furr.

Award: \$199,999.80.

January 1, 2023 - June 30, 2023. (Other Sponsored Activities) Public Service.

The NIU team will support ongoing efforts to enhance the ILDS (ILDS 2.0) and stand up an Early Childhood Participation Dataset (Dataset), which represents the initial use case for ILDS 2.0.

ELECTRICAL ENGINEERING

Navistar Inc. for "Contract Courses: MS Electrical Engineering." Mansour Tahernezhad.

Award: \$44,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Navistar Inc. for "Contract Courses: MS Electrical Engineering." Mansour Tahernezhad.

Award: \$44,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Navistar Inc. for "Contract Courses: MS Electrical Engineering." Mansour Tahernezhad.

Award: \$22,000.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

National Institutes of Health/NIH/DHHS for "Evaluation of Micro-Epidermal Actuators on Flexible Substrate for Noninvasive, Pediatric-Friendly Conductive Hearing Aid." Mohammad J Moghimi.

Award: \$144,371.00. Cumulative \$429,567.00.

April 1, 2023 - March 31, 2024. (Organized Research) Applied Research.

Funds are requested to evaluate the efficacy of the flexible substrate on acoustic coupling, adhesion strength and motion-related noises in pediatric friendly conductive hearing aids.

EXECUTIVE VICE PRESIDENT AND PROVOST

Illinois Board of Higher Education for "Governor's Emergency Education Relief Fund (GEER) -- GEER II Grant Project." Beth Ingram/Kelly L Olson.

Award: \$1,196,840.00.

July 1, 2021 - September 30, 2023. (Other Sponsored Activities) Student Service.

The purpose of the project is to provide academic and social emotional learning supports for students most impacted by the COVID-19 pandemic, including African American, Latinx, low-income, first generation, working adult, or rural students.

FAMILY AND CONSUMER SCIENCES

Substance Abuse & Mental Health Services Administration/DHHS for "I'm Here: Youth Mental Health Awareness Training Program for Adults." DeAnna Harris-McKoy.

Award: \$238,961.00.

December 31, 2022 - December 30, 2024. (Other Sponsored Activities) Public Service.

This program will provide Youth Mental Health First Aid Training to adults in DeKalb, Kane, and Winnebago counties to help adults assist adolescents who are experiencing a mental health or substance use challenge or are in crisis.

INDUSTRIAL AND SYSTEMS ENGINEERING

Chalmers St. Consulting for "Improving fleet management operations." Christine V Nguyen.

Award: \$23,371.54.

January 3, 2023 - July 3, 2023. (Organized Research) Applied Research.

The project focuses on developing a future state of operations for a fleet management company.

MxD for "Machine Learning-Based Quality Improvement for Thermal Energy Cutting Processes." Christine V Nguyen.

Award: \$74,941.74.

January 1, 2023 - December 31, 2023. (Organized Research) Applied Research.

In response to MxD's core mission to enhance US competitiveness through transforming American Manufacturing to digital manufacturing, this proposed work offers a low-cost digital solution to close the quality control loop for manufacturing processes.

Triangle Package Machinery Company for "Improving Facilities Design and Operations." Niechen Chen/Purushothaman Damodaran.

Award: \$91,122.98.

February 16, 2023 - February 15, 2024. (Organized Research) Applied Research.

The project aims at improving the assembly, storage, and fabrication of packaging machinery.

Woodward, Inc. for "Lean Six Sigma Workshops." Purushothaman Damodaran.

Award: \$30,600.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Various and Corporate Sponsors for "Lean Six Sigma Workshops." Purushothaman Damodaran.

Award: \$19,345.00.

July 1, 2022 - June 30, 2023. (Instructional Programs).

Werner Co. for "Improving Manufacturing Operations and Developing Automation Solutions." Purushothaman Damodaran/Niechen Chen.

Award: \$120,601.63.

January 16, 2023 - December 31, 2023. (Organized Research) Applied Research.

The project aims to analyze an assembly line to identify and mitigate bottlenecks. The project also will aim at implementing automation solutions for some of the operations.

Motorola Solutions for "Shipping Digital Transformation Project." Purushothaman Damodaran/Christine V Nguyen.

Award: \$39,998.58.

February 14, 2023 - February 14, 2024. (Organized Research) Applied Research.

The project assigns an Engineer in Residence to evaluate and streamline the shipping layout of warehouse operations.

KINESIOLOGY AND PHYSICAL EDUCATION

Virginia Commonwealth University for "ENVEST Tanzania." Jennifer M Jacobs/Steven M Howell.

Award: \$110,380.00.

October 1, 2022 - September 30, 2023. (Instructional Programs).

This project is an international exchange between NIU and organizations in Tanzania to use the power of sport to foster social change, specifically gender equity.

LEADERSHIP, EDUCATIONAL PSYCHOLOGY AND FOUNDATIONS

Illinois Association of School Business Officials for "Contract Courses." Carolyn J Pluim.

Award: \$3,152.14.

July 1, 2022 - June 30, 2023. (Instructional Programs).

MANAGEMENT

Kauffman (Ewing Marion) Foundation for "Kauffman Foundation Grant-Research Conference 2022-23." Tim Michaelis.

Award: \$19,500.00.

September 15, 2022 - November 15, 2023. (Organized Research) Conference.

Funding will support the 2022 and 2023 research conferences focused on the development of high impact scholarly work related to health in entrepreneurship.

NURSING

University of Iowa for "NIU-Southeast Asian Community Engagement and Outreach (NIU-SEA) Project." Anitha Saravanan.

Award: \$30,000.00.

October 15, 2022 - April 30, 2023. (Other Sponsored Activities) Public Service.

PI will reach retirement homes, and faith-based organizations to engage older adults to promote NNLM and all of US. Nursing students will collaborate on outreach efforts by using Robotic Pets Assisted Intervention.

Illinois Board of Higher Education for "Nursing School Grant Program FY23." Jie Chen/ Anne-Marie Kuchinski.

Award: \$66,515.00.

February 9, 2023 - June 30, 2023. (Other Sponsored Activities) Other.

The IBHE Nursing School Grant proposal aims to improve program completion and NCLEX-RN pass rate of the pre-licensure undergraduate nursing program and close the equity gaps among African American and Latinx students through an early remediation plan.

Illinois Board of Higher Education for "IBHE Nurse Educator Fellowship- - FY23." Stephanie D Uhr.

Award: \$10,000.00.

March 8, 2023 - June 30, 2023. (Organized Research) Applied Research.

Funding will provide a fellowship for a faculty member in the School of Nursing.

P-20 CENTER

Illinois State Board of Education for "Nita M. Lowey Twenty-First Century Community Learning Centers." Kristin A Brynteson/Jeremy J Benson/Hollie Rodriguez.

Award: \$150,000.00. Cumulative \$300,000.00.

July 1, 2022 - August 31, 2023. (Other Sponsored Activities) Public Service.

Barb City STEAM Team provides afterschool and summer school programming to 6th-12th graders underrepresented in postsecondary, focusing on academics, career planning, SEL and family engagement.

PHYSICS

Fermi National Accelerator Laboratory for "Development of the MU2E Design." David R Hedin.

Award: \$73,245.00.

January 1, 2023 - December 31, 2023. (Organized Research) Applied Research.

The purpose of this funding is to support the Mu2e project which is responsible for the design, construction, and integration of electronics systems for the various detectors deployed for Mu2e.

Argonne National Laboratory for "Application and Development of Nuclear Resonance Time-Domain Interferometry." Dennis E Brown.

