



**Northern Illinois
University**

**Conflict of Interest Training
Board of Trustees**

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Northern Illinois University Law



- 110 ILCS 685/30-20: “No member of the Board shall hold or be employed in or appointed to any office or place under the authority of the Board, **nor shall any member of the Board be directly or indirectly interested in any contract made by the Board,** nor shall he be an employee of the State Government; provided that nothing in this Section shall be deemed to prohibit the student member of the Board from maintaining normal and official status as an enrolled student or normal student employment at Northern Illinois University.”

Student Trustee



- 110 ILCS 685/30-25: “The student member shall have all of the privileges of membership, including the right to make and second motions, to attend executive sessions, and to vote on all Board matters **except those involving faculty tenure, faculty promotion or any issue on which the student member has a direct conflict of interest.** For the purposes of this Section, a student member shall not be deemed to have a direct conflict of interest in an may vote on any item involving the employment or compensation of the President of the University or the election of officers. Unless the student member is entitled to vote on a measure at a meeting of the Board or any of its committees, he or she shall not be considered a member for the purpose of determining whether a quorum is present at the time that measure is voted upon.”

Bylaw: Disclosure of Interest



Article VIII:

- “A conflict of interest is present whenever a Trustee or an Affected Person has a material personal or professional interest in a proposed contract, decision or transaction to which the University is a party.”
- “A conflict of interest may also exist when there is a material divergence or inconsistency between the interest of the University and an outside commitment(s) of the Trustee or Affected Person.”

Board Bylaws, Article VIII (cont)



- “There is an obligation to disclose interest that might reasonably be interpreted as conflicting with the mission of the Northern Illinois University and fiduciary responsibility of the Trustee or any other Affected Person.”
 - Affected Persons: Officers and Members of the Board of Trustees, the President, Members of the Senior Cabinet and attorneys in the Office of General Counsel
 - Potential conflicts shall be appropriately managed by the Affected Person in conjunction with the Chair, Vice Chair, President, General Counsel and/or Ethics Officer, or referred to the Executive Committee for review.
- In addition, Board Members having reasonable cause to believe that a Trustee or Affected Person has failed to disclose a material interest are to report this to the Executive Committee or Board Chair.

Illinois Governmental Ethics Act



- “Upon appointment to a board, commission, authority, or task force authorized or created by State law, a person must file with the Secretary of State a disclosure of all contracts the person or his or her spouse or immediate family members living with the person have with the State and all contracts between the State and any entity in which the person or his or her spouse or immediate family members living with the person have a majority financial interest.”
- In addition, “it is unlawful for an appointed member of a board, commission, authority, or task force authorized or created by State law or by executive order of the Governor, the spouse of the appointee, or an immediate family member of the appointee living in the appointee's residence to have or acquire a contract or have or acquire a direct pecuniary interest in a contract with the State that relates to the board, commission, authority, or task force of which he or she is an appointee during and for one year after the conclusion of the person's term of office.”

Statements of Economic Interests



- Must file an Annual Statements of Economic Interests with the Secretary of State
 - Required by the Illinois Governmental Ethics Act
 - Disclosure of professional services, gifts or honoraria over \$500, income derived from other sources above \$1,200, capital assets above \$5,000, previous governmental employment, etc.
- Supplemental Statement of Economic Interest for officers and employees per Executive Order 15-09

Illinois State Officials and Employees Ethics Act



- Annual Ethics Training for all Trustees
- Revolving Door Prohibitions
 - ... **“shall not, within a period of one year immediately after termination of office or State employment, knowingly accept employment or receive compensation or fees** for services from a person or entity if the person or entity or its parent or subsidiary, during the year immediately preceding termination of State employment, was a party to a State contract or contracts with a cumulative value of \$25,000 or more involving the officer, member, or State employee's State agency, or was the subject of a regulatory or licensing decision involving the officer, member, or State employee's State agency, **regardless of whether he or she participated personally and substantially in the award of the State contract or contracts or the making of the regulatory or licensing decision in question.”**

Conclusion



Questions?

Thank You.