Northern Illinois University

Significant Financial Interest Disclosure Form

Name: ___________________________ Date: __________________

- Have you, your spouse or dependent children received anything of monetary value including, but not limited to, any salary, consulting fees, honoraria, or paid authorship (i.e., remuneration) from one or more publicly-traded companies or organizations in the 12 months preceding the date of this disclosure? ___Yes ___No

- Do you, your spouse or dependent children own stock, stock options, or other ownership interests in one or more publicly-traded companies or organizations? ___Yes ___No

- Have you, your spouse or dependent children engaged in any travel that is sponsored or reimbursed (not through NIU) by a publicly-traded company in the past 12 months or have planned sponsored or reimbursed travel (not through NIU) in the next 12 months? ___Yes ___No

- If you answered “yes,” to Q1, Q2, or Q3, does the total value of your stock, income or travel combined for a single company exceed $5,000? ___Yes ___No ___Don’t know ___N/A (All “No”)

- Do you, your spouse or dependent child have any ownership interest in a private company? ____ Yes __ No

- Have you, your spouse or dependent child had ownership interest in a private company over the past twelve months? ____Yes _________ No

- Have you, your spouse or dependent child received any royalties from intellectual property over the past twelve months? ____ Yes __ No

- Have you, your spouse or dependent child been reimbursed or receive sponsorship for travel over the previous twelve months? ____ Yes __ No

- If you answered “yes” to any of the previous questions, are any of the activities reasonably related to your institutional responsibilities? __ Yes _________ No