Award: \$5,416.69. Cumulative \$13,541.72.

February 2, 2023 - March 31, 2023. (Organized Research) Basic Research.

There is a renewed interest in performing Rayleigh Mössbauer Scattering due to the advent of powerful x-ray sources such as the Advance Photon Source (USA), Spring 8 (Japan), and the ESRF (Europe). These sources provide a highly collimated intense source.

State University of New York at Stony Brook for "US ATLAS Operations." Jahred A Adelman.

Award: \$155,046.00. Cumulative \$384,059.00.

February 1, 2022 - January 31, 2027. (Organized Research) Applied Research.

Funds are requested to develop and maintain the ATLAS calibration database and related software.

Columbia University for "ATLAS Detector Upgrade Construction for High-Luminosity LHC." Jahred A Adelman/Dhiman Chakraborty.

Award: \$171,323.00. Cumulative \$314,276.00.

October 1, 2022 - September 30, 2023. (Organized Research) Basic Research.

Funds are requested to construct Low-Voltage Power Supply Boxes for the Front-End Electronics of the HL-LHC upgrade of the ATLAS Tile Hadronic Calorimeter.

State University of New York at Stony Brook for "U.S. ATLAS Operations: Discovery and

Measurement at the Energy Frontier." Jahred A Adelman.

Award: \$32,446.00. Cumulative \$86,795.00.

February 1, 2022 - January 31, 2027. (Organized Research) Applied Research.

Funding for a postdoc to support a new shared Tier-3 computing facility for US ATLAS at U. Chicago. The postdoc will aid users in starting up on the new system, help to debug and trouble-shoot problems and document best practices.

Office of Science/Department of Energy for "C²-THE-P² = Chicagoland Computational Traineeship in High Energy and Particle Physics." Jahred A Adelman/Michael Papka.

Award: \$115,000.00.

October 15, 2022 - June 30, 2023. (Organized Research) Research Training.

This project intends to train physics students at NIU and UIC in instrumentation and detector construction.

PRESIDENTS OFFICE

NEO Philanthropy for "Northern Illinois University Huskies Vote." Michaela F Holtz.

Award: \$4,200.00.

August 1, 2022 - December 1, 2022. (Other Sponsored Activities) Public Service.

The purpose of the project is to support voter registration efforts and strengthen and enhance voter education and engagement at Northern Illinois University (NIU) during Fall 2022.

PSYCHOLOGY

American Psychological Association for "Project Diversify Psychology." Christine E Malecki.

Award: \$5,500.00.

January 1, 2023 - December 31, 2023. (Other Sponsored Activities) Other.

The purpose of this proposed project is to increase the diversity of applicants and students in the School and Clinical Psychology graduate programs through application and interview incentives, recruiting videos, and mentoring by program alumni of color.

Office of Elementary and Secondary Education/Department of Education for "Preparing Educators as School Psychologists in Northern Illinois (PESP-NI)." Christine E Malecki/Julia A Ogg/Michelle Demaray.

Award: \$961,629.00.

January 1, 2023 - December 31, 2023. (Instructional Programs).

This five-year project will prepare 30 current educators to meet significant needs in the Rockford and DeKalb, Illinois public schools, through a three-year training program resulting in Illinois licensure and national eligibility as School Psychologists.

Society for the Study of School Psychology for "SHAPIRO MID-CAREER RESEARCH AWARD (MCRA)." Julia A Ogg.

Award: \$3,975.00.

January 1, 2023 - December 31, 2023. (Organized Research) Applied Research.

The purpose of this proposal is to obtain funding to conduct focus groups to inform the development of an intervention to improve academic enabling skills among elementary students.

SCHOOL OF INTERDISCIPLINARY HEALTH PROFESSIONS

Illinois Community College Board for "Huskie BE LONG – Building Effective Learning Opportunities and Network Guidance for Persons with Disabilities (PWDs)." Bryan K Dallas.

Award: \$210,597.67.

January 1, 2023 - December 31, 2023. (Other Sponsored Activities) Public Service.

Twenty college bound high school students with disabilities will attend a five-day camp at NIU in June/July 2023. Workshops will focus on setting them up for success in college and subsequent employment.

UNIVERSITY LIBRARIES

Illinois Arts Council for "NIU Artstour-2023." Gwen Gregory.

Award: \$3,000.00.

November 18, 2022 - August 31, 2023. (Other Sponsored Activities) Public Service.

These funds would go towards the contract cost of bring the Sones de Mexico Ensemble to NIU.

University of Illinois at Chicago for "Developing and Testing Assessment Tools for Library Impact on Students' Academic Success." Nestor L Osorio.

Award: \$40,966.00.

August 1, 2022 - July 31, 2023. (Organized Research) Applied Research.

This project aims to see how students define their own success and to determine students' engagement with academic support, their own motivation and self-regulation, and correlations with student success.

VICE PRESIDENT MARKETING AND COMMUNICATIONS

Illinois Board of Higher Education for "Illinois Common Application." Sol D Jensen.

Award: \$112,900.00.

July 1, 2022 - June 30, 2023. (Other Sponsored Activities) Other.

Funds will be used to support NIU's implementation and membership in the Common App.

FACULTY PRESENTATION

Dr. Catherine Raymond, Professor, Art History in the College of Visual and Performing Arts School of Art and Design and Director of the Center of Burman Studies will provide a verbal presentation.

FISCAL YEAR 2024 NON-REPRESENTED STAFF SALARY INCREMENT ALLOCATION GUIDELINES

Summary: Offering competitive salaries remains a continuing high priority of the President and the Board of Trustees. Accordingly, the university has developed an internally funded plan to provide increments for FY24 that recognizes the importance of salary increases in a resource constrained environment.

Background: In accordance with *Board of Trustees Regulation II.C.2.a*, annual salary increment guidelines are approved by the Board of Trustees. The approval of annual salary increment guidelines authorizes the university's distribution of fiscal year increments to eligible employees. Following completion of the fiscal year salary adjustment process, increment totals are verified and provided to the Board of Trustees in summary form.

The recommended salary increment guideline for non-represented staff is a tier-based increment to be implemented on and effective July 1, 2023:

Tier	Annual Salaried Rate	Increment %
1	\$0 to \$200,000	5.0
2	\$200,000.01 or greater	3.0

Non-represented staff on regular contracts/appointments (including temporary SPS staff) employed by the university on or before December 31, 2022, and who remain employed on the effective date of the increment program, will be eligible for the increment subject to university procedures, unless their contracts provide otherwise.

Those employees who are represented by a union or other legally recognized collective bargaining representative will receive a negotiated increment pursuant to the specific provisions of applicable, existing collective bargaining or other future negotiated agreements.

Recommendation: The University recommends that the Board of Trustees approve the Fiscal Year 2024 Non-Represented Staff Salary Increment Allocation Guidelines.

FISCAL YEAR 2024 NON-REPRESENTED FACULTY SALARY INCREMENT ALLOCATION GUIDELINES

Summary: Offering competitive salaries remains a continuing high priority of the President and the Board of Trustees. Accordingly, the university has developed an internally funded plan to provide increments for FY24 that recognizes the importance of salary increases in a resource-constrained environment.

Background: In accordance with *Board of Trustees Regulation II.C.2.a*, annual salary increment guidelines are approved by the Board of Trustees. The approval of annual salary increment guidelines authorizes the university's distribution of fiscal year increments to eligible employees. Following completion of the fiscal year salary adjustment process, increment totals are verified and provided to the Board of Trustees in summary form.

The recommended salary increment guideline for non-represented faculty is to incorporate a 5% across-the-board salary increase to be implemented on and effective July 1, 2023. Non-represented Faculty on regular contracts/appointments (including temporary Faculty with an FTE of .5 or greater) employed by the university on or before December 31, 2022, and who remain employed on the effective date of the increment program, will be eligible for the increment subject to university procedures, unless their contracts provide otherwise.

Those employees who are represented by a union or other legally recognized collective bargaining representative receive a negotiated increment pursuant to the specific provisions of applicable, existing collective bargaining or other future negotiated agreements.

Recommendation: The University recommends that the Board of Trustees approve the Fiscal Year 2024 Non-represented Faculty Salary Increment Allocation Guidelines.

APPOINTMENT OF VICE PRESIDENT FOR DIVERSITY, EQUITY AND INCLUSION AND CHIEF DIVERSITY OFFICER

Summary: Following a national search and pending Board of Trustees approval, Dr. Carol Sumner has accepted the position of Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer.

Background: Dr. Carol Sumner has extensive experience spanning her 27-year career in higher education supporting and enhancing the educational, social, cultural, curricular, co-curricular, and environmental experiences of students, faculty, staff and communities.

Sumner currently serves at the Vice President (VP) and Chief Diversity Officer (CDO) at Texas Tech University (TTU). She became the first person to hold the title of CDO and the first African American to serve in an executive level position in that university's 99 years. In her role as VP and CDO, Dr. Sumner oversaw TTU's First Generation Transition and Mentoring Program, Dream Resource Center, Office of LGBTQIA Education and Engagement, TRIO Student Support Services, the Office of Academic Enrichment and more. She has been instrumental in TTU maintaining its designation as a Hispanic-Serving Institution (HSI), focusing efforts on accelerating the success of Latino students. TTU is one of only 21 universities classified as Carnegie R1: Doctoral with that designation.

Prior to TTU, Sumner was the senior associate dean of students at Arizona State University for five years, where she led the departments of Student and Cultural Engagement, International Student Engagement and a wide array of university-wide, student-focused efforts. She also has held positions at Washington University in St. Louis, Illinois State University and Wilberforce University in Ohio.

Sumner is a member of the Bipartisan Policy Center Academic Leaders Task Force on Campus Free Expression. She also is the immediate past president of the Big XII Association of Diversity Officers in Higher Education, whose core membership consists of faculty and professional staff who are engaged in the work of diversity, equity, inclusion and belonging at higher education institutions in the Big 12 Athletic Conference.

She earned bachelor's and master's degrees at Illinois State University and her Doctorate in Education at Arizona State University.

Recommendation: The University recommends that the Board of Trustees approve the appointment for Dr. Carol Sumner as Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer as of July 16, 2023.

INTERIM APPOINTMENT OF VICE PRESIDENT FOR RESEARCH AND INNOVATION PARTNERSHIPS

Summary: Following a national search, the university hired Dr. Yvonne Harris to serve as the next Vice President for Research and Innovation Partnerships as of August 1, 2023. Due to Vice President Blazey's planned June 30, 2023 retirement, the university needs to appoint an interim for the month of July, 2023.

Background: Dara Little currently serves as the Associate Vice President (AVP) for Research and Executive Director Sponsored Programs. Dara has worked in the Division of Research and Innovation Partnerships since 2006, advancing from the director of sponsored programs to the role of AVP. Dara has over twenty years of experience as a research administrator in higher education settings including R1 and R2 institutions.

Dara currently serves on the SRA Board of Directors and Executive Board and has held numerous other national level positions with the Society over the years. She holds an MPA from NIU and is a Certified Research Administrator. She is a Distinguished Faculty with the Society of Research Administrators International (SRAI).

Recommendation: The University recommends that the Board of Trustees approve the appointment of Dara Little as the Interim Vice President for Research and Innovation Partnerships from July 1-31, 2023.

APPOINTMENT OF THE ASSISTANT SECRETARY/ASSISTANT TREASURER

Summary: Article V, Section 2 of the Board of Trustees' bylaws requires the appointment of an assistant secretary/assistant treasurer. The current Assistant Secretary/Assistant Treasurer, Dr. Vernese Edghill-Walden, is leaving the university and her replacement needs to be appointed.

Background Information: According to Article V, Section 2, Part E outlines the responsibilities of the Assistant Secretary/Assistant Treasurer. According to the bylaws:

“When the Board Chair, Secretary or Treasurer are not practically available to perform one of their ministerial duties, the Assistant Secretary-Assistant Treasurer may provide an authorized signature on necessary certificates or contracts and other documents approved by the Board on behalf of the Board Chair, the Secretary, or the Treasurer, and shall provide such other assistance to the Secretary or Treasurer as either may request and shall carry out such other functions as the Board may assign.”

The appointed officers of the Board, including the Assistant Secretary/Assistant Treasurer, “shall be University staff members appointed by the Board on the recommendation of the President to serve as ex-officio corporate officers and not as Members of the Board....Once appointed, these officers shall hold office until removal, resignation or termination of employment with the University.”

The current Assistant Secretary/Assistant Treasurer, Dr. Vernese Edghill-Walden, is leaving the university in July and her replacement needs to be appointed. President Freeman recommends that the Board appoint Dr. Rena Cotsones to the position. Dr. Cotsones is currently Vice President for Outreach, Engagement, and Regional Development/Chief Engagement Officer. She also serves as a member of the President's Senior Roundtable.

Recommendation: The University recommends that the Board of Trustees appoint Dr. Rena Cotsones as Assistant Secretary/Assistant Treasurer to the Board of Trustees.

**REVISION - RECOMMENDATIONS FOR FACULTY TENURE AND/OR
PROMOTION EFFECTIVE 2023-2024**

NAME COLLEGE/DEPARTMENT/SCHOOL

**Promotion from Clinical Assistant Professor to Clinical Associate Professor
(For Informational Purposes Only)**

Blythe Kitner Allied Health and Communicative Disorders

Promotion from Associate Professor to Professor

Andy Bruno	History
Clayton Camic	Kinesiology & Physical Education
Timothy Hagen	Chemistry & Biochemistry
Pi-Sui Hsu	Educational Technology, Research & Assessment
Laura Johnson	Educational Technology, Research & Assessment
Holly Jones	Biological Sciences
Julia Ogg	Psychology
Wesley Swingley	Biological Sciences
Carol Walther	Sociology

Tenure and Promotion from Assistant Professor to Associate Professor

Heather Bergan-Roller	Biological Sciences
Marcia Cooke	Nursing
Christine D'Alexander	Music
Alex Garivaltis	Economics
Lydia Gerzel-Short	Special & Early Education
Aarjen Glas	Political Science
Candice Hux	Accountancy
Jennifer Koop	Biological Sciences
Kathryn Mazurek	Interdisciplinary Health Professions
Henna Muzaffar	Health Studies
Irina Nesterova	Chemistry & Biochemistry
David Nieto	Curriculum & Instruction
Tiffany Puckett	Leadership, Educational Psychology & Foundations
Elizabeth Shelleby	Psychology
Perrin Stamatis	Art & Design
Yihui Tang	Marketing
Matthew Timko	Law Library
Mahdi Vaezi	Engineering Technology
Sahar Vahabzadeh	Mechanical Engineering
Ying Wang	Operations Management & Information Systems
Ziteng Wang	Industrial & Systems Engineering
Jiao Wu	Operations Management & Information Systems

Promotion from Assistant Professor to Associate Professor

Andrew Mamo Law

Hired at the Rank of Professor with Tenure

Andrew Ledoan (added May 17, 2023) Mathematical Sciences
Hope Wilson Special and Early Education

Hired at the Rank of Associate Professor with Tenure

Roxanna Conner Theatre and Dance
Rosanne Thomas Allied Health & Communicative Disorders

Recommendation: The University recommends that the Board of Trustees approve the Recommendations for Faculty Tenure and/or Promotion Effective 2023-2024.

CHARTER BUS SERVICES

Summary: Master agreements for chartered bus services are required to be renewed for FY24 and new contracts established for a maximum 5-year term per vendor. These agreements provide an important streamlined contractual mechanism to engage companies effectively and efficiently while still maintaining price competitiveness. The types of activities supported include athletic sporting events, academic field trips, and Admissions open house events. Vendors have been selected for FY24, though vendors for the 5-year term are yet to be determined. The university is requesting to enter into open order charter bus contracts for not-to-exceed (NTE) \$300K per vendor in FY24, and NTE \$1.5M per vendor for the full future 5-year term. The new contracts with 5-year terms could begin in FY24 and may supersede the existing contracts for FY24. The following renewals or new awards will be processed in accordance with applicable Illinois procurement regulations.

Background: Contract agreements for FY24 with Van Galder Bus Company, Windstar Lines Inc, and Green River Lines Inc are being requested at not-to-exceed (NTE) limits of \$300K each for a total of \$900K. The future 5-year charter bus service agreements will include a cumulative NTE limit of \$1.5M for each contract award. There is no annual or total NTE figure for all busing services as the more vendors which offer open orders, the greater the opportunity for the university to shop around for pricing and availability as needs arise throughout the year. Open orders with multiple vendors in conjunction with the longer term will allow for more overall administrative efficiency. These NTE amounts do not reflect a commitment or obligation but are an upper limit for the amount of work that may be requested under these agreements.

Funding: Institutional Funds

FY24 renewals:

<i>Van Galder Bus Company.....</i>	<i>\$300,000</i>
<i>Windstar Lines Inc.....</i>	<i>\$300,000</i>
<i>Green River Lines Inc.</i>	<i>\$300,000</i>

Future 5-year term:

Vendors to be determined, cumulative NTE for each award \$1,500,000

Recommendation: The University recommends that the Board of Trustees approve the procurement strategy for charter bus services.

ON- AND OFF-CAMPUS UNARMED SECURITY PATROL

Summary: The Northern Illinois University Department of Police & Public Safety (NIU PD) and Housing and Residential Services are requesting permission to renew an agreement for academic year 2023-2024 with Securitas Security Services USA, Inc. to increase the presence of highly visible, foot-patrol security guards for the NIU campus and in the immediate vicinity where many students live. This unarmed service will work closely with NIU PD to patrol the area and immediately report to NIU PD any issues or incidents. They will also assist Housing and Residential Services with access control. The university is requesting contractual authorization up to \$750K to continue the service for the upcoming academic year. Any consideration for renewal beyond May 15, 2024, will be determined at a later time.

Background: Securitas Security Services USA, Inc., an approved Illinois Public Higher Education Cooperative (IPHEC) vendor, has provided unarmed security patrol for NIU PD and Housing and Residential Services this past academic year. The university is seeking approval to continue to provide increased security services for the upcoming academic year.

Funding: Institutional Funds

Securitas Security Services USA, Inc.....NTE \$750,000

Recommendation: The University recommends Board of Trustees approval for securing services of Securitas Security Services USA, Inc.

BLACKBAUD AWARD MANAGEMENT SCHOLARSHIP PLATFORM

Summary: The Division of Enrollment Management, Marketing & Communications (EMMC) is requesting approval to renew the current scholarship management technology, Blackbaud Award Management, for an additional five-year period. The Blackbaud Award Management software is a cloud-based platform which offers access for students to apply to scholarship programs and provides a common platform for awarding, reporting, compliance, and stewardship of those awards. The new contract will take effect from July 1, 2023 through June 30, 2028, to be billed annually and not to exceed \$300,000 over the course of the full five years.

Background: The Blackbaud Award Management solution is the backbone of all scholarship management activities and is an essential tool in today's landscape. Initially purchased for use by the university in July 2016, the Blackbaud Award Management platform has been renewed annually with two and three-year agreements that fell within the Presidential approval level. EMMC has negotiated a new five-year agreement which will result in cost savings over the prior renewals. This award is exempt from the usual selection processes of the Procurement Code (30 ILCS 500/1-13 (b)(10)) which identifies procurement expenditures for any ongoing software license or maintenance agreement or competitively solicited software purchase, when the software, license, or maintenance agreement is available through only the software creator or its manufacturer and not a reseller as exempt.

Funding: Institutional Funds - NTE \$300,000

Recommendation: The University recommends that the Board of Trustees approve the contract for Blackbaud Award Management Scholarship Platform through FY28, NTE \$300,000 over the course of five years.

PROPOSED AMENDMENTS TO BOARD OF TRUSTEES REGULATIONS RELATED TO GRADUATE ASSISTANTS

Background Information: According to the current Board of Trustees Regulations, the maximum workload for graduate students is 20 hours per week. Since many other universities allow graduate appointments to exceed 20 hours per week in particular circumstances and in the summer, this regulation makes it difficult for NIU to offer competitive packages to students. As a result, the Graduate School recommends eliminating the 20-hour limit.

The Graduate School also supports removing ambiguous language in the definition of graduate staff assistants. Currently, the BOT regulation specifies that that the appointment should provide an applied learning experience integral to the student's field of study. The suggested change removes specific employment opportunities from the regulation to reduce confusion about which programs are aligned with which employment opportunities. That is, the role of resident hall advisor is appropriate for some programs as an applied learning experience (M.S. in Higher Education and Student Affairs) but not others (Ph.D. in Economics). The list also excluded some important GSA positions (KNPE students working in athletic training).

Proposed Action: The University recommends the Board of Trustees approve changes to the Board of Trustees Regulations Section IV.E.4.b as follows:

b. Graduate Assistants

Graduate assistantships are established to supplement a graduate student's class work and study with work experience appropriate to his or her academic pursuits. No person shall be employed by the university in another status while simultaneously holding appointment as a graduate assistant. Graduate assistants must be admitted to the Graduate School or to the College of Law and must hold at least the baccalaureate degree or its equivalent. They do not hold faculty rank. They must be enrolled in good standing on the effective date of the assistantship, and they must be enrolled in the amount of course work specified by the university.

Graduate assistants shall be designated according to the function performed. Teaching assistants shall aid in instruction. Research assistants shall assist faculty or staff with academic or other research. Staff assistants shall perform duties relevant to their course of study in roles other than teaching or research. ~~(e.g., as residence hall advisors, counselors, administrative assistants or library assistants).~~

~~The maximum work load for graduate assistants shall not exceed 20 hours per week.~~

Recommendation: The University recommends that the Board of Trustees approve the request to amend Board of Trustees Regulations regarding graduate assistants as presented above to be effective immediately.

FISCAL YEAR 2024 UNIVERSITY INTERNAL BUDGET

Background: Annually, the University seeks the Board’s approval of the internal budget for the next fiscal year. Consistent with the preliminary budget summary presented to the Finance, Audit, Compliance, Facilities and Operations Committee in May, this FY24 internal budget request represents an initial strategy to manage through a significant deficit while the University community identifies expense reductions and revenue opportunities in launching a multi-year planning and budgeting strategies toward a sustainable University budget.

Information: Introduced in FY23, a “structural” budget represents recurring, or on-going revenues and recurring, or on-ongoing expenses. It intentionally removes one-time or short-term revenues and expenses to provide the Board and administration with enhanced information about the long-term fiscal sustainability of the University. With the implementation of this FY24 Proposed Budget, the term “structural” is being redefined as “recurring.” The most notable difference between a structural and recurring budget view is the inclusion of regular, recurring Capital Repairs. These recurring Capital Repairs are supported through dedicated student fees and the general revenues of the institution. State appropriated capital projects and repairs are not a component of this recurring Capital Repairs budgets. Below are several examples of recurring revenues and expenses, presented alongside non-recurring revenue and expense examples:

RECURRING	NON-RECURRING
<i>Revenues/Sources</i>	
Tuition & Fees	Pandemic Relief Funding
State of Illinois Operating Appropriation	Sale of a Major Asset
Room Rental Income	Special Project Funding from State
<i>Expenses/Uses</i>	
Delivery of Academic Instruction	Large Capital Improvement Projects
Institutional Aid	ERP Implementation Costs
Fee-supported Capital Repairs	Temporary COVID Testing Protocols

A large proportion of the proposed FY24 expense budget is recurring in nature, as financial pressures originating from unusual external events, including the State of Illinois budget impasse of 2015-2017 and the more recent COVID-19 pandemic have forced focus on sustaining the core operations and programming of the institution. Now exhausted non-recurring revenues like pandemic relief grants and property sales provided stability to the overall University budget in recent fiscal years. Beginning with FY24 and building from the work of the Budget Resource Planning Group (BRPG), long-term strategies to stabilize the operating budget through recurring revenue sources or adjustments to the recurring expenses of the University will be identified and implemented as part of a multi-year planning and budgeting initiative. New and enhanced revenues may be acquired through partnership with the State of Illinois, through investments in programming and operations that generate a positive financial return, through increasing enrollment, for example. On the expense side, examples of recurring expense reductions include the identification of economies of scale, streamlined service delivery, prioritization of existing programming and services to identify expense reductions, new strategic partnerships and continued investment in the innovation fund.

Through collaborative development of multi-year planning and budgeting, specific goals and strategies have been and will continue to be identified and implemented. Preliminary actions and financial goals were presented with the FY23 Internal Budget in June 2022 for FY23-FY25. An update on those FY23 actions is provided below, along with revised and expanded potential actions and goals for FY24-26 and the corresponding actions outlined in the FY23 University Goals adopted in December 2022:

	Actions (Status)	Financial Goal (Status)	Related 2023 University Goals (Status)
FY23	<ul style="list-style-type: none"> • Inventory structural elements of NIU budget (COMPLETE); • Quantify structural budget deficit (COMPLETE); • Establish short- and mid-term projection models (COMPLETE); • Propose financial goals for FY24 and FY25 (in progress). 	<ul style="list-style-type: none"> • Meet or improve upon adopted FY23 budget (FY23 in progress; report expected 11/23). 	<ul style="list-style-type: none"> • Transition focus of NIU budget process from resource allocation and accounting to strategic budgeting and planning (multiyear goal; work started in FY23); • Create/maintain an innovation fund managed outside of the university's operations and maintenance budget (curricular innovation focus in FY23 resulted in competitive awards to 20 faculty); • Distinguish statutory obligations from long-standing NIU practices as first step in removing impediments to multiyear budgeting (Multiyear goal; FY23 efforts of NIU and IL public universities resulted in significant reforms to University Guidelines that hinder flexibility and impose unnecessarily complex accounting requirements); • Start to develop strategies for gainsharing (initial work in collaboration with the auxiliary units).
FY24	<ul style="list-style-type: none"> • Evolve technical budget development process to support multiyear budget planning; • Evolve data systems and budget reports to promote multiyear budget planning; • Develop and deploy budget training modules. 	<ul style="list-style-type: none"> • Develop plan to reduce FY25 structural budget deficit by 50% through revenue growth and expense reduction. 	<ul style="list-style-type: none"> • Continue to invest in innovation; FY24 focus to be determined; • Make available training and education that covers basic budgeting terminology/concepts; provide more actionable data and decision support (Multiyear goal; initial education program by 12/23); • Continue development of gainsharing strategies; pilot more broadly (Multiyear goal); • Advance timeline for annual budget development, review and approval to permit a more equitable, iterative process (Multiyear goal; framework for budget process and timeline for phase-in in DRAFT form by 10/23).
FY25	<ul style="list-style-type: none"> • Refine technical process for multiyear budget development; • Refine multiyear budget reports. 	<ul style="list-style-type: none"> • Develop plan to reduce FY26 structural budget deficit by 50% through revenue growth and expense reduction. 	<ul style="list-style-type: none"> • Continue to implement and refine budget strategies, processes, and timelines to advance FY23-24 annual and multiyear goals.
FY26	<ul style="list-style-type: none"> • Evolve technical budget development process to support multiyear budget planning; • Evolve data systems and budget reports to promote multiyear budget planning. 	<ul style="list-style-type: none"> • Develop plan to reduce FY27 structural budget deficit by 50% through revenue growth and expense reduction. 	<ul style="list-style-type: none"> • Continue to implement and refine budget strategies, processes, and timelines to advance FY23-25 annual and multiyear goals.

FY24 Proposed Budget: The following budget request builds upon the iterative approach to budget format initiated in FY23 with two significant changes in approach. First, the budget information no longer includes grants activity—both revenue and expenses from sponsored research and instruction are removed—as these funds are available only for restricted uses. Other regular reports on grants activity to the Board of Trustees will continue, including those delivered through the Research and Innovation, Legal and Legislative Affairs (RILLA) Committee. The total recurring activity for these grants and programs is approximately \$35M. As a result, the FY24 budget presented for consideration appears smaller than the budgets of recent fiscal years. The second major change from FY23 budget approach is an evolution in terminology. Recurring and non-recurring revenues and expenses will be labelled as such, replacing the FY23 language of “structural” and “non-structural.” This language is more accessible and understandable to a broader audience—an important consideration as the campus community is engaged in the process of creating a financially sustainable future for NIU. Generally, the recurring budget continues to represent the structural surplus or deficit of the University.

The FY24 recommended internal budget presented for Board consideration includes \$430.4M in expenses against \$398.6M in anticipated revenues, including State operating support of \$98.6M.

Expense projections captured in this preliminary budget are based on known rates for items like debt service and represent the aggregate FY24 expense budget capacities of all University divisions and budgeted funds. These capacity calculations were guided by the following assumptions and parameters:

- Personnel adjustments including support of an increment to non-represented staff and faculty and increments negotiated through collective bargaining.
- Planned commitments maintained for financial aid and scholarships for students, including AIM HIGH matching funds.
- Revised debt service schedules reflecting the results of both the 2020 AFS bond refinancing and the 2021 AFS bond refinancing.
- Resources to support mission-oriented infrastructure investments.

The proposed \$31.8M FY24 budget deficit can be reconciled to the \$16.2M structural deficit projected in the recent FY23 Third Quarter Financial Summary update. Continued investment in affordability for our students and in our people and an evolving operating environment will cause an increase in spending relative to expected revenues. Specifically, the following factors drive the increased operating deficit in FY24:

Components of FY23 "Recurring" Budget Deficit	
FY23 Structural Surplus/(Deficit) Projection - FY23Q3	\$ (16,229,000)
FY23 Recurring Capital Repairs	\$ (3,204,948)
FY23 Recurring Budget Surplus/(Deficit)	\$ (19,433,948)

FY24 Proposed Budget Deficit	
Increase in State Appropriation	\$ 6,453,680
Tuition & Fee Revenue Decrease	\$ (741,030)
Personnel Services	\$ (6,395,000)
Debt Service Increase	\$ (4,284,444)
Scholarships Increase	\$ (3,371,675)
Unexpended Budget Assumption Change	\$ (3,066,673)
Central Services Support Increase	\$ (2,398,949)
Other Revenue & Expense Changes	\$ 1,458,208
FY24 Proposed Budget Deficit	\$ (31,779,832)

FY23 Structural Deficit: Activity through the first three quarters of FY23 suggests a final structural deficit of \$16.2M. Adapting FY23 budget information to the “recurring” budget approach in FY24 also requires inclusion of recurring Capital Repairs commitment (\$3.2M) in computing a starting point for the FY24 budget deficit. This total of \$19.4M is the baseline structural deficit for FY24.

Increase in State Appropriations: The proposed operating appropriation for NIU is \$6.5M more than FY23. This reduces the structural deficit by an equivalent amount. While this State Appropriation change reflects only the general operating appropriation provided to NIU, many specific State programs that directly and indirectly support NIU and its students have been maintained or will be implemented for FY24, including reappropriation of all capital projects, a non-recurring \$1M grant for infrastructure improvements, support for the Mental Health Early Action on Campus Act, and reauthorization and additional funding for the AIM HIGH scholarship program.

Tuition & Fee Revenue: Projected FY24 Tuition and Fee Revenues fall \$741k below the full year FY23 projection, adding slightly to the proposed structural deficit.

Personnel Services: Commitments of \$6.4M for personnel services expense adjustments are included in the proposed budget, adding to the FY24 recurring deficit. These commitments will support non-represented and represented employee increments and needed investments in faculty and staff.

Debt Service Increase: Scheduled total debt service will increase in FY24 relative to FY23 adding \$4.3M to the structural budget deficit. The Series 2020A&B and the Series 2021 revenue bond issues saved the university almost \$129M over the life of the prior issues. The University frontloaded a portion of savings in the early years to enable the transition to multi-year planning and budgeting, including a total of \$53.7M in savings between FY20 and FY25. These two debt issues provide average annual savings after FY25 of about \$4.85M per year. The current total Maximum Annual Debt Service (MADS) of \$23.5M occurring in 2026 remains less than the pre-refinanced MADS of \$33.8M.

Increase in Scholarship Support: Institution-funded financial aid commitments are budgeted to increase by \$3.4M in FY24 relative to FY23. This mission-critical commitment increases the FY24 structural deficit.

Unexpended Budget Assumption: Despite best efforts to fully deploy budgets in support of the University’s mission and goals, each year some budget authority goes unused. This can be caused by delayed procurements, vacant positions, and other factors. In FY23, spending is projected to track closer to budget than recent fiscal years. Based on this trend, a \$3.1M change in this assumption regarding unexpended budget is incorporated into the budget plan, adding to the proposed deficit.

Central Services Support: University-wide expenses like insurance premiums and some software licenses have increased in recent years. An additional \$2.4M is reserved in this budget to support these centralized expenses, increasing the FY24 deficit.

Other Revenue & Expense Impacts: Adjustments to other revenue and spending areas create a net positive impact on the deficit, reducing it by about \$1.5M.

The proposed expense budget associated with the FY24 budget deficit represents a daily “budget burn rate” of approximately \$1.76M each business day. Based on this budget burn rate, the projected deficit represents approximately 18 days of expenses. To achieve a balanced budget in FY24 will require increases in revenues, decreases in expenditures, or a combination of strategies equivalent to approximately \$129k per business day. In total, planned University revenues for FY24 can support 93% of expenditures in the proposed budget.

While the total expense budget capacity of the university is currently known, specific budget plans are under development within the divisions, colleges, and departments of NIU. These divisional and collegiate spending plans will be recorded according to an established process, with an expected completion date of mid-July. Because these specific plans are pending, the following charts and tables represent a categorical expense budget based on recent NIU expense history. The final FY24 expense budget will have variation from what is presented here on a categorical basis. For example, Personnel Services budgets may ultimately total more or less than is outlined in this document. Corresponding changes to budgets in other categories will keep the total expense budget across all categories consistent with this proposed budget. Revenue budgets presented in this document are based on the detailed expectations of divisions and colleges and will not change across categories in the same way as expense budgets.

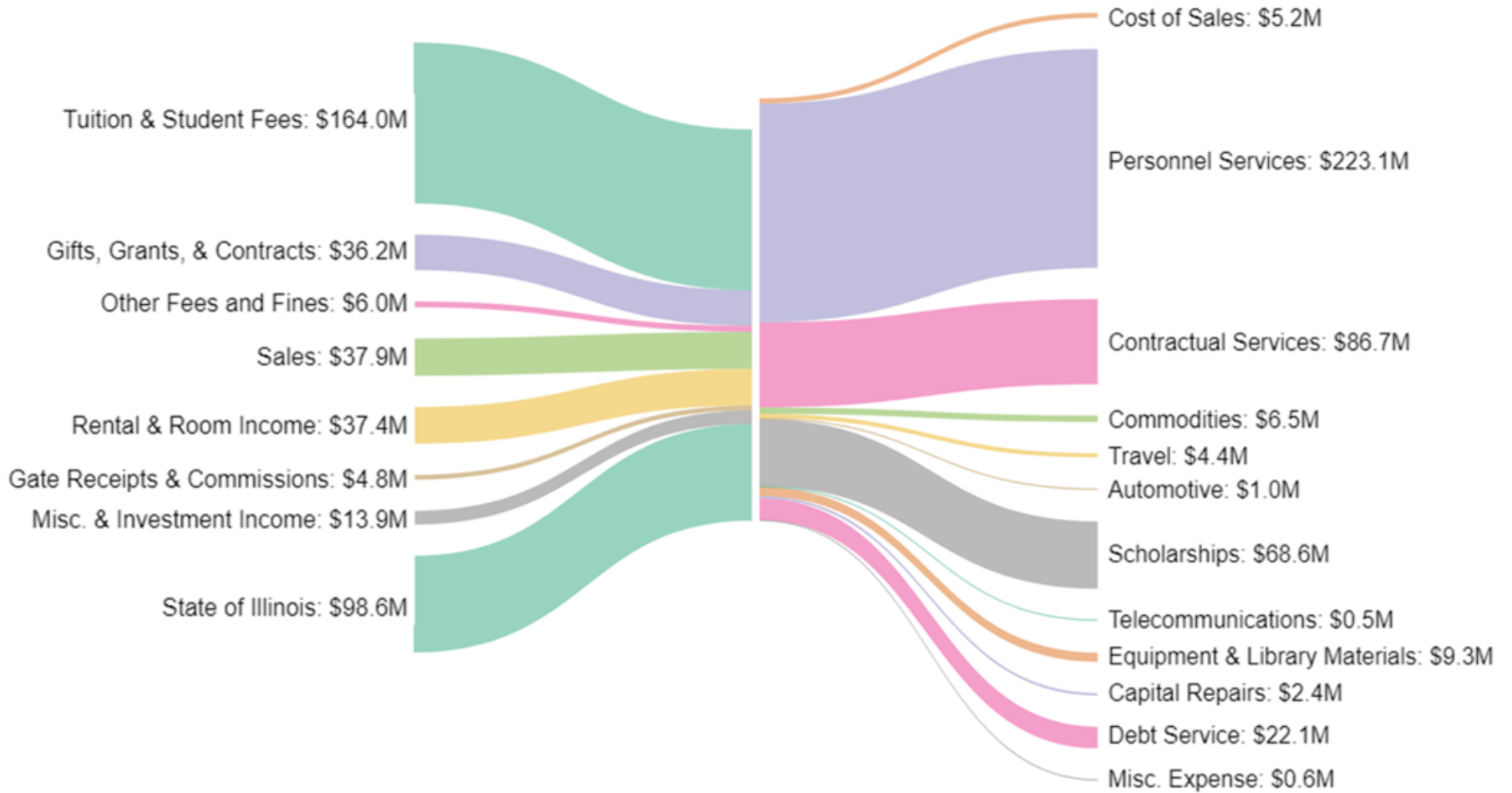
The following table demonstrates the FY24 budget proposal flow of funds and a comparison of the FY24 proposed budget to recent projections and actuals:

FY24 Proposed Budget Flow of Revenues and Expenses

Revenues: \$398.6M

Expenses: \$430.4M

Revenues = 92% of Planned Spending



Note: Categorical spending is based on history. Detailed categorical expense information will be available with the FY24 First Quarter Financial Summary.

FY24 INTERNAL BUDGET SUMMARY BY LINE ITEM (\$ in Thousands)						
	FY20 Actuals (With Grants)	FY21 Actuals (With Grants)	FY22 Actuals (With Grants)	FY23 Projected Recurring Budget (No Grants)	FY24 Proposed Recurring Budget (No Grants)	Variance FY24 - FY23
SOURCES OF OPERATING REVENUE						
Tuition & Student Fees	\$ 166,713	\$ 168,548	\$ 164,425	\$ 164,724	\$ 163,983	\$ (741)
Gifts, Grants, & Contracts	64,953	82,975	106,582	39,744	36,168	\$ (3,576)
Other Fees and Fines	6,246	3,310	4,968	5,687	5,957	\$ 270
Sales	26,572	17,432	26,229	25,575	37,858	\$ 12,283
Rental & Room Income	25,220	18,354	31,850	34,881	37,390	\$ 2,508
Gate Receipts & Commissions	3,145	205	2,189	4,214	4,766	\$ 552
Miscellaneous & Investment Income	22,068	11,893	10,649	13,809	13,868	\$ 59
State of Illinois	87,825	87,824	92,217	92,195	98,648	\$ 6,454
TOTAL, OPERATING REVENUES	\$ 402,742	\$ 390,540	\$ 439,108	\$ 380,828	\$ 398,637	\$ 17,810
OPERATING EXPENSES BY LINE ITEM						
Cost of Sales	\$ 4,447	\$ 2,476	\$ 3,818	\$ 5,612	\$ 5,159	\$ (453)
Personnel Services	227,218	214,245	230,845	212,044	223,090	\$ 11,046
Contractual Services	78,914	70,033	79,571	74,876	86,718	\$ 11,842
Commodities	4,874	3,976	5,781	5,215	6,522	\$ 1,307
Travel	3,540	967	3,383	3,621	4,430	\$ 809
Automotive	1,048	660	953	644	968	\$ 324
Scholarships	58,447	65,455	80,234	69,614	68,566	\$ (1,048)
Telecommunications	255	513	528	407	520	\$ 113
Equipment & Library Books	6,842	7,961	10,506	6,740	9,337	\$ 2,596
Capital Repairs	1,779	712	2,732	3,205	2,398	\$ (807)
Debt Service	28,091	24,458	15,914	17,812	22,074	\$ 4,263
Miscellaneous Expenses	2,539	10	1,028	471	636	\$ 165
TOTAL, OPERATING EXPENSES	\$ 417,994	\$ 391,466	\$ 435,293	\$ 400,262	\$ 430,418	\$ 30,155
NET INCOME/(LOSS)	\$ (15,252)	\$ (926)	\$ 3,815	\$ (19,434)	\$ (31,781)	\$ (12,345)

Note: "Recurring" is a new term with this FY24 Proposed Budget. It includes "Structural" budgets, as defined in the FY23 budget, plus regular Capital Repairs spending. Data to support a Recurring Budget comparison prior to FY23 is not available. Additionally, all fiscal year information prior to FY23 includes Grants activity, comprised of sponsored research and instruction and Federal financial aid programs like the Pell Grant. FY23 Projected is based on the FY23 Third Quarter Financial Summary.

The following section briefly describes each Revenue and Expense category from the diagram and table above before presenting a recommended motion.

Revenues

- 1. Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
- 2. Gifts, Grants, and Contracts:** Includes Pell Grant support and matching AIM HIGH funding from the State of Illinois.
- 3. Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.

4. **Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
5. **Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.
6. **Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.
7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
8. **State of Illinois:** Represents the annual state appropriation to public universities.

Expenses

1. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
2. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
3. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.
4. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
5. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
6. **Automotive:** Includes the cost to rent cars, vans, and buses from the University transportation department.
7. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's cash position).
8. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
9. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.
10. **Capital Repairs:** Includes the commitment to centralized capital repair activities funded by recurring sources like student fees.
11. **Debt Service:** Includes debt service payment on bonds, certificates of participation, and performance contracts.
12. **Miscellaneous Expense:** Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

Recommendation: The University recommends that the Board of Trustees approve the Fiscal Year 2024 University Internal Budget.

LEGISLATIVE AUDIT COMMISSION UNIVERSITY GUIDELINES CHANGES

Background: The [University Guidelines](#) (Guidelines) were originally created in 1982 by the Legislative Audit Commission (Commission) to establish a consistent framework of financial practices for Illinois public universities. The Guidelines also help to promote consistency, transparency, and accountability over the stewardship of public funds. These Guidelines were developed over 40 years ago, and aside from some substantive changes in 1997, they have only been updated twice to target very specific issues (such as the 2020 modification due to the COVID pandemic). The Guidelines include requirements that are no longer relevant in current practice, contain labor intensive reporting requirements with little perceived benefit, and do not allow for proper long-term financial planning.

As part of the fiscal 2021 audit findings and corrective action plans update presented to the Board of Trustees at the August 2022 FACFO meeting, the University reported three primary categories of corrective actions being taken to address audit findings. One of these categories was to seek legislative relief where audit findings occurred as a result of outdated compliance requirements. Additionally, as part of the Legislative Audit Commission's annual review of audit findings, the Commission requested that NIU work with the other Illinois public universities to identify outdated compliance requirements and provide a set of recommendations to the Commission to remove requirements that do not serve the public or common good.

Summary and Overview: Over the last several months a working group comprising representation from all Illinois public universities held meetings to systematically review each section of the University Guidelines in consultation with the Office of the Auditor General. This work included documenting recommended wording changes and revisions, rationale for the changes, impact on stakeholders, and other information for consideration by the Legislative Audit Commission. The revisions were supported by all of the Illinois public universities and the Office of the Auditor General. Updating and modernizing the University Guidelines brings the Guidelines up to date with the current environment in higher education, helps to ensure the Commission's expectations are clearly understood by state universities, revises outdated requirements resulting in significant cost savings, while still ensuring that accounting practices remain transparent and consistent for all state universities.

The Legislative Audit Commission adopted the proposed changes to the University Guidelines at its meeting on May 16, 2023. A summary of the most impactful revisions is included below:

- Eliminate prescribed uses and carryover calculation related to Indirect Cost Reimbursements.
- Remove prescribed excess funds calculation and requirements for self-supporting funds.
- Eliminate preparation of individual financial statements for each "accounting entity" (groupings of similar self-supporting activities).
- Allow for subsidies between "accounting entities".
- Remove prescribed reserves for revenue bond entities.
- Allow for greater flexibility between University and University Related Organizations related to real estate and capital leases.

- Remove prescribed reporting requirements for information that can be made publicly available.

As a result of the revisions to the University Guidelines, the University expects to eliminate its current audit finding related to subsidies between accounting entities. The revised guidelines also allow for greater financial flexibility by eliminating prescribed uses of indirect cost reimbursements and excess funds calculations. The revised guidelines also remove outdated reporting requirements while still promoting transparency by identifying information to be made readily available to stakeholders on the University's website. These changes will also allow the University to move to a biennial state compliance audit that will provide significant administrative efficiency and cost savings to the University. The University will continue to work with state legislators to identify and seek legislative relief from current outdated practices that do not serve any public or common good.

Agenda Item 8.a.
June 15, 2023

Action

**FISCAL YEAR 2024 ELECTION OF BOARD OFFICERS AND CIVIL
SERVICES MERIT BOARD REPRESENTATIVE**

The Board of Trustees will hold its election of officers for Fiscal Year 2024. The results will be tallied and announced by Board Parliamentarian and General Counsel Bryan Perry.

PRESIDENT LISA C. FREEMAN CONTRACT EXTENSION AND PROPOSED TERMS OF EXTENSION

Summary: Pursuant to the Northern Illinois University Law, the Board of Trustees of Northern Illinois University shall have the power, and it shall be its duty, to employ a President of Northern Illinois University. 110 ILCS 685/30-45(2).

Since 2017, the Board of Trustees (“Board”) has worked closely with President Lisa C. Freeman and has been consistently impressed by her leadership, effectiveness, commitment to NIU’s values and importantly, and the significant progress she’s helped the university achieve in this time. The Board has completed five evaluations of the President, the last being in December 2022, and her performance has consistently shown the Board that her vision and priorities remain clear, her results are measurable and impactful, the opportunities for improved outcomes are well defined, and there’s a plan for moving NIU forward. The Board’s evaluations of Dr. Freeman are posted online for public access on the NIU website and were discussed by the Board during its open session meetings. As the Board considers the university’s future, it needs and wants this positive moment to continue and it’s the Board’s responsibility to see that happen. The Board wants to ensure that President Freeman, who is proven, trusted and wants to move the university forward, will be at NIU to see that work happen.

At the Meeting of the Board of Trustees to be held on Thursday, June 15, 2023, the Board will vote to extend Dr. Lisa C. Freeman’s appointment as the President of Northern Illinois University, effective July 1, 2025, with an end date of June 30, 2027. In addition, the Board will review and approve the principal financial components of the extension. Pursuant to Section 30-195 of the Northern Illinois University Law, the university is required, along with appropriate Public Notice of the meeting under the Illinois Open Meetings Act, to post, at a minimum, a description of the proposed principal financial components of the President’s appointment, which follows:

- Base salary
 - FY26 \$515,000
 - FY27 \$515,000
- Deferred compensation
 - \$70,000 University Contribution Credit under the Northern Illinois University 457(f) Plan for FY26 and each subsequent year that the President remains continuously employed as President through June 30, 2027.
- Pursuant to applicable State law, the new term of the Presidential appointment cannot exceed four years.
- Any severance payments under the Presidential employment agreement will comply with the Illinois Government Severance Pay Act and shall not exceed an amount greater than twenty (20) weeks of annual base salary plus applicable benefits.
- Reimbursement for or payment by the university for reasonable university-related business and travel expenses for the President and spouse for performance of duties and

responsibilities as president, in accordance with applicable law and university policy, including but not limited to designated parking on campus.

- Customary and ordinary benefits available to administrative and professional employees of the university in accordance with applicable law and university policy.

The Board will take up the matter of the extension of Dr. Lisa C. Freeman as President of Northern Illinois University, as well as the principal financial components of the extension, at its Meeting on June 15, 2023.

Recommendation: As Chairman, I'm recommending to the NIU Board of Trustees that they extend Dr. Lisa C. Freeman's appointment as the thirteenth President of Northern Illinois University and approve the principal financial components of the President's appointment effective July 1, 2025 – June 30, 2027.

**RESOLUTION HONORING TRUSTEE ROBERT PRITCHARD FOR
DISTINGUISHED SERVICE TO THE NORTHERN ILLINOIS UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, service to others within one's community and responsible governance, civic engagement, and positive community relations are pursuits worthy of the highest praise; and

WHEREAS, wise stewardship is critical to the vitality and economic sustainability of institutions of higher education in the State of Illinois; and

WHEREAS, sustaining high standards of university governance requires intelligent and imaginative approaches and devoted leaders to facilitate and align the work of a university with that of its governing board; and

WHEREAS, Robert W. Pritchard served as a member of the Board of Trustees of Northern Illinois University from June of 2018 through March of 2023, serving with the highest distinction and achieving significant and lasting improvements, including as Board Secretary; 5th Member of the Executive Committee; Chair of the Academic Affairs, Student Affairs, and Personnel Committee; and Vice Chair of the Finance, Audit, Compliance, Facilities and Operations Committee; and

WHEREAS, during his service on the Board, Robert W. Pritchard brought to the Board his experience as a member of the Illinois House of Representative for 15 years, where he represented the congressional district in which NIU is situated and distinguished himself as a recognized leader in educational policy and advocate for the importance of NIU to the region and state; and

WHEREAS, Robert W. Pritchard generously deployed the relationships, knowledge, and experience he cultivated as state lawmaker to offer valuable insight to university leaders and the Board as NIU navigated important processes and opportunities to participate in innovative educational policy and enterprising new initiatives; and

WHEREAS, throughout his service on the Board, Robert W. Pritchard remained rooted in the community, connecting through regular conversations with his former constituents and local leaders, functioning as a convener and sense maker when he recognized opportunities to build an understanding and appreciation within the community for NIU's unique operational and aspirational dynamics, and to infuse the Board's deliberations with an equally clear appraisal of the community's hopes for the university; and

WHEREAS, Robert W. Pritchard set a high standard for diligent governance and deliberation, whether it concerned the annual formation and evaluation of university goals, during which he was quick and unafraid to point out areas of concern and offer recommendations for improvement, or opportunities to learn of a wide variety of university achievements, during which he offered eloquent public recognition; and

WHEREAS, we as his colleagues on the Board of Trustees want to express our appreciation for his years of service to the NIU community and leadership excellence, as well as our wish that he and his wife Mary, a professor and associate dean emerita at NIU, continue to be an integral part of the life of the university;

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Northern Illinois University in formal meeting herein assembled extends its grateful appreciation to Robert W. Pritchard for his distinguished service to Northern Illinois University and the Board of Trustees.

BE IT FURTHER RESOLVED that this Resolution be presented to Robert Pritchard and a copy of this document be placed in the official files of the Board of Trustees as part of the permanent record of the university and the great State of Illinois and as a lasting tribute to the accomplishments of Robert W. Pritchard.

Adopted in a regular meeting assembled this 15th day of June 2023.

**Board of Trustees of
Northern Illinois University**

Eric Wasowicz
Board Chair

Montel M. Gayles
Board Secretary

